Oxytocin biases face recognition 1

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Oxytocin increases bias, but not accuracy, in face recognition line-ups

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Word count: 3,045

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Abstract

Previous work indicates that intranasal inhalation of oxytocin improves face recognition skills, raising the possibility that it may be used in security settings. However, it is unclear whether oxytocin directly acts upon the core face-processing system itself, or indirectly improves face recognition via affective or social salience mechanisms. In a double-blind procedure, 60 participants received either an oxytocin or placebo nasal spray before completing the One-in-Ten task – a standardized test of unfamiliar face recognition containing target-present and target-absent line-ups. Participants in the oxytocin condition outperformed those in the placebo condition on target-present trials, yet were more likely to make false-positive errors on target-absent trials. Signal detection analyses indicated that oxytocin induced a more liberal response bias, rather than increasing accuracy per se. These findings support a social salience account of the effects of oxytocin on face recognition, and indicate that oxytocin may impede face recognition in certain scenarios.

Keywords: Oxytocin; face recognition; social salience; eye-witness.

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Oxytocin is a nonapeptide that plays a fundamental role in social cognition (Heinrichs, von Dawans, & Domes, 2009), and recent evidence demonstrates that intranasal inhalation of the hormone improves face-processing. For example, a number of studies have found that people are significantly better at recognising facial expressions of emotion following oxytocin inhalation (Van Ijzendoorn & Bakermans-Kranenburg, 2012); and there is some evidence that oxytocin improves facial identity memory in both typical participants (Guastella, Mitchell, & Dadds, 2008; Rimmele et al., 2009; Savaskan et al., 2008) and those with prosopagnosia (Bate et al., 2014). However, the benefits of oxytocin for facial identity recognition are somewhat inconsistent, with some studies finding effects under limited circumstances (e.g. only when the faces show certain emotional expressions, Guastella et al., 2008; Saskavan et al., 2008), and others finding selective, null or negative effects (e.g. Bate et al., 2014; Herzmann et al., 2012). Understanding the effects of oxytocin on face recognition is an important issue: the hormone may be useful for face recognition tasks within security or forensic settings, and it is therefore important to clarify when oxytocin does and does not benefit face recognition, and the mechanisms that underpin this effect.

Currently, the mechanisms underpinning the link between oxytocin and improved face-processing are unclear. It is possible that oxytocin acts upon visuocognitive mechanisms within the core face-processing system (Haxby, Hoffman, & Gobbini, 2000), and some neuroimaging evidence supports this possibility (e.g. Domes et al., 2010; Labuschagne et al., 2010). Alternatively, oxytocin may affect face-processing indirectly by modulating affective or social salience mechanisms. The hypothesis that oxytocin increases social salience, either via an affective (Shamay-Tsoory et al., 2009; Theodoridou, Penton-Voak, & Rowe, 2013) or approach-withdrawal (Ditzen et al., 2009; Kemp & Guastella, 2011) mechanism, is supported by several lines of neuroimaging and behavioural evidence (Bartz et al., 2011; De Dreu et al., 2010, 2011; Gamer, Zurowski, & Büchel, 2010; Petrovic et al., 2008; Shamay-Tsoory et al., 2009). Notably, evidence suggests that oxytocin is not always facilitative (e.g. De Dreu et al., 2011; Parris et al., 2014; Shamay-Tsoory et al., 2009), raising the possibility that it may only improve face recognition performance under certain conditions.

A novel means of exploring this issue is to investigate the influence of oxytocin on face recognition within line-up scenarios, where multiple faces are simultaneously displayed for recognition and a target face may or may not be present. This manipulation not only presents concurrent competing faces to challenge recognition and discrimination abilities (a context approximating social settings), but also provides conditions where participants have to respond in the negative (target-absent trials) to stimuli whose salience might have increased under oxytocin.

If oxytocin acts upon the face recognition system, performance should improve in both target-present and target-absent conditions. Alternatively, given the pro-social effects of oxytocin described above, it may influence affective or social salience mechanisms, decreasing the likelihood of participants responding in the negative to salient stimuli. This would *impede* performance in target-absent trials due to a greater number of false-positive errors. Such findings would have important implications for real-world use of oxytocin, particularly if it encourages misidentification. The current study aimed to examine this issue, by investigating the influence of oxytocin on a standardized test of unfamiliar face recognition consisting of target-present and target-absent line-ups.

METHOD

Participants

Sixty Caucasian participants (35 female; Mean age = 22.8 years, SD = 3.3) were randomly assigned in a double-blind between-subjects procedure to receive either oxytocin or placebo spray. Gender was approximately dispersed between the conditions, (oxytocin: 19 female; placebo: 16 female), $\chi^2 = .62$, p = .432, and there was no significant difference in age between the oxytocin and placebo groups (oxytocin: Mean = 22.9 years, SD = 3.3; placebo: Mean = 22.6 years, SD = 3.3), F(1,58) = 0.75, p = .785. As participant race can affect face recognition performance (the other-race effect; Tanaka, 2013), only Caucasian participants were included in the study.

Participant exclusion criteria were pregnancy, medication (with the exception of oral contraceptives), significant medical or psychiatric illness, history of substance abuse and epilepsy. Participants were instructed to abstain from alcohol, caffeine and nicotine on the day of testing, and not to consume any food or drink (other than water) for two hours prior to the experiment. Nasal spray administration procedures are described fully in Bate et al. (2014). Ethical approval was granted by the departmental ethics committee at Bournemouth University, and participants received a small monetary payment in exchange for their time. Informed consent was obtained from all participants, according to the Declaration of Helsinki.

Stimuli and Materials

The One-In-Ten test: The face recognition task used in this study was the One-In-Ten test (Bruce et al., 1999): a test containing 20 target-present and 20 target-absent trials, that has been well-used and validated within the psychological literature (e.g. Bindemann et al., 2012;

Megreya & Burton, 2007). In each of the 40 randomly-presented trials, participants study a single target face until they are confident they can identity it from a subsequent line-up. Target faces are extracted from high-quality video footage, and measure 155 pixels in width and 200 pixels in height at a screen resolution of 72 ppi. After the participant presses a key to indicate that encoding is complete, the target is instantly replaced by a line-up of ten faces, each measuring 132 pixels in width and 200 pixels in height. All faces display a neutral expression and are not cropped to exclude the external features, in order to maintain the ecological validity of the task.

Participants are required to use defined keys on the keyboard to indicate which face (if any) matches the target face. To encourage maximum performance, no time limits are imposed on the participant in any part of this task (see Bruce et al., 1999). For target-present trials, participants can make a correct identification (a "hit"), or one of two incorrect responses: either a "misidentification" (i.e. the incorrect identification of a distractor face) or a "miss" (the incorrect response that a target is absent). In target-absent trials, the correct response is referred to as a "correct rejection", and incorrect responses as "false-positives".

The Multidimensional Mood Questionnaire (MMQ): General affect was measured throughout the experiment using the MMQ (Steyer et al., 1997). This self-report questionnaire is composed of three sub-scales (good-bad, awake-tired and calm-nervous), and was used to assess the possible mood-altering effects of oxytocin, and to control for non-specific effects of attention and wakefulness.

Procedure

Participants initially received a single intranasal dose of 24 IU of either oxytocin (Syntocinon Spray, Novartis) or placebo (identical to the experimental spray with the exception of the

oxytocin) spray. Following inhalation, participants sat quietly for 45 minutes before completing the One-In-Ten task. This rest period is in line with previous studies of oxytocin in face-processing (e.g., Herzmann et al., 2012; Rimmele et al., 2009), and allows sufficient time for plasma oxytocin levels to peak after inhalation (Gossen et al., 2012; Striepens et al., 2013). Each participant was required to complete the MMQ at three intervals across the experiment: immediately following inhalation, after the 45-minute resting period, and after the One-In-Ten test had been completed.

RESULTS

Table 1 shows a summary of the results from the eyewitness task, broken down by gender. Initially, all analyses were conducted with gender as a between-subjects variable. However, there were no significant main effects or interactions relating to gender (all p's > .1), so all analyses are reported with data collapsed across gender.

< Insert Table 1 >

First, the time taken to encode target faces was examined, and no differences were observed between the oxytocin and placebo condition (all ps > .05). Second, we examined only the correct responses from the test phase (i.e. the hits and correct rejections). Specifically, a 2 (spray: oxytocin, placebo) x 2 (line-up: target-present, target-absent) mixed design analysis of variance (ANOVA) revealed no main effect of spray, F(1,58) = .011, p = .919, $\eta_p^2 = .001$. However, there was a significant main effect of line-up, F(1,58) = 9.373, p = .003, $\eta_p^2 = .139$, moderated by a significant interaction between spray and line-up, F(1,58) = 8.036, p = .006, $\eta_p^2 = .122$ (see Figure 1). Planned follow-up analyses indicated that this interaction was driven by better performance in the oxytocin condition for target-present

compared to target-absent trials, but there was no such difference in the placebo condition, F(1,29) = 26.573, p = .001, $\eta_p^2 = .478$ and F(1,29) = .019, p = .891, $\eta_p^2 = .001$, respectively. Further analyses indicated that performance in the target-present condition was indeed better in the oxytocin compared to the placebo condition, yet revealed a trend towards the converse pattern in the target-absent condition, F(1,58) = 4.064, p = .048, $\eta_p^2 = .065$ and F(1,58) = 3.311, p = .074, $\eta_p^2 = .054$, respectively.

< Insert Figure 1 >

Third, we analysed sensitivity (*d*' identification) and bias (criterion *c*), to examine whether oxytocin improved overall performance or changed participants' response bias. Sensitivity was calculated by combining hits with false-positive scores; bias was calculated by combining all positive responses across both trial types (hits, misidentifications, and false-positives: Macmillan & Creelman, 2005¹). A univariate ANOVA on each measure revealed that oxytocin did not improve overall performance (oxytocin *d*': M = 0.71, SE = 0.15; placebo *d*': M = 0.69, SE = 0.15), F(1,58) = .005, p = .946, $\eta_p^2 = .001$, but participants in the oxytocin condition (*c* = -0.61, SE = .08) showed a more liberal response bias (i.e., more positive responses) than participants in the placebo condition (*c* = -0.22, SE = .08), F(1,58) = 11.83, p = .001, $\eta_p^2 = .169$. This pattern of results indicates that, compared to placebo participants, those in the oxytocin condition were more likely to say that the target face was present in a line-up, but were no more likely to correctly identify it. In other words, the oxytocin-induced increase in performance in target-present line-ups revealed in the initial analysis can be accounted for by changes in patterns of responding, rather than changes in overall accuracy.

Fourth, we examined the responses made within the target-present condition. Our initial analysis (see Figure 1) established that participants in the oxytocin condition made

¹ See Meissner, Tredoux, Parker, and MacLin (2005) and McQuinton, Malpass, and Tredoux (2006) for rationale for the use of signal detection theory in line-up paradigms.

fewer errors overall in the target-present condition than those in the placebo condition. However, the target-present condition contained two potential sources of error: misses (responding "absent") and misidentifications (selecting the incorrect face). To examine whether oxytocin affected the pattern of responding, rather than reducing errors more generally, the proportion of misidentifications and misses were calculated for each participant. A univariate ANOVA indicated that oxytocin participants made more misidentification errors, whereas placebo participants made more misses, F(1,58) = 10.067, p = .002, $\eta_p^2 = .148$ (see Figure 2). We also examined whether participants who correctly identified that a face was present were more likely to correctly identify the individual face, by calculating the proportion of hits and misidentifications within the target present trials. A univariate ANOVA indicated that the proportion of hits and misidentifications did not differ between the oxytocin (M = 0.80, SE = 0.03) and placebo (M = 0.81, SE = 0.03) conditions, F(1,58) = 0.064, p = .800.

< Insert Figure 2 >

Finally, a mixed factorial MANOVA examined the MMQ scores and revealed a main effect of time, F(6,53) = 5.103, p = .001, $\eta_p^2 = .366$. Specifically, regardless of spray, participants felt less "good", "awake", and "nervous" at the end of the testing session: F(2,116) = 4.698, p = .016, $\eta_p^2 = .075$, F(2,116) = 9.065, p = .001, $\eta_p^2 = .135$, and F(2,116) =4.939, p = .015, $\eta_p^2 = .078$, respectively. No main effect of spray or interaction between time and spray was observed, F(3,56) = .393, p = .759, $\eta_p^2 = .021$ and F(6,53) = .884, p = .513, η_p^2 = .091, respectively, indicating that the findings cannot be attributed to potential moodaltering effects of oxytocin.

DISCUSSION

This study investigated the effect of oxytocin on face recognition performance in targetpresent and target-absent line-ups. Compared to a placebo condition, oxytocin did not improve overall accuracy, but facilitated performance in target-present line-ups and somewhat impeded performance in target-absent trials. When participants did make errors in target-present trials, those in the oxytocin condition were more likely to make misidentification errors (responding "present" but selecting the wrong face) than misses (responding "absent"). In other words, participants in the oxytocin condition showed a general increase in bias to respond "present". However, this was not matched by an increase in identification of the target face: for target-present trials on which the participant responded "present", participants showed the same proportion of hits and misidentifications under oxytocin and placebo conditions. This pattern of results could not be accounted for by a speed-accuracy trade-off or changes in mood or arousal.

These findings argue against the hypothesis that oxytocin acts directly on visuocognitive mechanisms within the face-processing system. Although previous work found an increase in face recognition performance after oxytocin inhalation (e.g., Rimmele et al., 2009; Guastella et al., 2008), this is the first study to link oxytocin with a more liberal response bias – Blandón-Gitlin and colleagues (in press) and Saskavan et al. (2008) found a more conservative pattern of responding under oxytocin conditions. However, previous studies have generally examined the influence of oxytocin on face memory as opposed to face-matching, such that oxytocin is administered prior to or just after encoding, and recognition is tested in a later session. The factors that could make oxytocin beneficial for face encoding (i.e. increased attention to socially salient elements of the face) could be

detrimental in matching tasks: if oxytocin increased the salience of all the line-up faces, participants could have mistaken this salience for familiarity, leading to false- positive errors.

Further, the format of the test may explain these differences in findings. Previous work has almost exclusively used old/new tests, and a line-up may have prompted alternative decision strategies. Specifically, participants in the oxytocin condition may resort to a "next best" choice when the target is absent, whereas participants in the placebo condition may judge each face on a match/no match criteria (analogous to proposed strategies used in simultaneous and sequential eyewitness line-ups, see Leach, Cutler, & Van Wallendael, 2009). Currently, though, it is unclear what mechanisms could support this strategy shift, and how they relate to the neural networks affected by oxytocin. As such, it is more likely that the results of the current study are mediated by changes in social salience, rather than changes in decision strategy.

While the current study focussed on facial identity processing, the findings have implications for our understanding of the effects of oxytocin on facial emotion processing. As mentioned in the introduction, many studies have found improved facial emotion recognition following inhalation of oxytocin (Van Ijzendoorn & Bakermans-Kranenburg, 2012). Previous studies have occasionally attributed this improvement to emotion-specific mechanisms, such as stronger encoding of positive social stimuli (Guastella et al., 2008; Marsh et al., 2010), or a reduction in anxiety or aversion in response to negative social stimuli (Campbell, 2010). However, the fact that the effects of oxytocin extend to neutral faces, as in the current study (see also Bate et al., 2014; Saskavan et al., 2008), suggests that the effects of oxytocin are not limited to emotional faces. Note this does not rule out the possibility that emotional faces might elicit a larger effect of oxytocin, or that oxytocin might have variable effects depending on the perceived emotion (particularly if the emotions are associated with approach or withdrawal behaviours, Kemp & Guastella, 2011). Our results simply suggest that the effects

of oxytocin are not unique to emotional faces – once again, this supports the hypothesis that oxytocin acts to increase the salience of socially relevant stimuli in the environment.

It is interesting to note that there were no effects of gender on any of the measures in the present study, particularly as there is a substantial body of literature that has found different neural effects of oxytocin in women and men (e.g., Domes et al., 2007, 2010; see Kanat, Heinrichs, & Domes, in press). However, this is not inconsistent with previous studies investigating the behavioural effects of oxytocin on face-processing – the few behavioural studies that have used both male and female participants have found no or minimal interaction between oxytocin and gender in facial identity processing (Herzmann et al., 2012; Saskavan et al., 2008) and facial emotion processing (Marsh et al., 2010). Nonetheless, future research should continue to investigate the interaction between oxytocin and gender, to clarify the links between the neural and behavioural effects of oxytocin. While we did not find any overall effects of gender, it is possible that factors such as intake of oral contraceptives and the phase of female participants' menstrual cycle may have influenced results within the female subsample, as these factors can contribute to variations in plasma oxytocin levels (Silber et al., 1987; Stock, Bremme, & Uvnäs-Moberg, 1991) and potentially alter the effects of exogenous oxytocin. The current study did not monitor these variables, however, future studies may wish to take these factors into account when investigating the effects of oxytocin on face-processing in females.

In sum, this study adds to the growing body of evidence that intranasal inhalation of oxytocin is not universally beneficial for social cognition (Bartz et al., 2011). While oxytocin may improve face recognition under some circumstances, there is no discernable benefit of oxytocin in a face-matching task using line-up arrays. Currently, it is unclear which factor resulted in the increased bias observed in the current study. Further research with simple face-matching tasks, face memory tasks using line-ups, and oxytocin inhalation before

recognition (as opposed to encoding), should clarify when and why oxytocin modulates face recognition. This in turn will provide guidance as to whether oxytocin is a viable tool in applied face recognition scenarios, such as eyewitness identification. Given oxytocin has recently been applied to disorders of face-processing (i.e. to prosopagnosia and autism spectrum disorder: Bate et al., 2014; Andari et al., 2010), caution should be exercised in further clinical work until the positive and negative effects of the hormone are clearly established, and the implications of these effects on real-world situations is fully understood.

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	Placebo								Oxytocin						
Gender	N	Encoding	Hits	MisID	CRs	ď	Bias	N	Encoding	Hits	MisID	CRs	ď	Bias	
		time (ms)	(/20)	s (/20)	(/20)		(c)		time (ms)	(/20)	s (/20)	(/20)		(c)	
Female	16	10413.12	13.31	2.75	12.44	0.83	-0.26	19	8306.98	14.32	3.63	10.37	0.65	-0.69	
		(5557.96)	(2.54)	(2.29)	(3.35)	(0.62)	(0.38)		(4817.89)	(3.21)	(3.02)	(4.34)	(1.06)	(0.50)	
Male	14	9190.25	11.79	3.14	12.50	0.56	-0.17	11	7154.74	14.00	3.27	11.36	0.76	-0.45	
		(4706.99)	(3.83)	(1.51)	(3.41)	(0.70)	(0.48)		(3839.29)	(2.37)	(2.19)	(3.53)	(0.73)	(0.26)	
Total	30	9842.44	12.60	2.93	12.47	0.71	-0.22	30	7884.49	14.20	3.50	10.73	0.69	-0.61	
		(5127.87)	(3.24)	(1.94)	(3.32)	(0.66)	(0.42)		(4450.76)	(2.89)	(2.71)	(4.02)	(0.94)	(0.43)	

Table 1: Mean (SD) performance in the One-In-Ten task according to gender.

Note: Mean encoding time is for all trials; Hits: Correct identifications in target present trials; MisIDs: Incorrect identifications in target present trials; CRs (Correct rejections): "absent" responses in target absent trials; *d*': calculated based on Hits and Correct rejections; *c*: calculated on all "present" responses for target present trials (Hits and MisIDs) and target absent trials.

FIGURE CAPTIONS

Figure 1: Number of correct responses for target-present and target-absent line-ups, under oxytocin and placebo conditions. Error bars represent +/- 1 SEM. ** p < .05; * p < .1.

Figure 2: Proportion of misidentification errors in target-present line-ups, under oxytocin and placebo conditions. The remaining errors are misses. Error bars represent +/- 1 SEM. ** p < .05.



