

## **A history of invasion: *COI* phylogeny of Manila clam *Ruditapes philippinarum* in Europe**

Stefania Chiesa<sup>a\*</sup>, Livia Lucentini<sup>b</sup>, Rosa Freitas<sup>a</sup>, Francesco Nonnis Marzano<sup>c</sup>, Silvia Breda<sup>d</sup>,  
Etelvina Figueira<sup>a</sup>, Nathalie Caill-Milly<sup>e</sup>, Roger J.H. Herbert<sup>f</sup>, Amadeu M.V.M. Soares<sup>a</sup> and  
Emanuele Argese<sup>d</sup>

<sup>a</sup>Department of Biology & CESAM, University of Aveiro, Campus de Santiago, 3810-193, Aveiro,  
Portugal

<sup>b</sup>Department of Chemistry, Biology and Biotechnologies, University of Perugia, Via Elce di Sotto,  
06123, Perugia, Italy.

<sup>c</sup>Department of Life Sciences, University of Parma, Viale delle Scienze 11/a, 43124, Parma, Italy

<sup>d</sup>Department of Molecular Sciences and Nanosystems, Ca' Foscari University of Venice, Via  
Torino 155, 30172 Venezia Mestre, Italy.

<sup>e</sup>Ifremer, Laboratory Halieutic Resources from Aquitaine, UFR Sciences and Technics, 1 allée du  
Parc Montaury, F-64600, Anglet, France.

<sup>f</sup>Centre for Conservation Ecology and Environmental Sciences, Faculty of Science and Technology,  
Bournemouth University, Christchurch House, Fern Barrow, Poole, BH12 5BB, Dorset, United  
Kingdom.

*\*Corresponding author. Dr. Stefania Chiesa, Department of Biology & CESAM, University of  
Aveiro, 3810-193 Aveiro (Portugal). Phone +351 234 370782 fax +351 234 372587. E-mail:  
stefania.chiesa@ua.pt*

1 **Abstract**

2 The Manila clam *Ruditapes philippinarum* - synonym *Venerupis philippinarum* (Adams and Reeve,  
3 1850) is now one of the top 5 most commercially valuable bivalve species worldwide. Originally  
4 from the Indo-Pacific region, it has been introduced in many countries for fisheries and aquaculture,  
5 including estuarine environments along Atlantic and Mediterranean European coasts. Yet despite its  
6 commercial value and widespread distribution, the precise origins of stocks remain speculative and  
7 the genetic diversity of introduced populations is poorly known. Thus, the aim of this work was to  
8 collect mtDNA *COI* (*Cytochrome oxidase I*) gene sequences from 5 European countries with  
9 Manila clam stocks and compare them with native Asian populations to evaluate their genetic  
10 diversity and identify possible routes of invasion. The *COI* gene sequencing supported a strong  
11 founder effect in the European populations with 3 main haplotypes occurring at high frequencies,  
12 derived from Japan. However, high haplotype diversity was also observed due to the occurrence of  
13 10 rare haplotypes. This supports hypotheses (i) there have been additional, previous unrecorded,  
14 introductions as previously hypothesized by analysis of *16S* rDNA, and (ii) there has been a limited  
15 loss of genetic diversity in introduced populations, as previously suggested by microsatellite data.  
16 This is the first genetic comparison of Manila clam populations introduced in to Europe with native  
17 clams. Genetic data herein presented are fundamentally important for the traceability of clam  
18 products and stock management programmes and will also inform discussion on the potential  
19 resilience of exploited Manila clam populations.

20

21 **Key words**

22 Manila clam, *COI*, genetic diversity, Europe, Non-indigenous species

23

24 **1. Introduction**

25 Among commercially exploited bivalves, the Manila clam *Ruditapes philippinarum* - synonym  
26 *Venerupis philippinarum* (Adams and Reeve, 1850) is of considerable international importance and  
27 considered among the top 5 most commercially valuable bivalve species worldwide (over 250,000  
28 tons for year) (Astorga, 2014). Originally distributed in the Indo-Pacific region it has been  
29 introduced in many countries for fisheries and aquaculture (Gosling, 2003), including European  
30 Atlantic and Mediterranean coastal waters (Gosling, 2003). As reported by Flassch and Laborgne  
31 (1992), until the 1990s the main European stocks originated from a small pool of organisms  
32 introduced from North America (see Table 1 for a summary of initial introductions in Europe).  
33 Following the available data on licensed introductions, the first introductions in Europe dates back  
34 to 1972-1974 in Arcachon Bay, France by IFREMER (Institut Français de Recherche pour  
35 l'Exploitation de la Mer). Flassch and Leborgne (1992) reported that a total of 500,000 spat, and  
36 1,000 adults from Puget Sound (South Western Canada, Pacific coast) were introduced into the  
37 Arcachon Bay, roughly representing a total biomass of 70 kg. The same population from Puget  
38 Sound was used for the first introduction of Manila clam in the UK in 1980, at the MAFF (Ministry  
39 of Agriculture, Fisheries and Food) Fisheries Laboratory, Conwy-North Wales (Humphreys et al.,  
40 2015). The near-by Menai Strait was identified as the location of the first introduction into UK  
41 coastal waters in 1983 (Humphreys et al., 2015). In the same year, the first introduction in the  
42 Northern Adriatic Sea also occurred, conducted by the Co.S.P.A.V (Consorzio per lo  
43 Sviluppo della Pesca e dell'Acquacoltura del Veneto) in the Venice lagoon using seed from Great  
44 Britain (Breber, 1985). In a short period of time Manila clam was introduced in other Adriatic  
45 coastal lagoons, namely Marano, Caleri, Scardovari, Goro (Pellizzato, 1990). All these first  
46 introductions were conducted with clams coming from SeaSalter Shellfish Company (M. Pellizzato,  
47 pers. Comm.) which operated from hatcheries in south-east and north-west England, and the  
48 company was established with clams from Conwy (Humphreys et al., 2015). In Spain, Manila clam  
49 was already occurring in the mid '80s (Perez-Camacho and Cuna, 1985) in many different coastal

50 areas (Galicia, Cantabria, Andalusia, and Cataluña). The first report of Manila clam in Portugal  
51 dates back to 1984 in Ria Formosa (Algarve) probably originated from Spain (Ruano and Sobral,  
52 2000), even if no information about the status of the Spanish “source” population (hatchery or  
53 naturalised) is available. The species is not yet licensed in Portugal (Chainho et al., 2015) even if  
54 aquaculture was the most likely vector of introduction (Chainho et al., 2015). However, since the  
55 ‘80s, naturalised Manila clam populations have been reported in many estuarine systems all over  
56 the country (Gaspar, 2010; Chainho, 2014; Chainho et al., 2015; Velez et al., 2015a; b). Today  
57 Manila clam is considered the dominant bivalve species in the Tagus estuary and is one of the most  
58 abundant clams in the Ria de Aveiro and Sado estuary (Chainho, 2014; Velez et al., 2015a).  
59 Nowadays, the production of Manila clam in Europe derives mainly from fisheries of naturalised  
60 populations, established after human-mediated introductions. This is the case in France, specifically  
61 Arcachon Bay, where the whole production derives from the original introduced and naturalised  
62 population (Bald et al., 2009; Sanchez et al., 2014), and also England (Humphreys et al., 2015),  
63 Spain (e.g. the Bay of Santander- Bidegain and Juanes, 2013) and Portugal (Chainho et al., 2015).  
64 Detailed literature data are available for UK, where the first reported naturalized Manila clam  
65 population was observed in Poole Harbour (Jensen et al., 2004), where the first licensed  
66 introduction dates back to 1988 from seeds originated from Conwy hatchery, and wild clams  
67 appeared about two years latter (Humphreys et al., 2015). Between 1980 and 2010 the Manila clam  
68 has become naturalized in 11 British estuaries. The most extensive newly established wild  
69 populations is in Southampton Water, which lies about 48 km east of Poole Harbour, and where  
70 Manila clam likely arrived in 2002 (Humphreys et al., 2015). It is possible that this has originated  
71 via natural larval dispersal from Poole Harbour (Herbert et al., 2012) or anthropogenic means  
72 (Humphreys et al., 2015).  
73 Aquaculture facilities have been also successfully established for Manila clam in UK, Italy  
74 (Northern Adriatic Sea) and in Spain, especially in Galicia (Robert et al., 2013). In Spain, hatcheries

75 mainly provide seeds for local associations of producers. Most of the production takes place in  
76 private parks (concessions for a period of years) and on beaches that are managed by local  
77 associations (Robert et al., 2013). In Italy, Manila clam spread occurred rapidly, and quickly  
78 populations became naturalised (Pellizzato, 1990) thus its exploitation became the most  
79 economically important fishing activity, especially in the Venice Lagoon (see Boscolo Brusà et al.,  
80 2013 for a complete list of references). However, due to the initial lack of reliable regulation and  
81 unsustainable exploitation of fisheries resources, there has been a constant decrease in clam  
82 production (Boscolo Brusà et al., 2013), which determined a recent transition from clam fishing to  
83 clam farming activities, and to the rational management of natural spat (Boscolo Brusà et al., 2013).  
84 Currently, in the Venice lagoon most clam harvesting is carried out in licensed areas directly  
85 managed by farmers (Boscolo Brusà et al., 2013), using seeds derived from natural spat. This  
86 system has been already established in other Northern Adriatic Sea lagoons, like Goro lagoon,  
87 where the production remained stable for almost 3 decades (Bartoli et al., in press). In general, the  
88 problem for Manila clam cultivation in Europe is the same as global shellfish aquaculture: high  
89 quality seed availability (Robert et al., 2013). Although efforts have been made to improve the  
90 hatchery production, clam farming of Manila clam still depends on natural seeds (Robert et al.,  
91 2013).

92 As underlined in previous paragraphs, Manila clam is a valuable economic resource for some  
93 European countries. However, as pointed out by Astorga (2014), although aspects of the species  
94 biology have been studied genetic resources are still largely unknown. For several fisheries and  
95 aquaculture commercial species, especially fish, biotechnology and genetic research have developed  
96 significantly in the last decade (Astorga, 2014); however similar applications for valuable molluscs  
97 have been minimal (Astorga, 2008; 2014) and for Manila clam in particular. In fact, whole genome  
98 reference sequences, high-density SNP genotyping arrays or genotyping-by-sequencing have been  
99 developed especially for fish (Yáñez et al., 2015). As for Manila clam, few studies have been

100 devoted to the genetic diversity and structure of populations in its native range (see as examples  
101 Sekine et al., 2006; Vargas et al., 2008; Liu et al., 2007; Mao et al., 2011; An et al., 2012; Kitada et  
102 al., 2013, Nie et al., 2015) and in introduced ecosystems (Chiesa et al., 2011; 2014; 2016; Mura et  
103 al., 2012; Hurtado et al., 2012). Yet a comparative study of native and introduced populations has  
104 not previously been undertaken and no genetic information is available concerning the differences  
105 occurring among productive stocks worldwide, or potential invasion pathways that might  
106 compromise the ability to perform predictions of genetic diversity and population structure of non-  
107 indigenous taxa (Holland, 2000).

108 The genetic structure of an invasive population depends on several factors, including the effective  
109 population size at the time of introduction and the genetic diversity of the source population  
110 (Holland, 2000). If an introduction occurs as a single event, starting from a limited number of  
111 founders, population genetic theory predicts that alleles will be fixed and lost at an accelerated rate  
112 relative to the source population (Mayr, 1963; Hartl and Clark, 1997; Holland, 2000). The gene  
113 pool of the introduced population is expected to be limited, as a result of the stochastic process of  
114 the introduction mechanism (Holland, 2000). However, if the introduction involves a large  
115 genetically diverse assortment of individuals, it is expected to have little or no reduction in  
116 heterozygosity and allelic diversity relative to the gene pool of the source population (Holland,  
117 2000). In fact, a founding population which derives from numerous previously isolated populations  
118 has the potential to produce a genetically highly diverse assortment of offspring. It has been already  
119 proposed by Roman and Darling (2007) that invasions from multiple discrete source populations, or  
120 admixture, may be the standard rather than the exception in invasion biology and that the co-  
121 occurrence of mitochondrial lineages, geographically separated in the native range, could be  
122 considered an evidence of multiple introductions events (Taylor & Keller, 2007).

123 Furthermore, genetic data on Manila clams is fundamental for studies associated with clam  
124 traceability and safety, preventing fraud and supporting management programmes of exploited

125 populations. This is particularly important for a highly exploited resource like Manila clam, both for  
126 fisheries and aquaculture. In fact, the erosion of the genetic diversity determinates a high risk of  
127 introgression and a reduction of fitness of the exploited populations, and also their resilience  
128 capability as relict populations (Frankham et al., 2010). In the Venice lagoon, an overexploitation of  
129 Manila clam that has occurred in the last decades has resulted in a huge reduction of the naturalised  
130 population (Boscolo Papo et al., 2013) with possible consequences for genetic diversity and  
131 demographic structure.

132 Previously, studies conducted on Manila clam populations from the Northern Adriatic Sea, Portugal  
133 (Ria de Aveiro) and Spain (Galician coast) demonstrated a strong founder effect by *16SrDNA* gene  
134 sequencing, but also enhanced haplotype diversity occurring in introduced populations (Chiesa et  
135 al., 2011, 2014). Moreover, microsatellite genotyping in the same populations showed a limited loss  
136 of genetic diversity, and even though several loci were affected by null alleles, globally the number  
137 of alleles was comparable to those observed in native Asian populations (Chiesa et al., 2011, 2016;  
138 Chiesa et al., in press).

139 Considering that previous studies on Asian populations were also conducted with *COI* gene  
140 fragment sequencing (Sekine et al., 2006; Mao et al., 2011; Kitada et al., 2013), the present work  
141 aimed to collect mtDNA *COI* gene sequences also from 5 European countries hosting Manila clam  
142 aquaculture and fishing activities, and for the first time to compare genetic diversity between these  
143 introduced stocks and native Asian populations. This is the first genetic study to investigate  
144 invasion routes of Manila clams in Europe and the genetic diversity of commercial stocks, which  
145 will contribute to the basic knowledge in the field of invasion biology, and support management  
146 programmes of this valuable economic resource in European countries.

147

## 148 **2. Methods**

### 149 ***2.1 Sampling procedures***

150 Manila clam was collected from introduced naturalised populations in the Northern Adriatic Sea  
151 (N= 111), and along the Atlantic coast in Portugal (Ria de Aveiro lagoon, Óbidos lagoon and Ria  
152 Formosa, Tagus and Sado estuaries, N = 71), North Western Spain (Galicia, N = 10), South  
153 Western France (Arcachon, N = 15) and Southern UK (Poole Harbour and Southampton, N =16). A  
154 total of 223 samples were analyzed. Details on sampling locations are provided in Fig.1 and Table  
155 2. Haplotypes previously identified by *16S* rDNA (Chiesa et al., 2014) were resubmitted for *COI*  
156 genotyping.

### 157 ***2.2 DNA extraction and purification***

158 High molecular weight genomic DNAs were extracted and purified from ethanol-fixed mantle and  
159 foot tissue stored at -20 °C using the Wizard genomic DNA Purification kit (Promega) following a  
160 standardized protocol (Chiesa et al., 2011; 2014). Ethanol-fixed mantle and foot tissue stored at -20  
161 °C were selected for the extraction to avoid the interferences of the DUI – the Doubly Uniparental  
162 Inheritance (Plazzi and Passamonti, 2010). This phenomena was already described in bivalves like  
163 Manila clam (Passamonti and Scali, 2001) and blue mussel (Zouros et al., 1994), implying the  
164 existence of two mtDNAs in adult males, the so called “F – type” mitochondrial genome which  
165 prevails in somatic tissues, while the so called “M-type” mitochondrial genome is strongly  
166 predominant in gonads (Cao et al., 2004). Sperm carry only M-type mtDNAs, which nucleotide  
167 sequence can diverge from the F-type mtDNA up to the 30%. For this reason, for phylogenetics and  
168 biogeographic analyses the F-type DNA should be selected, due to its maternal inheritance. To  
169 avoid the co-extraction and amplification of M-type mtDNA, specific tissues should be selected for  
170 DNA extraction, as they carry a very little quantity of M-type mtDNA, even in males. Generally  
171 mantle and foot tissues are selected for clams (see as examples Kappner and Bieler, 2006; Plazzi  
172 and Passamonti, 2010; Chiesa et al., 2011).

### 173 ***2.3 Mitochondrial DNA analyses***



174 Amplification of a *COI* gene fragment was achieved with a multiple set of primers: *COI* universal  
175 primers *LCO1490*: 5'-GGTCAACAAATCATAAAGATATTGG-3' and *HCO2198*: 5'-  
176 'TAAACTTCAGGGTGACCAAAAAATCA-3' (Folmer et al., 1994); degenerated *COIF-ALT*: 5'-  
177 ACAAATCAYAARGAYATYGG-3' and *COIR-ALT*: 5'-TTCAGGRTGNCCRAARAAYCA-3'  
178 designed for Veneridae family (Kappner and Bieler, 2006; Mikkelsen et al., 2006) and specific  
179 Manila clam primers designed by PRIMER 3 (Rozen and Skaletsky, 1998) named *COI ALT LIV*  
180 *FW*: 5'-AACMAATCATAAAGATATTGG-3' and *COI ALT LIV RV*: 5'-  
181 AACTTCRGGRTGACCAAAAA-3' amplifying 704 bp of the *COI* gene fragment.  
182 For those samples not amplifying with a single PCR, a nested approach was used with internal  
183 primers designed by PRIMER 3 (Rozen and Skaletsky, 1998) named *COI FIL INT FW*: 5'-  
184 TTTTTCAWTTTGGGCTGGTY-3' and *COI FIL INT RV* 5'-CTCCCAACCCTATTGGRTCR-3',  
185 amplifying a 618 bp *COI* gene fragment.  
186 A reaction volume of 50 µl containing 1 U of GoTaq Polymerase (Promega, Madison, WI, USA),  
187 Mg<sup>2+</sup> 1.5 mM and dNTPs 0.2 mM, and 10 pmol of each primer was used for each reaction. PCR –  
188 touch down profile was set as follows for *LCO1490/HCO2198* and *COIF-ALT/ COIR-ALT*: 40  
189 cycles of 30 s at 95°C, 45 s at 45°C, and 60 s at 72°C; after an initial 10 min denaturation step at  
190 95°C and a final extension at 72°C for 10 min (Chiesa et al., 2011). For newly designed *COI ALT*  
191 *LIV FW/RV* primers the following profile was performed: 35 cycles of 30 s at 94°C, 55 s at 48°C,  
192 and 45 s at 72°C; after an initial 3 min denaturation step at 94°C and a final extension at 72°C for  
193 10 min. For newly designed *COI FIL INT FW/RV* primers the nested profile was performed as: 35  
194 cycles of 30 s at 94°C, 50 s at 52°C, and 40 s at 72°C; after an initial 3 min denaturation step at  
195 94°C and a final extension at 72°C for 5 min.  
196 Fragment sequencing was performed by MACROGEN Europe service (Amsterdam, the  
197 Netherlands). Multiple alignments of sense and antisense sequences were conducted using MEGA  
198 6.06 (Tamura et al., 2013) and Sequencer 4.2 (Gene Code Corporation). The experimental

199 sequences were aligned and compared with those of *R. philippinarum* obtained by GenBank from  
200 native Asian populations, and other species of the same genus including the Asian *Ruditapes*  
201 *variegatus* (synonym *R. variegata*, Sowerby 1852) and *Ruditapes decussatus* (Linnaeus, 1758), the  
202 latter is the native species of southern and western England, the Iberian Peninsula and the  
203 Mediterranean (Poppe and Goto, 1991). When obtaining sequences from GenBank, we followed the  
204 recommendations from Plazzi and Passamonti (2010) namely in retrieving female specimen data  
205 only due to the DUI, whenever this information was available. See Supplementary Table 1 for  
206 detailed Accession numbers and original sources.

207 Haplotype network analysis was performed through TCS v1.21 (Clement et al., 2000), with  
208 confidence threshold at 95% for *Ruditapes* genus sequences to test whether *R. philippinarum*  
209 haplotypes formed a single network separate to congeneric species (Hart and Sunday, 2007;  
210 Lucentini et al., 2011). Data were converted into a rdf file using DNA-alignment software and then  
211 a median-joining network (Bandelt et al., 1999) was constructed using Network 4.611 (both from  
212 Fluxus-Engineering: <http://www.fluxus-engineering.com>) for *R. philippinarum* haplotypes and  
213 outgroups.

214 The identification of variable and parsimony informative sites, the translation of nucleotide  
215 sequences, the pairwise genetic distances, the nucleotide base composition and the  
216 transition/transversion ratios were calculated using MEGA 6.06 (Tamura et al., 2013).

217 Spatial or demographic expansion was estimated through Tajima's D neutrality test (Tajima, 1989)  
218 performed using DNAsp 5.0, assessing significance with 1000 permutations (Rossetti and Remis,  
219 2012) and testing data for 4 different subsets: at large scale for the entire *R. philippinarum* pool, for  
220 the European pool, and separately for the Atlantic and for the Adriatic pools.

221 Statistical selection of best-fit models of nucleotide substitution was performed by means of  
222 jModelTest (Guindon and Gascuel, 2003; Darriba et al., 2012). This selection was based on 203  
223 substitution schemes including scheme frequency, I and G rate variation, testing a total of 1624

224 models. On the basis of these results, the Jukes-Cantor model was used to assess the evolutionary  
225 history among *R. philippinarum*, *R. decussatus* and other outgroups; Maximum Likelihood and  
226 Neighbour Joining methods were inferred in MEGA6.06 estimating standard error by a bootstrap  
227 procedure (1000 replicates). In particular, for the Maximum likelihood method a discrete Gamma  
228 distribution was used to model evolutionary rate differences among sites (G categories = 4).

229

### 230 **3. Results**

231 Cytochrome oxidase I gene fragments were successfully sequenced and aligned unambiguously  
232 with those of GenBank for 491 bp. The final dataset comprised 465 sequences, 223 from this work.  
233 The overall number of mutations within the whole *R. philippinarum* dataset was 105 including both  
234 original and reference samples, and no insertion or deletion was observed. Among the European *R.*  
235 *philippinarum* sequences, 11 point mutations, 9 transitions (at positions 57, 96, 102, 126, 158, 321,  
236 386, 426, 487) and 2 transversions (positions 6 and 330) were identified.

237 174 haplotypes were identified including outgroups and 166 considering the whole *R.*  
238 *philippinarum* dataset (not shown).

239 The European *R. philippinarum* samples belonged to 13 haplotypes (*RpCOI1-RpCOI13*) whose  
240 GenBank Accession numbers are reported in Table 3. These haplotypes are closely related and  
241 grouped into a single network that is the only haplogroup emerging from these data (Fig. 2). The 13  
242 haplotypes were differently represented on the whole dataset, *RpCOI1*, *RpCOI2* and *RpCOI3* those  
243 showing the highest haplotype probability among European *R. philippinarum* haplotypes,  
244 respectively equal to 0.178 (*RpCOI1*), 0.150 (*RpCOI2*) and 0.229 (*RpCOI3*) (Fig. 2, Table 4). The  
245 other haplotypes, mainly those newly described, had a lower probability and were represented by  
246 only 1 or a few sequences, showing, consequently, lower weight values (Table 4). These differences  
247 in “consistency” of the *COI* haplotypes reflect their geographical distribution among the European  
248 countries. Observing haplotypes distribution, in fact, clearly emerged a complex pattern (Fig. 3,

249 Supplementary Table 2), as 3 of them (*RpCOI1*, *RpCOI2* and *RpCOI4*), were shared among  
250 Atlantic (UK, Spain, Portugal, France) and Adriatic populations (Italy). The remaining ones were  
251 identified only in the Atlantic (*RpCOI3*, *RpCOI6*, *RpCOI7*, *RpCOI8*) or in the Adriatic (*RpCOI5*,  
252 *RpCOI9*, *RpCOI10*, *RpCOI11*, *RpCOI12*, *RpCOI13*) group.

253 The Tajima's Neutrality Test performed on the whole *R. philippinarum* sequences showed the  
254 occurrence of 105 segregating sites and a Tajima statistics test D value of -1.898. Considering only  
255 European samples, Tajima's D value was 0.255 with 11 segregating sites. Restricting to fine scale,  
256 i.e. to either Atlantic or Adriatic samples, Tajima's D value was 0.965 (8 segregating sites) and  
257 -0.929 (10 segregating sites), respectively.

258 JModelTest identified JC as the best model (-lnL = 320042.66). Bootstrap ML (Fig. 4) and NJ (not  
259 shown) phenograms performed with this model showed almost the same topology. Among *R.*  
260 *philippinarum* haplotypes, 3 main clusters can be identified as showed in Fig. 4. Cluster A (in blue)  
261 included mainly the Japanese, European and some Chinese haplotypes from both Genbank and from  
262 this work; clusters B (in green) and C (in red) included the majority of the Chinese haplotypes  
263 obtained from Genbank (Fig. 4).

264 All the 13 haplotypes identified in European populations grouped within the cluster A among  
265 different sub clusters (Fig. 5).

266

#### 267 **4. Discussion**

268 The 13 *COI* haplotypes observed in the 20 European sampling sites were characterized by 3  
269 common haplotypes (*RpCOI1*, 2, 3) connected to 10 derived and rare haplotypes (*RpCOI4-13*).  
270 Interestingly, haplotypes *RpCOI1* and *RpCOI2* were the most frequent and comprised almost 70%  
271 of the analyzed sequences, both from Atlantic and Adriatic populations. A similar pattern was  
272 previously observed for Portuguese, Spanish and Italian introduced populations by the direct  
273 sequencing of a *16SrDNA* fragment (Chiesa et al., 2011; 2014). Moreover, the relatively high

274 haplotype diversity observed in introduced populations reflect the genetic structure that has already  
275 been described for natural Chinese and Japanese populations (Mao et al., 2011; Kitada et al., 2013).  
276 A limited loss of genetic diversity in introduced populations was also indicated by microsatellite  
277 (Chiesa et al., 2011; 2016) and allozyme (Moraga, 1986) data.  
278 The most common haplotypes identified in European samples (*RpCOI1-3*) have been previously  
279 observed in native populations. Specifically, *RpCOI1* corresponded to the haplotype *h6* (Kitada et  
280 al., 2013) from Japan; *RpCOI2* to the haplotype *h21* (Kitada et al., 2013) from China Sea and Japan,  
281 and included also the samples of Qingdao, Nanao Bay, Rushan, Tianjin, Kagawa, Mikawa Bay,  
282 Tokyo Bay, Ariake Bay (Mao et al., 2011). The *RpCOI3* corresponded to the haplotype *h32* (Kitada  
283 et al., 2013), from East China Sea and Japan, and also included the samples from Qingdao, Tianjin,  
284 Kagawa, Akkeshi, Mikawa Bay, Tokyo Bay, Ariake Bay, Notsuke Bay from the paper of Mao et al.  
285 (2011). The *RpCOI5* corresponded to the haplotype *h53* from Mikawa Bay and *RpCOI8* to the  
286 haplotype *h58*, already identified in Japan (Kitada et al., 2013). The other 8 haplotypes were newly  
287 described, considering all the *R. philippinarum* sequences previously collected and registered in  
288 GenBank.

289 The D value of Tajima test calculated for the entire *R. philippinarum* dataset ( $D < 0$ ) showed the  
290 occurrence of many polymorphic sites ( $>100$ ) and many haplotypes with low frequencies,  
291 indicating a population expansion mainly in the natural range of distribution. Yet when the Tajima  
292 test was performed only on European samples ( $D > 0$ ) it indicated the occurrence of multiple  
293 alleles, some at low ( $<25\%$ ), but others at high frequencies ( $>70\%$ ). This situation is frequently  
294 observed when a sudden population contraction or a founder effect occurs (Tajima, 1989). Data  
295 from Atlantic populations (positive D value) are consistent with balanced selection following the  
296 first Manila clam introduction in Europe. As for Adriatic populations, the negative value is  
297 consistent with a founder effect and additional introductions. This interpretation is also reinforced

298 by the frequency data of different haplotypes in Atlantic and Adriatic areas obtained within this  
299 research.

300 The Maximum Likelihood radial tree performed on the whole Manila clam dataset showed the  
301 occurrence of 3 main clusters, as already described for the North-West Pacific Ocean by Mao et al.  
302 (2011): the lineage A included most of the Japanese populations, and some Chinese populations  
303 (specifically those from Kiaochow Bay, Rushan and Laizhou) whilst the lineages B and C were  
304 composed mainly of Chinese populations. As shown by the condensed tree in Fig. 5, all the 13  
305 haplotypes observed in European populations belonged to cluster A and were distributed within 9  
306 sub-clusters. The haplotype position in the radial tree does not support a recent evolution of the  
307 European haplotypes, including those newly described, which supports the hypothesis of an ancient  
308 evolution of the *COI* haplotypes of Manila clam. The occurrence of new haplotypes in the  
309 introduced populations, not previously described in native regions, may be due to a sampling bias  
310 among native and invaded communities. It is noteworthy that the most common haplotypes in  
311 European populations could be clearly identified within cluster A, mainly composed of Japanese,  
312 but also some Chinese haplotypes. Thus the *COI* data suggest the hypothesis that European  
313 populations of Manila clam could derive from Japanese and Chinese populations of the lineage A.  
314 Reconstructing the routes of invasion within the European countries it is interesting to note that the  
315 3 haplotypes with the highest probability- *RpCOI1*, *RpCOI2* and *RpCOI3* - were occurring in all  
316 European populations, except for those of southern UK. These results confirm the hypothesis of a  
317 major human mediated introduction event commencing from a common pool within European  
318 countries. Portuguese (Ria de Aveiro, Óbidos and Ria Formosa lagoons, and Tagus and Sado  
319 estuaries) and Spanish (Galician coast) populations herein analyzed shared their haplotypes with  
320 France, Italy and UK, supporting the hypothesis of a strong founder effect also in the Iberian  
321 peninsula. However, especially in Portuguese populations, rare haplotypes with limited geographic

322 distribution were observed, supporting the hypothesis of additional introduction events, probably  
323 intentionally and conducted by fishermen. The two English populations shared the same frequent  
324 haplotype - *RpCOI4* (also observed in Spain and Northern Adriatic Sea with low frequencies) but  
325 the common European haplotypes (*RpCOI1*, 2, 3) are missing in these samples. This result may be  
326 explained by a bottleneck effect in the British populations. The naturalised British population is at  
327 the northern extremity of the species range which may not represent an optimal environment, even  
328 though Poole Harbour is shallow, warm and has lagonal characteristics (Humphreys, 2005). This  
329 hypothesis is also consistent with reported population densities which are significantly lower than  
330 those recorded in southern European sites such as on the Italian Adriatic coast (Breber, 2002;  
331 Humphreys et al., 2007; 2015). Isolated individuals as relics of otherwise unsuccessful spatfalls  
332 have also been observed in southern England (Humphreys et al., 2015). Together this could have  
333 determined the reduced haplotype diversity of naturalised populations. Although the samples from  
334 southern England were small, both Poole and Southampton populations were genetically similar,  
335 indicating that differences with southern populations are likely to be valid. As reported in the  
336 introduction section, the established population in Poole Harbour dates back to 1990 (Humphreys et  
337 al., 2015), whilst the naturalised Southampton population appeared later. Both natural dispersal  
338 from Poole (Herbert et al., 2012) and human-mediated introductions (Humphreys et al., 2015) are  
339 equally valid mechanisms for population establishment.

340 Finally, considering both genetic and informations from the literature, probable invasion routes for  
341 European populations of Manila clams can be formulated (Fig. 6). These routes are mainly human  
342 mediated, although for Southampton water a natural expansion cannot be excluded, as reported  
343 above. As described in literature, a major introduction event in Europe occurred from North  
344 America (Flassch and Leborgne, 1992), where Manila clam was previously introduced from Japan  
345 and placed overboard in Ladysmith Harbour (Canada) (Flassch and Leborgne, 1992). As also

346 reported by Humphreys et al. (2015), Japanese clams were taken to the Hawaiian Islands (Bryan,  
347 1919; Yap, 1977), then other Japanese clams reached the North American Pacific coast in the 1930s  
348 as an accidental introduction with stocks of Pacific oyster (Quayle, 1949). Clams from the Puget  
349 Sound were then separately introduced into France (1972-74) and then in UK (Conwy, Wales)  
350 (1980); from southern England the same pool was introduced in Northern Adriatic Sea (1983). In  
351 the early 1990s, clams from Northern Adriatic were frequently transported to Spain (M. Pellizzato,  
352 pers. comm.), and most probably from Spain to Portugal. Genetic data from this work confirmed the  
353 occurrence of a main founder effect in European populations. Moreover, the phylogenetic analysis  
354 confirmed that among the 5 haplotypes occurring in Europe and already described in the natural  
355 range of distribution, 3 are deriving from Japan (*RpCOI1*, *RpCOI5*, *RpCOI8*) and 2 of them were  
356 already described both in Japan and China (*RpCOI2*, *RpCOI3*). The possible Japanese origin of  
357 Manila clam European populations is supported also by literature data on *Perkinsus olseni* and *P.*  
358 *chesapeakei* infections in European populations of *R. philippinarum*, as recently reviewed by Ruano  
359 et al. (2015). However, the occurrence of a high number of rare haplotypes with limited geographic  
360 distribution suggests additional introduction events not recorded previously. These introductions  
361 could have occurred intentionally for commercial exploitation without registration. In the Northern  
362 Adriatic Sea, for the first 2 years after introduction clam seeds for aquaculture activities were  
363 imported from England (Turolla, 2008) but then from Spain (TINAMENOR aquaculture facilities)  
364 and USA (California) during the middle and late 1990s (M. Pellizzato, pers. comm.). It is well  
365 known that over the period 1987 to 1991, Manila clam seed produced in Norway from a Scottish  
366 stock were massively exported for cultivation in Spain (Mortensen and Strand, 2000). Multiple  
367 introduction events could have occurred also due to the existence of mixed source populations,  
368 since Manila clams in Europe have been introduced from non-native populations, already  
369 manipulated for commercial purposes.



370 Finally, as the European Atlantic coast is subjected to introduction of oyster seed for culture  
371 (mainly *Crassostrea gigas*), both from European and non-European countries, accidental species  
372 introduction is possible (Wolff and Reise, 2002) as previously documented for Manila clams in  
373 North America (Quayle, 1949).

374

#### 375 **4. 1. Conclusions**

376 This paper provides the first genetic comparison of Manila clam populations introduced in to  
377 Europe with native clams. The direct sequencing of a *COI* gene fragment has provided data  
378 supporting a strong founder effect of European populations, with 3 main haplotypes occurring at  
379 high frequencies.

380 However, high haplotype diversity due to the occurrence of 10 rare haplotypes, suggests (i)  
381 additional introductions –probably intentionally conducted- following the main event, and (ii) a  
382 limited loss of genetic diversity in introduced populations.

383 Establishing geographic origins and the diversity and structure of exploited populations has  
384 significant implications for the management and traceability of clam stocks. The occurrence of  
385 illegal clam exploitation in moderate and highly polluted environments could represent a serious  
386 risk for human consumption. Thus, knowledge of geographic origin is fundamental to product  
387 traceability within the clam market. The genetic profile of clam populations could be a useful tool  
388 to trace origin of stocks, preventing fraud concerning clam products and avoiding mislabeling in  
389 European countries. Moreover, the genetic data can help to understand the structure of exploited  
390 populations, especially in terms of their variability and resilience to exploitation and selection  
391 driven by aquaculture activities. The maintenance of high genetic diversity in exploited clam  
392 populations is necessary to ensure the survival of the resource over time and the preservation of  
393 population's fitness. In fact, the high reproductive capability, growth rate and the capacity to  
394 respond to environmental changes are strongly influenced by levels of genetic diversity.

395 In conclusion, the genetic resources of Manila clam in Europe should be furtherly investigated and  
396 monitored to ensure its sustainable exploitation.

397

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415

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608

609

610

611 **Table 1**

612 First introductions (authorized or unauthorized) of Manila clam *R. philippinarum* in Europe.

<b>Country</b>	<b>Year</b>	<b>Reference source</b>
France	1972 (authorized)	Robert and Deltreil, 1990; Flassch and Laborgne, 1992
United Kingdom	1980 (authorized)	Humphreys et al., 2015
Italy	1983 (authorized)	Breber, 1985
Spain	1983-85 (unauthorized)	Perez-Camacho and Cuna, 1985
Portugal	1984 (unauthorized)	Ruano and Sobral, 2000

613

614

615 **Table 2**

616 Manila clam sampling sites. Estuarine environments herein analyzed are provided with Country,  
 617 Estuarine system, Site, Acronyms and number of analyzed specimen. The \* symbol indicates the  
 618 populations already analyzed by *I6S* rDNA (see Chiesa et al., 2014).

619

<b>Country</b>	<b>Estuarine System</b>	<b>Site</b>	<b>Acronym</b>	<b>N</b>
Italy	Marano Lagoon*	Site 1	UD	16
Italy	Marano Lagoon*	Site 2	GR	17
Italy	Venice Lagoon*	Busa	AV	10
Italy	Venice Lagoon*	Palude di Monte	BV	16
Italy	Venice Lagoon*	Fusina	FV	9
Italy	Po River Delta*	Marinetta	MA	10
Italy	Po River Delta*	Caleri	CA	11
Italy	Sacca degli Scardovari*	Scardovari	SC	11
Italy	Sacca di Goro	Goro	GO	11
Portugal	Ria de Aveiro Lagoon*	Murtosa	MU	7
Portugal	Ria de Aveiro Lagoon*	Esteiro Rio Boco	ST	8
Portugal	Ria de Aveiro Lagoon*	Costa Nova	CN	7
Portugal	Óbidos lagoon	Obidos lagoon	OB	20
Portugal	Ria Formosa	Ria Formosa	AL	2
Portugal	Tagus estuary	Tagus estuary	TA	11
Portugal	Sado estuary	Sado estuary	SD	16
Spain	Galician coast*	La Coruna	ES	10
France	Arcachon Bay	Arcachon Bay	AR	15
UK	Poole Harbour	Poole Harbour	PH	6
UK	Southampton	Southampton	SH	10

620

621 **Table 3**

622 *COI* haplotypes of *R. philippinarum* deposited in GenBank. Haplotype acronym and Accession  
623 numbers are provided.

<b>Haplotype Acronym</b>	<b>Genbank A.N.</b>
<i>RpCOI1</i>	KU252867
<i>RpCOI2</i>	KU252866
<i>RpCOI3</i>	KU252868
<i>RpCOI4</i>	KU252869
<i>RpCOI5</i>	KU252870
<i>RpCOI6</i>	KU252871
<i>RpCOI7</i>	KU252872
<i>RpCOI8</i>	KU252873
<i>RpCOI9</i>	KU252874
<i>RpCOI10</i>	KU252875
<i>RpCOI11</i>	KU252876
<i>RpCOI12</i>	KU252877
<i>RpCOI13</i>	KU252878

624

625

626 **Table 4**

627 Results of Minimum Spanning Network analysis. The probability weight is shown for each  
628 haplotype.

<b>Haplotype Acronym</b>	<b>Haplogroup</b>	<b>Weight</b>
<i>RpCOI1</i>	H1	0.178
<i>RpCOI2</i>	H1	0.150
<i>RpCOI3</i>	H1	0.229
<i>RpCOI4</i>	H1	0.024
<i>RpCOI5</i>	H1	0.001
<i>RpCOI6</i>	H1	0.137
<i>RpCOI7</i>	H1	0.004
<i>RpCOI8</i>	H1	0.001
<i>RpCOI9</i>	H1	0.001
<i>RpCOI10</i>	H1	0.003
<i>RpCOI11</i>	H1	0.001
<i>RpCOI12</i>	H1	0.134
<i>RpCOI13</i>	H1	0.134

629



630 **Figure Captions:**

631 **Fig. 1.** Collection sites of Manila clam in Atlantic and Adriatic European coastlines (Modified from  
632 d-maps.com)

633 **Fig. 2.** Median-joining network of the 13 *COI* haplotypes for European samples of *R.*  
634 *philippinarum*. The most representative haplotype of the unique haplogroup is reported in a square  
635 instead the other haplotypes are reported in oval. The size of the ovals is proportional to the  
636 consistency of haplotypes. Small dots report base substitutions (see as example Lucentini et al.,  
637 2011).

638 **Fig. 3.** Geographic distribution of the 13 *R. philippinarum* haplotypes for each analyzed population.  
639 The underlined haplotypes *RpCOI1*, *RpCOI2*, *RpCOI4* are shared among Atlantic and Adriatic  
640 populations.

641 **Fig. 4.** Maximum Likelihood (ML) radial tree of *R. philippinarum COI* haplotypes. Japanese  
642 Cluster A (blue) and Chinese clusters B (green) and C (red) are shown.

643 **Fig. 5.** Maximum Likelihood (ML) radial condensed tree of *COI* cluster A. European haplotypes  
644 are indicated by black circles. Japanese Cluster A (blue) and Chinese clusters B (green) and C (red)  
645 are shown.

646 **Fig. 6.** Manila clam routes of invasion in Europe, inferred from bibliographic information, expert  
647 opinion and *COI* data.

648

649

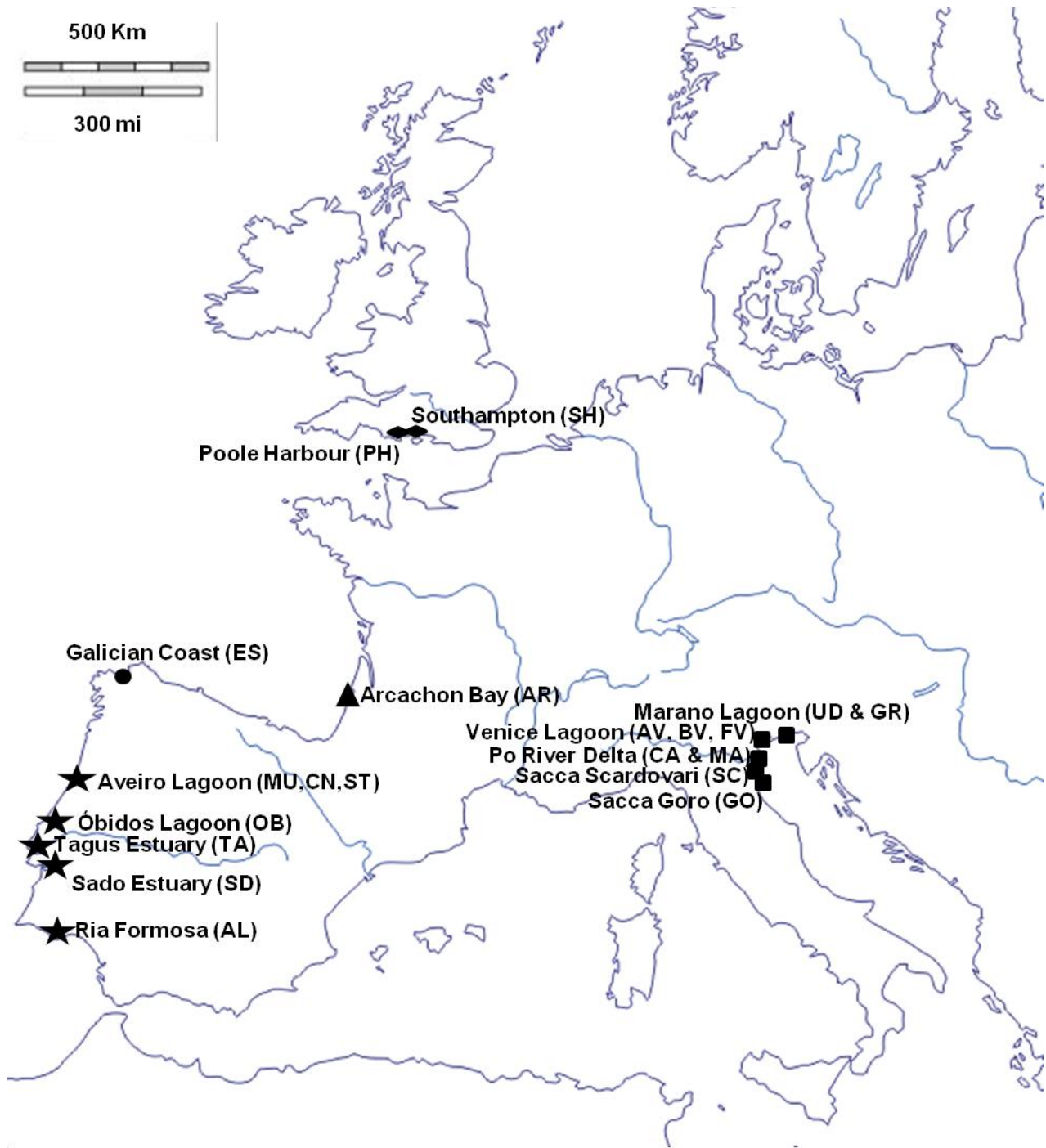
650 **Supplementary Table 1**

651 Selected *COI* gene sequences used in the phylogenetic analyses. For each species the GenBank  
652 accession number and the original sources are reported.

<b>species</b>	<b>GenBank A.N.</b>	<b>Original source</b>
<i>R. philippinarum</i>	AB694757-AB694884	Kitada et al., 2013
	JN054502–JN544632	Mao et al., 2011
	AB244374-AB244412	Sekine et al., 2006
	HQ703306-HQ703311	Chen et al., 2011
<i>R. variegatus</i>	AB694885-AB694891	Kitada et al., 2013
<i>R. decussata</i>	DQ458492	Kappner and Bieler, 2006

653

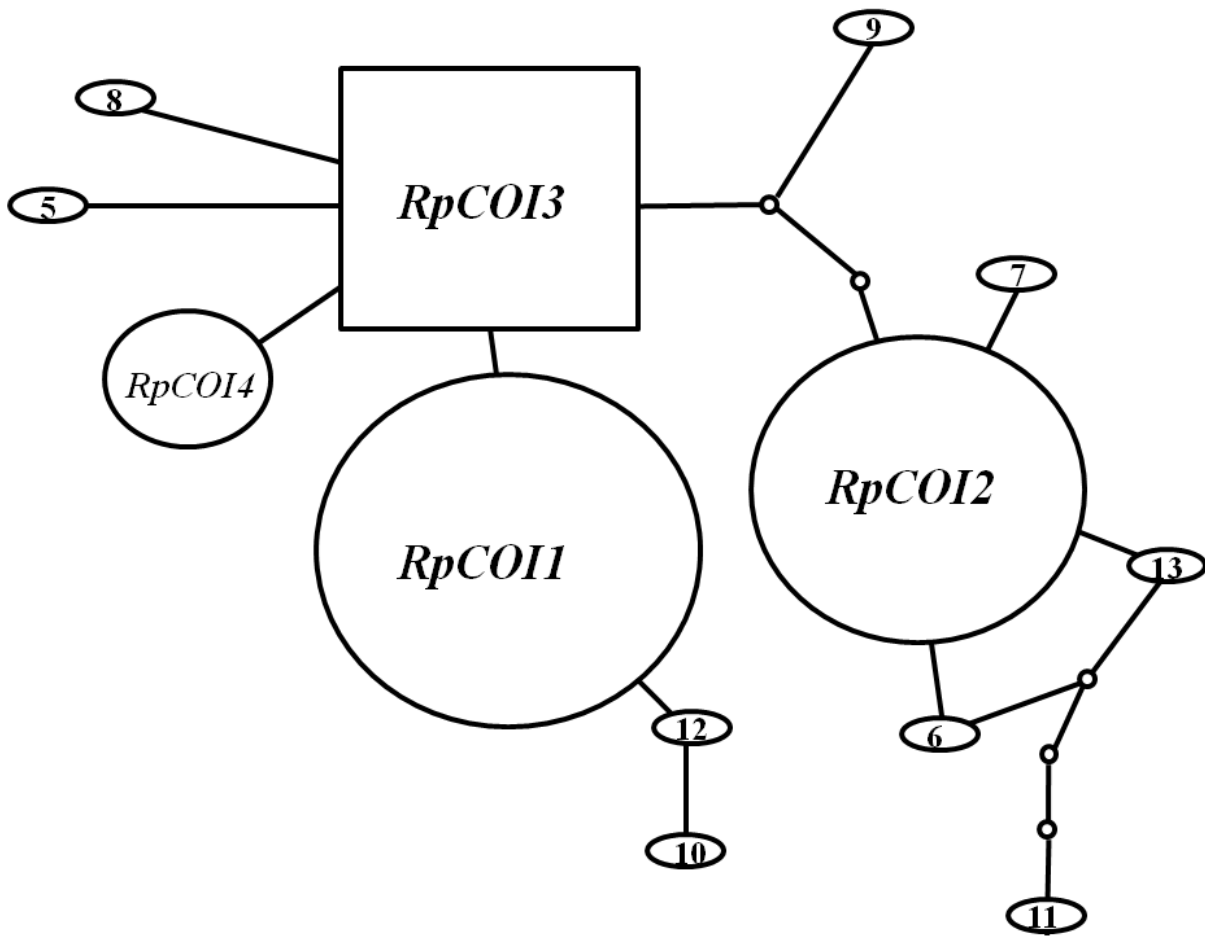
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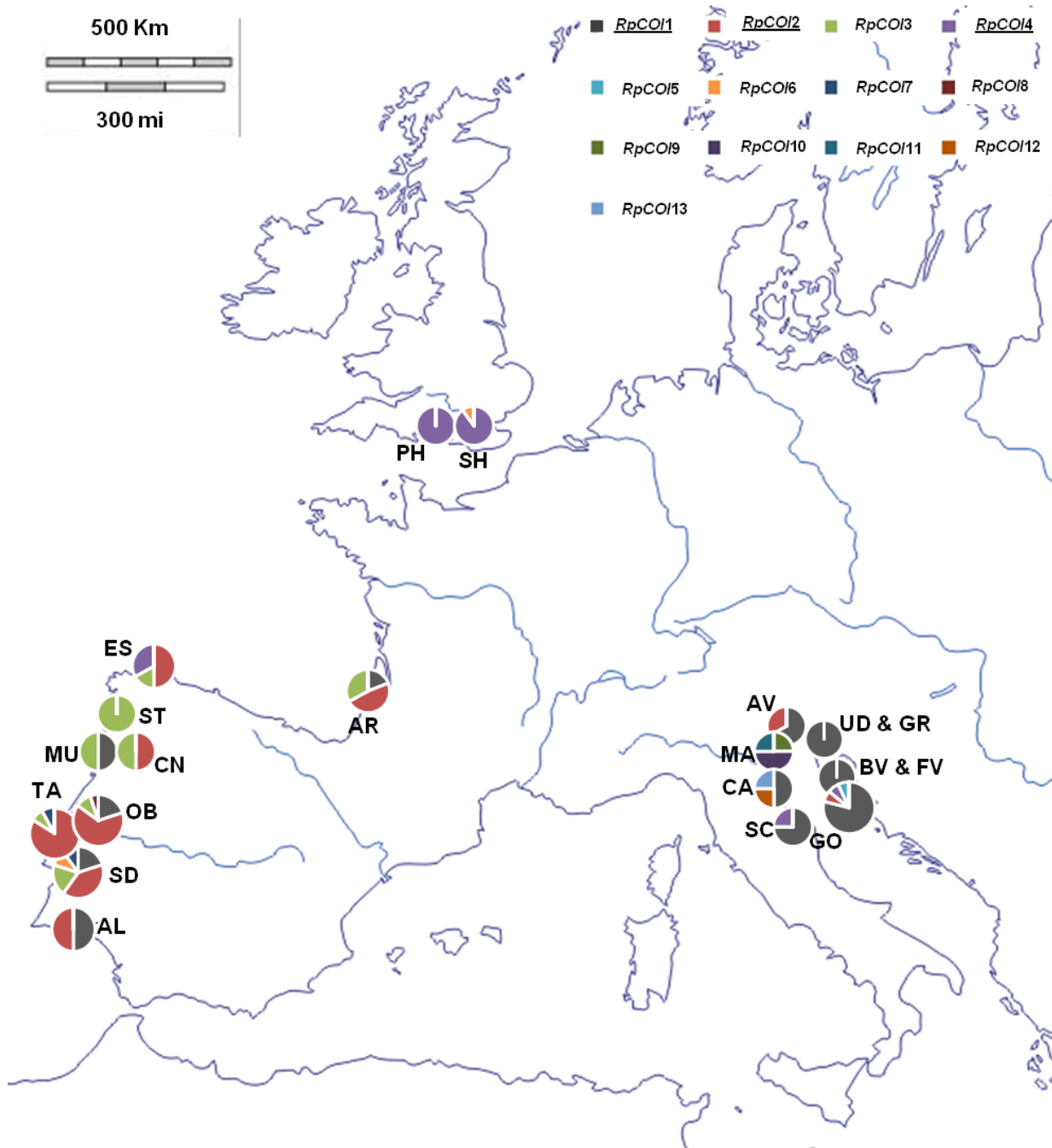
658 **Figure 2**



659

660

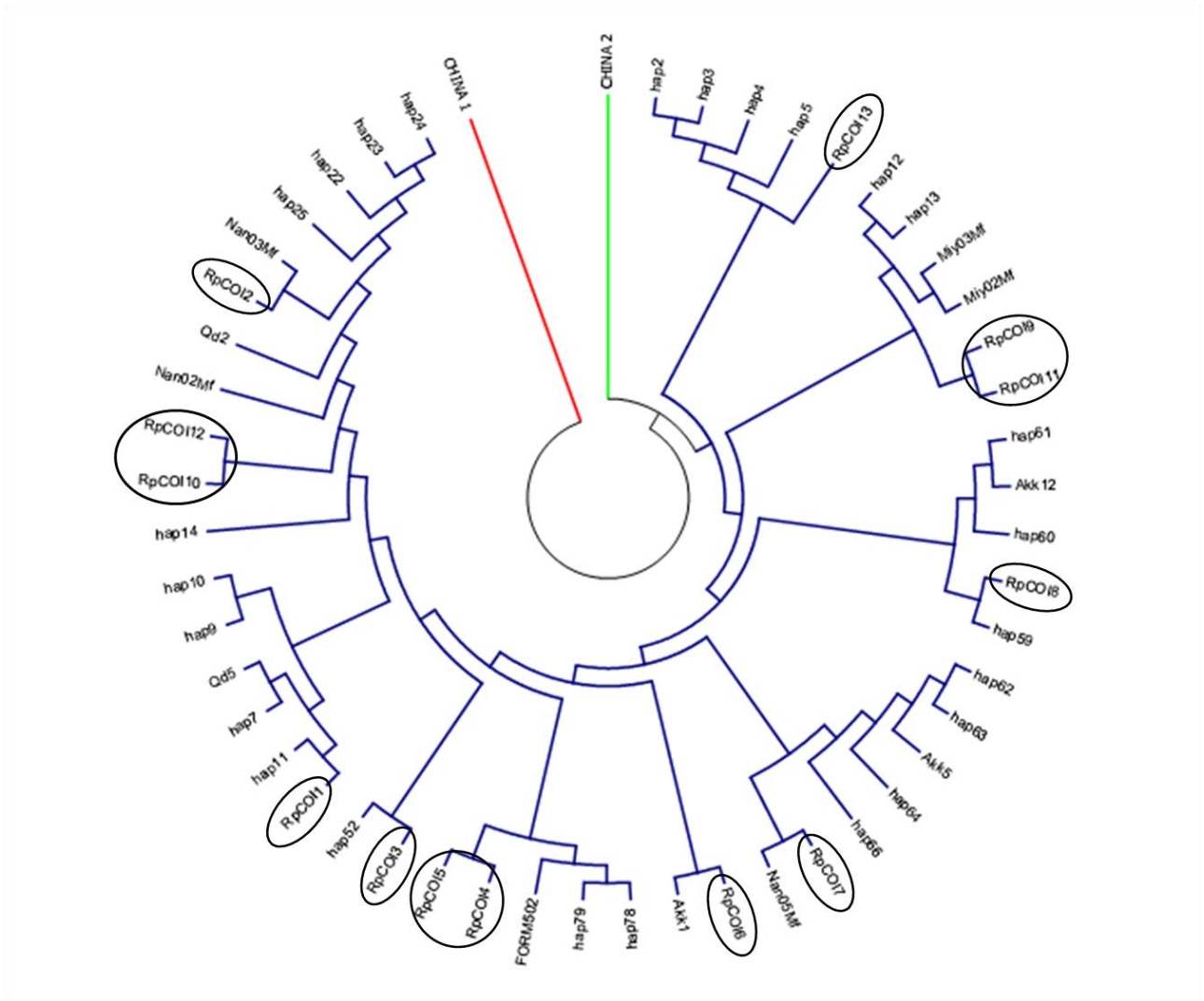
661 **Figure 3**



662

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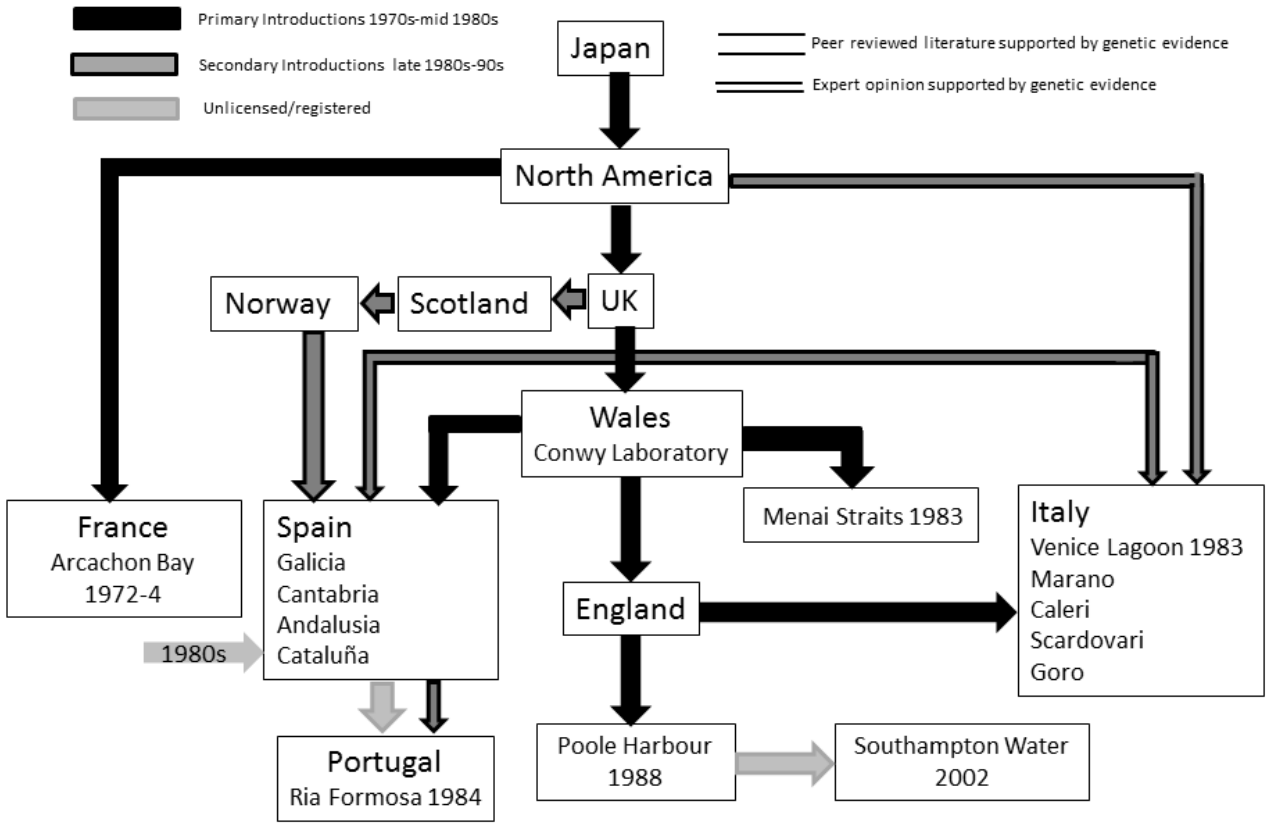




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670 **Figure 6**



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