

1 *Correlating peer and tutor-assessment on a low-stakes engineering*
2 *assignment*

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6

7

Abstract

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Peer-assessment has been a subject of great debate in recent years. The way students perceive assessment and what motivates them when assessing, may differ significantly from the tutor. This paper discusses a study designed to correlate student's marking with the marks awarded by their tutors when peer-assessing one another from in-class oral presentations. A new and alternative approach to correlate results is presented, which is based on the normalisation of the quantitative judgements based on determined criteria. The methodology was *blind* and *holistic*, as described in previous works: some guidelines were provided to the students on what is considered acceptable without getting into detail (*holistic* marking) and peer-assessment marks were made confidential (*blind* approach). It was observed that students have a tendency to overrate

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20 fellow students - especially where lower marks might be awarded. There
21 is, however, direct agreement with the tutor's marking in terms of
22 qualitative judgements, which is highlighted by the presented correlation
23 method used to adjust students marks. The presented methodology to
24 correlate marks between the students and tutor showed to be a promising
25 one. After processing the data with this simple and straightforward
26 algorithm, peer- and tutor assessment practically showed a perfect match.

27 **Key words** peer-assessment; assessment for learning; higher education
28 assessment; assessment normalisation.

29

30 **Introduction**

31 Orsmond (2011), in his review on assessment within the higher education context,
32 discriminates three ways of considering assessment in relationship to learning:
33 assessment *of* learning, assessment *for* learning and assessment *as* learning. The widely
34 accepted practice for assessment still is in terms of being *of* learning. Typically, students
35 are assessed through summative assessment, e.g., an end of module examination in
36 which the student's amount of learning is fully assessed by the tutor. However, Boud
37 and Falchicov (2006) consider this approach as short-termed under the context of higher
38 education, in which new material must be gradually appreciated through an iterative
39 updating process, so that life-long self-learning is stimulated after graduation.

40 The conceptual shift of assessment from "*of* learning" to "*for* learning" and then
41 to "*as* learning" can be traced back to Gipps (1994) and Earl (2003), respectively.
42 Although with different emphasis, both acknowledge the introduction of student self-

43 assessment, in which the student assumes an important role in the assessment and
44 judgment of his own work. Another frequently adopted method in which students are
45 involved in the appreciation and appraisal of learning is peer-assessment (Van Gennip
46 et al. 2010). According to Orsmond (2011), “peer-assessment shares most of the key
47 features of self-assessment” and “feeds self-assessment activities particularly through
48 the act of receiving and giving feedback.” Prompt feedback is appreciated by students,
49 because it gives them an early opportunity to assess their understanding of the course
50 material, as acknowledged by Cotner et al. (2008) when introducing scratch-off
51 immediate feedback assessment technique (IF-AT) forms and classroom response
52 system (clickers) in large cohorts of students.

53 For peer-assessment to work effectively, some authors argue that one of the
54 requirements is that the student and the tutor discuss and agree – or at least clarify and
55 reflect on - assessment criteria (Falchikov and Boud 1989). Tsivitanidou et al. (2011),
56 on a study investigating secondary school students’ unmediated peer assessment skills,
57 observed that students already have the basic skills required for the implementation of
58 peer-assessment, although they recognised that “reciprocal peer assessment is a rather
59 complex procedure that requires well developed peer assessment related skills” and that
60 “students need to receive explicit training”. However, Norton (2004) points out that
61 students may become strategic learners who end up selecting what they identify as
62 being the key aspects to obtain marks instead of spreading their attention to the whole
63 span of the learning range. On the other hand, one might argue that students tend not to
64 perceive assessment criteria as tutors do. Most often, they are not provided with the
65 proper tools that would enable them to self-monitor their own learning (Hatzipanagos
66 and Rochon 2010) and they still have little involvement in assessment design, marking
67 and evaluation (Orsmond 2011).

68 Nevertheless, peer-assessment is an approach to learning that is nowadays
69 recognised as a tool capable of getting the students more involved, making them take
70 more responsibility, encouraging self-critical analysis and self-evaluation of student
71 work and fostering debate and communication (Hunter 1999 and Dochy and McDowell
72 1997). Even if a poor correlation between students self-assessed marking and the tutor
73 marking is observed, some authors (e.g., Kirby and Downs 2007) continue advocating
74 in favour of self-assessment for learning – which is strongly correlated to peer-
75 assessment according to Orsmond (2011).

76 Peer-assessment can be used as a tool to give students an opportunity to learn by
77 making them aware of the different approaches employed by other students: an aspect
78 highlighted by Van Gennip et al. (2010) by mentioning the social and interpersonal
79 bonds involved in peer-assessment, from which students, by assessing one another, are
80 actually learning from their peers. For example, Chuck and Young (2004) designed a
81 formative assessment task to improve the scientific report writing skills of university
82 students. This used a combination of peer- and self-assessment against specific criteria,
83 where students were required to submit an amended report. It was shown that those that
84 participated in this cohort-driven assessment task got better results on average compared
85 with those that would have been obtained after the first submission.

86 With the above points in mind, a peer-assessment assignment was introduced in
87 the modules of Technical Design and Geometrical Modelling I and II (1st year students)
88 of Engineering undergraduate degrees taught in **institution removed for blind review**.

89 The peer-assessment case study discussed in this paper took place during the
90 semester's last week (before examinations) and was focused on a part of the student's
91 group assignment. The students were invited to mark one another's oral presentations

92 on sheets of paper (similar to voting bulletins). These were later returned to the tutor in
93 such a way that the peer-assessment marks were kept anonymous.

94 Using the terminology from Russel et al. (2006), the followed methodology was
95 *blind* and *holistic* as opposed to *open* and *category-based*. These authors describe these
96 terms the following way: in a *blind* approach, students respond to the peer-assessment
97 task in complete isolation from one another (anonymous marking), whereas in an *open*
98 approach students discuss their thoughts and negotiate marks when peer-assessing
99 within a group. In a *holistic* marking criteria style, students are asked to evaluate their
100 peers' contribution and performance by looking at the 'big-picture'. Some guidelines
101 can be provided to the students on what is considered acceptable, but the students are
102 not asked to explicitly respond to them in any detail. On the other hand, on a *category-*
103 *based* marking scheme, students are expected to mark their peers' performance against a
104 specific set of marking criteria.

105 Results show that students are perfectly capable of making the correct
106 distinctions between one another when speaking in qualitative terms. The correlation
107 between the peer- and the tutor assessment in quantitative terms is not that different as
108 well. However, a tendency to award generous marks to weaker students is observed.

109 An alternative approach to analyse the peer-assessment marks is presented as
110 well, in an attempt to better understand how peer- and tutor assessment correlate in the
111 quantitative judgments. This is based on the normalisation of the peer-assessment marks
112 based on range and one parameter target (in this case, a value for the average was set as
113 target). For the correlation to be comparable the average of the peer-assessment marks
114 was set to the same value as the average of the marks from the tutor. The range was
115 stretched in order to consider all the possible marking range as students showed to give
116 marks on a narrower band than the tutor.

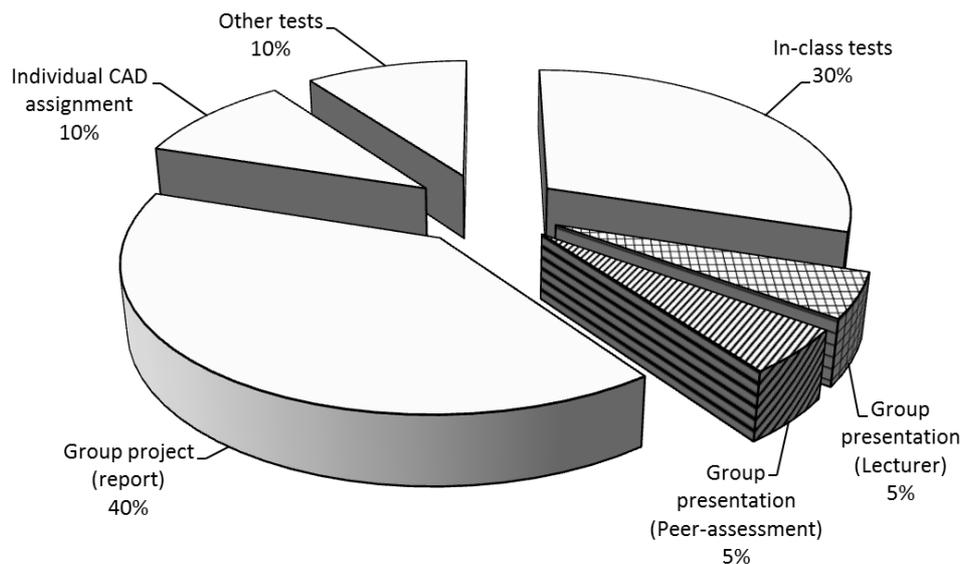
117 **Method**

118 *Data sample*

119 This study was carried out with data from **institution removed for blind review**, and
120 the assessments were part of the “Technical Drawing and Geometrical Modelling I and
121 II” modules. These are 1st year undergraduate modules taught in semesters A and B of
122 the Engineering degrees. The data analysed corresponds to four cohorts of
123 approximately 25 students each (a total of approx.. 100 students).

124 *General assessment criteria*

125 Assessment of these modules is based on a weighted combination of individual
126 coursework (both in-class and homework) and a group design project that includes both
127 an in-class presentation and a written report which is submitted at the end of the
128 semester. This is illustrated in figure 1 pie chart, in which the lower half corresponds to
129 group work and the upper half corresponds to individual work.



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Figure 1. Marking distribution.

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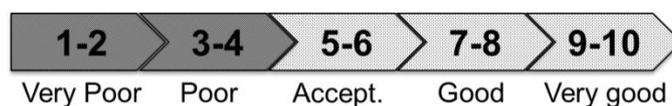
133 The group component of the assessment includes a report on the group project
134 worth 40% of the final marks and a group presentation worth 10%. The group oral
135 presentation is the average value between the marks given by the students when peer-
136 assessing (worth 5%) and the marks given by the lecturer (worth another 5%). Although
137 this was a group presentation, students were also assessed individually according to how
138 well they performed on the roles they played as described below (each group was
139 composed by 2~3 students, depending on the complexity of their projects).

140 *Peer-assessment marking scheme*

141 The in-class presentations ran during the semester's last week. Each group, composed of
142 2 to 3 students, prepared a presentation using both the CAD software and a slideshow.
143 Each student was given up to 5 minutes to make their presentation regarding their
144 contribution to the group work.

145 Both the teacher and the students based their assessment on the same marking
146 scale, ranging from 1 to 10 (figure 2): three levels of pass and two levels of fail were
147 considered. The zero marks were reserved for students who did not attend the
148 presentations (any student presenting would get at least a minimum of 1 mark).

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151 Figure 2. Marking range for the peer-assessment.

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153 No specific marking criteria were given to the students other than to assess the
154 specific objectives defined in the coursework briefing. To elaborate a list of criteria

155 would make the task unfeasible considering time constraints and the number of oral
156 presentations each student had to assess. There also are other factors that were taken
157 into account on this decision. First, the students might feel it is the job of the lecturer
158 (and not students) to mark work, especially if a considerable amount of time is needed,
159 as pointed earlier by Falchikov (2003). Secondly, according to a study from Brown et
160 al. (1998), students tend to struggle when assessing via a discriminated marking
161 scheme, even if they already have a general perception of the quality of the work. Thus,
162 an *holistic* approach seemed more appropriate to the oral presentations, in which
163 students look at the ‘big-picture’. Furthermore, this was a low-stakes part of the
164 assignment aiming at giving some guidance to the students on the progress of their work
165 from the tutor’s comments.

166 For the peer-assessment to be as *unbiased* as possible, some precautions were
167 taken:

- 168 1. To ensure that students take it seriously and engage with the exercise, and to
169 avoid cases where students might show favoritism (e.g. by marking certain
170 students with all 10’s (or 1’s)), they were told that any “non-differentiating”
171 marking sheets would be rejected.
- 172 2. It was guaranteed that each individual marking sheet would be kept confidential
173 and would be destroyed after the results were collected. – *blind* methodology;
- 174 3. To make the students feel this was their free choice and not an imposition, they
175 were told they could refrain from assessing their peers and submit the marking
176 sheet blank;
- 177 4. To provide a sense of justice and fairness, the students could opt out from being
178 assessed by their peers. In such a case, the lecturer’s assessment would be worth
179 the whole 10% of the presentation marks.

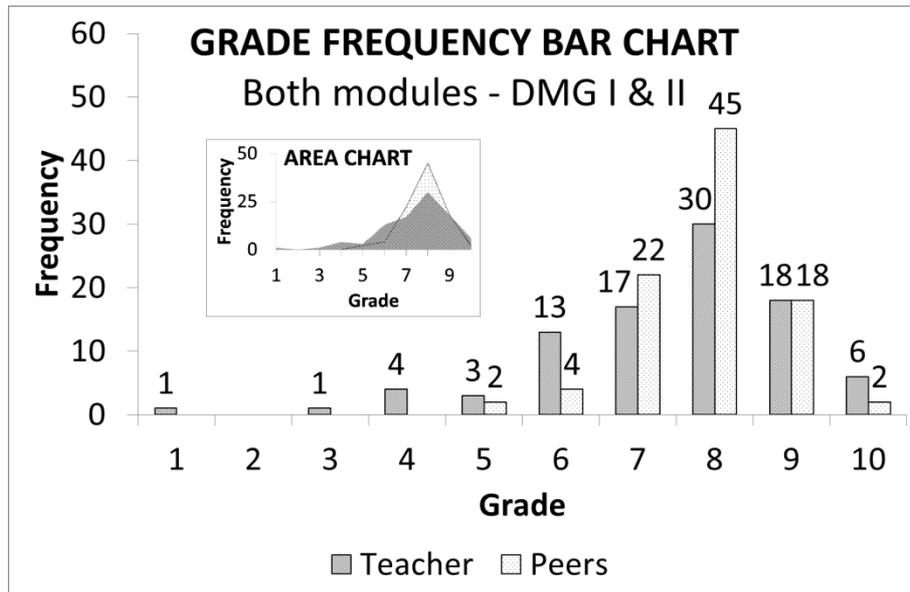
180 From the almost 100 students participating in this study, none fitted the 3rd and
181 4th categories listed above. However, there were a few whose marking sheets were
182 rejected for the reasons described in point 1 above. With respect to the 2nd point, it is
183 not possible to tell if the students feared discrimination because those sitting next to
184 them could possibly peek into their marking sheets.

185 **Results and discussion**

186 *General results*

187 A histogram plot displaying the number of times (frequency) a grade was given by both
188 the lecturer and the peers², is presented on figure 1. This picture includes the results for
189 both the modules together showing a trend that follows what resembles a normal
190 (Gaussian) distribution. The decision to join both the modules in a single plot is
191 justified from the fact that the conclusions that might be drawn from each of the
192 modules alone would be essentially the same. Furthermore, the statistical significance is
193 improved, since the sample so obtained increased to a size of $N=93$ elements.
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² Marks were rounded to an integer value. For instance, grade 7 in the histogram bar chart includes all marks ranging from 6.5 to 7.4.



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Figure 1. Histogram showing the amount of times a grade was given.

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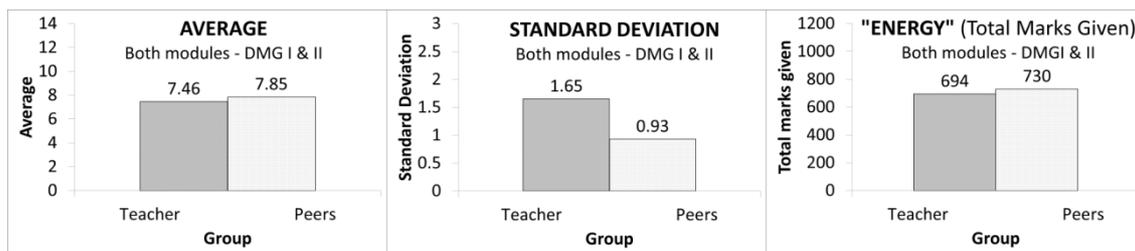
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A smaller area chart is also shown in figure 1. It contains the exact same information as the bar chart, but it puts into evidence some detail that would otherwise be less marked: for instance, that the peer-assessment is narrow banded in comparison to the tutor's assessment.

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Note that the same area plot shows some agreement if we look into other aspects. For instance, the statistical mode matches at 8 for both the lecturer and the peers. Other metrics that seem to agree are the average and the "total marks given" (figure 2) (even though both are slightly larger in the peer-assessment case). The "total marks given", being a summation, can be seen as a measure of the willingness to give better marks. The big difference is on the standard deviation, which is practically twice as much for the tutor. This is because the tutor marks are broad banded in comparison to the peer assessment marks.

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211 Figure 2. Generic metrics of the results: average, standard deviation and “energy”.

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213 It might be expected that, under different circumstances – for example, higher
 214 stakes assessments with *category-based criteria* defined - the average and mode would
 215 change and shift. In that case, the teacher’s assessment would probably be smaller
 216 (shifted to the left), as observed by other authors, such as Kirby and Downs (2007)
 217 when discussing a case-study on self-assessment.

218 *Qualitative analysis between the lecturer marks and the peer-assessment marks*

219 First, it must be noted that we deliberately refrained from using a quantitative rigorous
 220 statistical analysis of the results, as found in some other studies, because we found it
 221 might conceal some of the particular causes for the peer-assessment outcomes that we
 222 are looking for. Van Zundert et al. (2010) pointed out that most of the published works
 223 in the literature about peer-assessment, although providing useful insights regarding
 224 best practices, are inconclusive with respect to cause-effect relations involved in the
 225 peer-assessment process.

226 Results on figure 1 suggest that the data can be divided into 2 main groups. We
 227 decided to categorise them into “divergent” and “convergent”, as we think these words
 228 are the most adequate to define how the perception differs from the tutor to the student.
 229 The divergent group, in this study, is proposed to be composed by the grades ranging
 230 from 1 to 6 (and possibly 10), with zero correlation between the lecturer and the peers,

231 as opposed to the convergent group ranging from 7 to 10 (or 7 to 9) where data seems to
232 present a certain degree of correlation. Divergence occurs in the 1 to 6 marks range as it
233 sounds evident that the 6 students receiving a pass from their peers (5 and 6 marks) are
234 the same 6 receiving a fail from the lecturer (1 to 4 marks).

235 To explain what causes divergence between the tutor and the students, selected
236 parts of the plot represented on figure 1 are discussed below:

- 237 • Range 1 – 4: This is the range of marks corresponding to a fail. According to the
238 lecturer, 6 students fell into this category. However, the students appear to have
239 avoided failing their peers and did not place any into this category, even though
240 some presentations were quite poor. These results are divergent.
- 241 • Range 5 – 6: All the 6 students who received a fail from the lecturer (mentioned
242 in the category above) were put in this category by their peers, i.e. not a fail.
243 Nevertheless, the students demonstrate the ability to rank the presentations: the
244 two students that got 1 and 3 from the tutor were given a 5 (pass) by their peers
245 and the four students that got 4 from the tutor were given a 6 by their peers
246 (slightly more than a pass). These results are divergent.
- 247 • Range 7 – 8: 72% of the marks given by the students in peer-assessment fall into
248 this range while 50% of the marks given by the lecturer fall into this category.
249 These results can be said convergent.
- 250 • Range 9 – 10: There seems to be a tendency to invert the situation for the
251 highest marks: the students appeared less likely to give a 10 (6 given by the
252 lecturer against only 2 in peer-assessment). Considering the “9” marks, an equal
253 number of “9”s were awarded by students and tutors. These results can be said
254 convergent, but with a tendency to diverge.

255 Concerning the first two ranges above, in which the results are divergent
256 between the lecturer and the students, peer-assessment was found to be more generous
257 than the tutor's. However, it should be noted that the students are able to grade the
258 presentations according to their merit - exactly as perceived by the tutors. All concur
259 that there are 6 presentations worse than the others, in which 2 are clearly worse than
260 the other 4, and this was not a subjective judgement: there was unanimous agreement on
261 this.

262 On the other hand, the last range (9 to 10 marks) suggests that students seem to
263 avoid marking their peers much higher than what they feel they are likely to get
264 themselves.

265 Another relevant aspect that is generally pointed out as a source of bias is
266 hostility (Falchikov 2003). However, when dealing with a large number of students and
267 admitting hostility exists only between a few students, this will be averaged out
268 eventually.

269 What is left is a bulk of marks allocated by the students in the tight and narrow
270 7-8 range, even though we must recognise the tutors also felt that the majority of
271 presentations would fit in this category.

272 *Normalisation of the peer-assessment marks*

273 One important conclusion from the previous analysis is that the peer-assessment marks
274 are narrow-banded when compared to the tutor marks. Peer-assessment marks range
275 between 5 and 10 (6 marking intervals considered) whereas tutor marks range between
276 1 and 10 (10 marking intervals considered). In an attempt to stretch this band (i.e., to
277 increase its range) so as to match the tutors' marking range, the following formula is
278 suggested:

279
$$s_{normi} = r \cdot s_i - c \tag{1}$$

280 In this equation, s_{normi} is the normalised peer-assessment mark for student i , s_i is
 281 the original peer-assessment mark for student i , c is a constant used to limit the
 282 maximum marks given and r is the range correction factor given by.

283
$$r = \frac{\Delta T + 1}{\Delta S + 1} \tag{2}$$

284 with ΔT the tutors' marking range ($\Delta T = T_{max} - T_{min}$) and ΔS the students'
 285 marking range ($\Delta S = S_{max} - S_{min}$). In this case, $S_{max} = T_{max} = 10$, $T_{min} = 1$ and $S_{min} = 5$.

286 Determination of c can be done by imposing one chosen criterion, for instance
 287 the maximum mark allowed or the target average. In this case, the criterion adopted was
 288 that the average would be the same for both the students and the tutor, i.e.:

289
$$c = \frac{1}{N} \sum_{i=1}^N (r \cdot s_i - t_i) \tag{3}$$

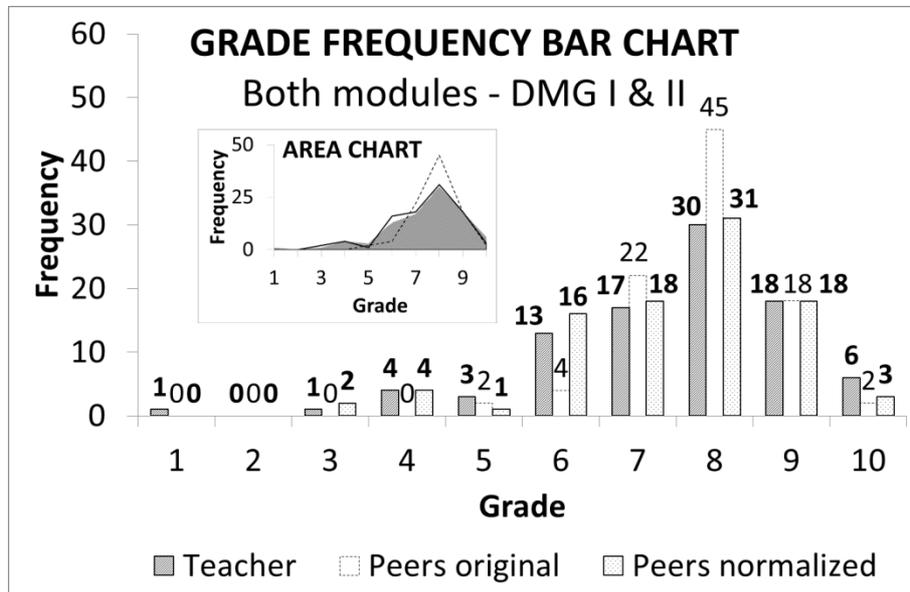
290 The values of s_i and t_i are the marks received by student i from their peers and
 291 the tutor, respectively. These values are the exact averaged values and not rounded
 292 values as shown in the histogram earlier. In the present study, the values so obtained
 293 were $r = 1.667$ and $c = 5.564$.

294 The correlation between two sets of marks, say the peer-assessment marks and
 295 the tutor's marks, can then be determined from the *Pearson product-moment*
 296 *correlation coefficient*:

297
$$\rho_{s,t} = \frac{E[(s - \bar{s})(t - \bar{t})]}{\sigma_s \sigma_t} \tag{4}$$

298 where $E[\cdot]$ denotes the ensemble average value of $[\cdot]$ and σ_s and σ_t represent the
 299 standard deviation of the peer-assessment marks and tutor's marks respectively.

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302 Figure 3. Histogram showing the amount of times a grade was given, including
 303 normalisation of the peer-assessment marks.

304

305 Results of the normalisation procedure are shown in Figure 3 bar chart, along
 306 with the tutors' marks and the original peer-assessment marks. It is very interesting to
 307 notice how this adjustment process changed data. Now there is a very strong correlation
 308 between the two sets of data (tutor and peers-normalised), highlighted by the area charts
 309 that are almost perfect matches. In terms of the Pearson correlation factor between the
 310 peer and tutor marks (equation 4), it improved from 94% to 99% after normalisation.
 311 Analysis of these results will be done following the same reasoning as before, i.e. by
 312 highlighting selected ranges of the plot represented on figure 3:

- 313 • Range 1 – 4: The same 6 students that were identified by both the students and
 314 the tutors as having the worst presentations are now in the 'fail' range (peer-

315 assessment originally placed them at the 5-6 range). However, even though the
316 normalised range was stretched so as to include 1, the worst mark obtained was
317 as high as a 3. It is interesting to note that, now, only one student is divergent
318 between the tutors' perception and the students' perception.

319 • Range 5 – 8: All results are now very similar when comparing the tutors and the
320 normalised peer-assessment marks, especially with respect to the 6th and the 8th
321 marking ranges, in which the relative difference between the number of students
322 included by the tutors and the peers drops from 69% to 23% in case of the 6th
323 range and drops from 50% to only 3% in case of the 8th range.

324 • Range 9 – 10: There was some improvement in this range as well. This
325 normalisation allowed that one student being graded 9 by their peers now
326 receives a 10 and one student being graded 8 by their peers now receives a 9.

327 Finally, a comment on the apparent coincidence between the lecturer's marks
328 and the normalised peer-assessment marks. At a first glance, it may seem that equation
329 (1) and the subsequent reasoning serves only to adjust the numbers so as to fit the
330 tutor's marks. Nevertheless, this is only valid happen because the qualitative judgement
331 of the students is coherent with the tutor's judgement. What is happening is that the
332 different presentations were naturally sorted from the worst to the best because the
333 criteria (being *holistic*) tended to be based on comparison.

334 **Conclusion**

335 In this study, an attempt has been made to better understand how well peer- and tutor
336 assessment correlate, even if the awarded marks differ significantly initially.

337 Engineering undergraduate students were invited to get involved in peer-assessment by
338 marking one another's presentations about their Technical Drawing and Geometrical

339 Modelling projects. A set of marking data with statistical significance (93 students'
340 sample) was analysed to assess how differently the students mark their peers when
341 compared to the tutors.

342 The peer-assessment was *blind* – the marks were kept anonymous from the
343 students - to reduce any fears of discrimination. However, it was not possible to
344 implement it in a double-blind peer-review fashion, as the students knew who they were
345 marking, so it is possible that fear for discrimination and hostility were not totally
346 reduced, although hostility is expected to be very localised and, thus, averaged out.

347 As might be expected, students tend not to fail their peers – neither do they tend
348 to award the highest marks either. This means that the band where the students give
349 their marks tends to be narrower than the one used by the lecturer. Yet, it was found that
350 they are able to make a correct relative judgement between their peers' performances
351 (and thus themselves).

352 To mitigate this, a normalisation procedure to adjust peer-assessment marks has
353 been presented, in an attempt to correlate peer- and tutor assessment in quantitative
354 judgements. This adjustment, that proved to significantly improve the correlation
355 between the tutor's and the students' assessments, is still very dependent on the
356 teacher's perception (e.g., marking range and average). Thus, further study is still
357 needed so as to conclude about its practical usefulness, especially when tutor's marks
358 are not available to establish reliable normalisation parameters.

359

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