

Assessing 3D metric data of Digital Surface Models for extracting archaeological data from archive stereo-aerial photographs

Heather Papworth (corresponding author)^a, Andrew Ford^b, Kate Welham^a, and David Thackray^c

^aDepartment of Archaeology, Anthropology & Forensic Science

^bDepartment of Life & Environmental Sciences

^cICOMOS UK

Bournemouth University

Faculty of Science and Technology

Talbot Campus, Fern Barrow

Poole, Dorset. BH12 5BB

Email: h.e.papworth@gmail.com

Abstract

Archaeological remains are under increasing threat of attrition from natural processes and the continued mechanisation of anthropogenic activities. This research analyses the ability of digital photogrammetry software to reconstruct extant, damaged, and destroyed archaeological earthworks from archive stereo-aerial photographs. Case studies of Flower's Barrow and Eggardon hillforts, both situated in Dorset, UK, are examined using a range of imagery dating from the 1940s to 2010. Specialist photogrammetric software SocetGXP® is used to extract digital surface models, and the results compared with airborne and terrestrial laser scanning data to assess their accuracy. Global summary statistics and spatial autocorrelation techniques are used to examine error scales and distributions. Extracted earthwork profiles are compared to both current and historical surveys of each study site. The results demonstrate that metric information relating to earthwork form can be successfully obtained from archival photography. In some instances, these data out-perform airborne laser scanning in the provision of digital surface models with minimal error. The role of archival photography in regaining metric data from upstanding archaeology and the consequent place for this approach to impact heritage management strategies is demonstrated.

Keywords

Digital photogrammetry; archaeology; archive stereo-photographs; earthworks; reconstruction; digital surface models; laser scanning.

1. Introduction

33 Archaeological sites are subject to substantive on going decay and damage caused by a variety of both natural and
34 human behaviours (Rowley and Wood 2008). Factors such as increased storm rates and sea-level rise, and the sharp
35 growth in the efficiency, rate and scale at which many anthropogenic activities occur within the UK landscape are a
36 pressing issue for many regions (Oxford Archaeology 2002; Murphy et al. 2009). Subsequently it has been estimated
37 that, of the 600,000 sites in England, one has been lost per day since 1945 (Darvill and Fulton 1998). This equates to
38 a projected disappearance rate of at least 25,000 sites over the past 70 years, and nowhere is this more apparent
39 than in the tangible loss and damage of earthwork features.

40 In an attempt to mitigate this loss a number of conservation charters exist that advocate recording archaeological sites
41 before they are destroyed (Bassegoda-Nonell et al. 1964; ICOMOS General Assembly 1996), but the reality of
42 achieving this ideal is an immense challenge. Within the UK alone the high density and sheer variety of monuments
43 mean that there are inevitably large numbers of sites where damage or destruction has occurred, and the level of
44 recording undertaken has been minimal, or in some extreme cases non-existent. The ability to utilise archive data
45 from the periods subsequent to the disappearance of such sites to reconstruct what previously existed would be of
46 significant benefit, not only for the understanding of these monuments but, just as importantly, to inform the
47 conservation, management and interpretation of our heritage assets in the future.

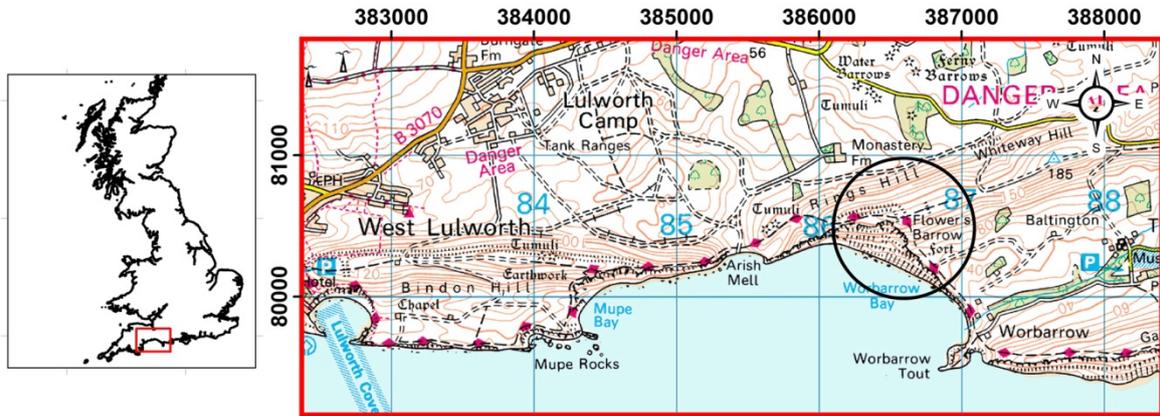
48 Fortunately, an archive containing these data does exist. In the UK, stereo-aerial photographs (SAPs) have been
49 gathered regularly, and on a large scale, since the 1940s. These images hold within them the potential for landscape
50 reconstruction. Three-dimensional (3D) data can be extracted using digital photogrammetry methods to create digital
51 surface models (DSMs); an approach that has already been successfully used in the geomorphology and surveying
52 disciplines to assess terrain change over time (Chandler 1989, Adams and Chandler 2002, Walstra et al. 2004,
53 Walstra 2006, Miller et al. 2008, Aguilar et al. 2013). In contrast, although archaeologists have been utilising aerial
54 photography for over a century and are experts in prospecting for and mapping earthwork features from them in two-
55 dimensions (Wilson 2000, p.16; Barber 2011, p.215), very few have acknowledged the inherent 3D properties of SAPs
56 (Verhoeven et al. 2012) beyond the use of stereoscopes.

57 This research employs qualitative and quantitative methods to assess the ability of archive SAPs to reconstruct extant,
58 damaged and destroyed earthworks. Two case study sites are used to compare the results obtained from digital
59 surface models created from a range of SAPs to those achieved via modern metric survey techniques commonly used
60 in the archaeology and heritage sectors: global navigation satellite systems (GNSS), and terrestrial and airborne laser
61 scanners. The utility of these data when compared to both objective (metric) and interpretative (such as hachure
62 plans) survey is discussed (Bowden and McOmish 2012; Blake 2014).

63 **2. Data and Methods**

65 **2.1 Field Site Selection**

67 The field study sites of Flower's Barrow and Eggardon Iron Age hillforts (Figures 1 and 2) were selected as they both
68 contain a mixture of subtle and pronounced earthworks, some of which are well preserved and stable whilst others
69 have been damaged or destroyed via natural or anthropogenic agents.



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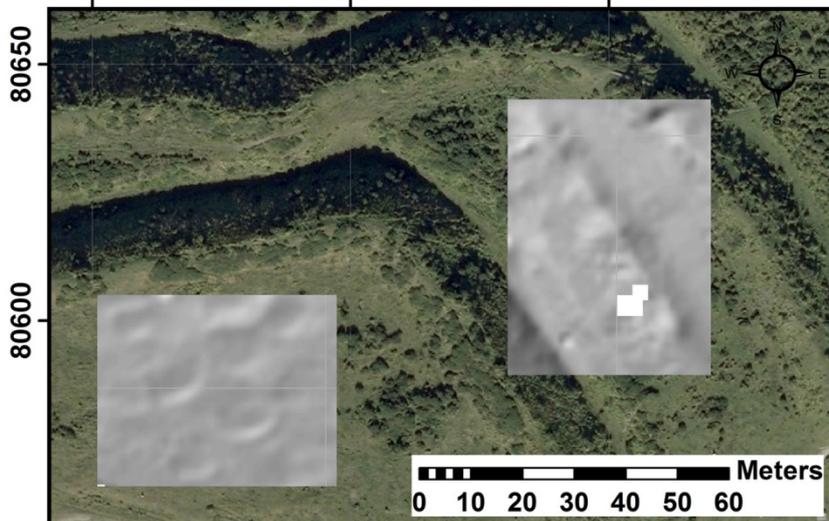
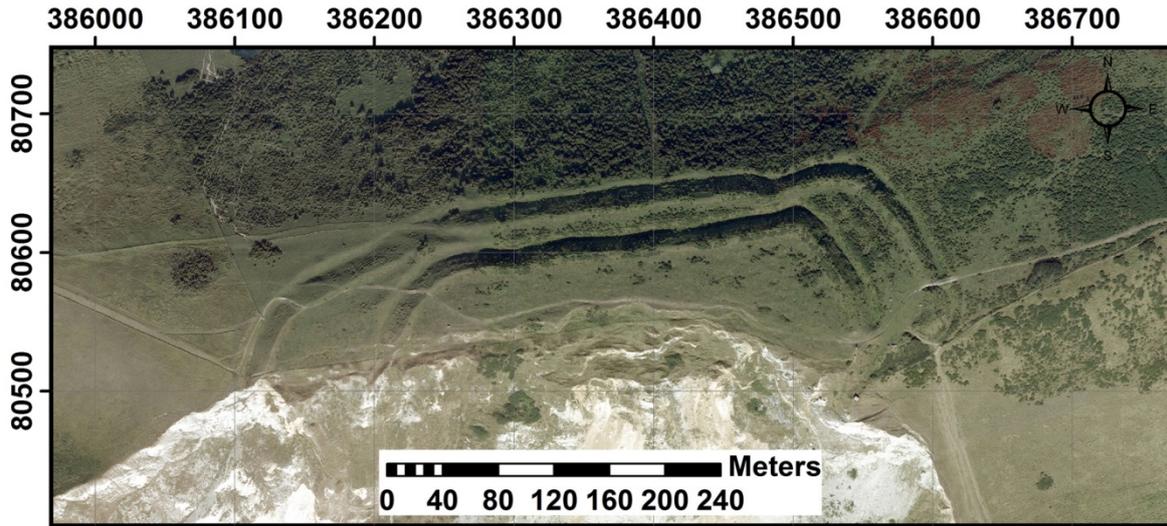


Figure 1: Location of Flower's Barrow hillfort within the United Kingdom including an orthophotograph of the site and examples of earthworks within the hillfort (Bottom Left) Occupation Platforms and (Bottom Right) a linear annex.

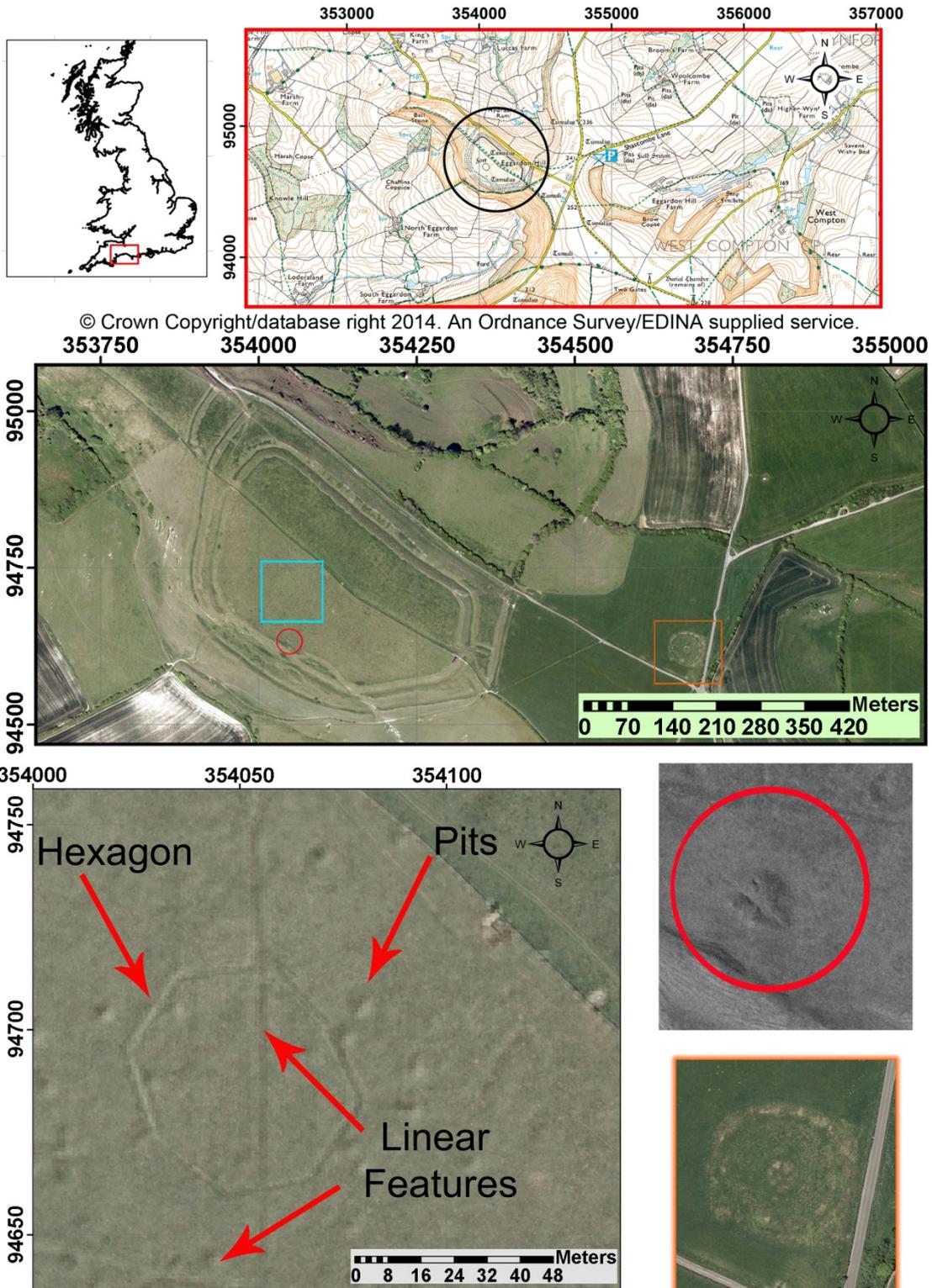


Figure 2: Location of Eggardon within the United Kingdom including an orthophotograph of the site and examples of earthworks within the hillfort including (Bottom Left) linear features and pits, (Bottom Upper Right) damaged barrow and (Bottom Lower Right) henge monument.

71
 72 Coverage of each area with archive SAPs devoid of cloud cover and with suitable stereo-overlap is available, with a
 73 range of imagery for each decade from the 1940s to the present day. Flower's Barrow is situated on Defence Estates
 74 land and the site is only accessible to the public on weekends and during major school holidays, thus footfall is limited.
 75 A condition assessment completed by Wessex Archaeology (2001) identified the hillfort and its environs as being in
 76 good condition, although the southern ramparts have been lost to cliff erosion since construction. The terrestrial
 77 hinterland is, however, stable. Eggardon is unique in that the northern half of the hillfort interior has been damaged by

78 irregular ploughing since the 1940s, whilst the southern half is separated by a fence denoting the parish boundary and
79 has remained in the custody of the National Trust, facilitating its preservation.

80 **2.1.1 Baseline Data Collection**

81 A baseline reference metric survey was collected at each site using a Leica C10 terrestrial laser scanner (TLS) whose
82 station locations were ascertained using a Leica Viva GNSS. A sub-10cm point cloud was achieved within the hillfort
83 at each site, with the Mean Absolute Error for the dataset at Flowers Barrow calculated by Leica Cyclone as 0.011m
84 and at Eggardon Hillfort as 0.014m. The TLS point density as created at each field site is shown in Figure 3.

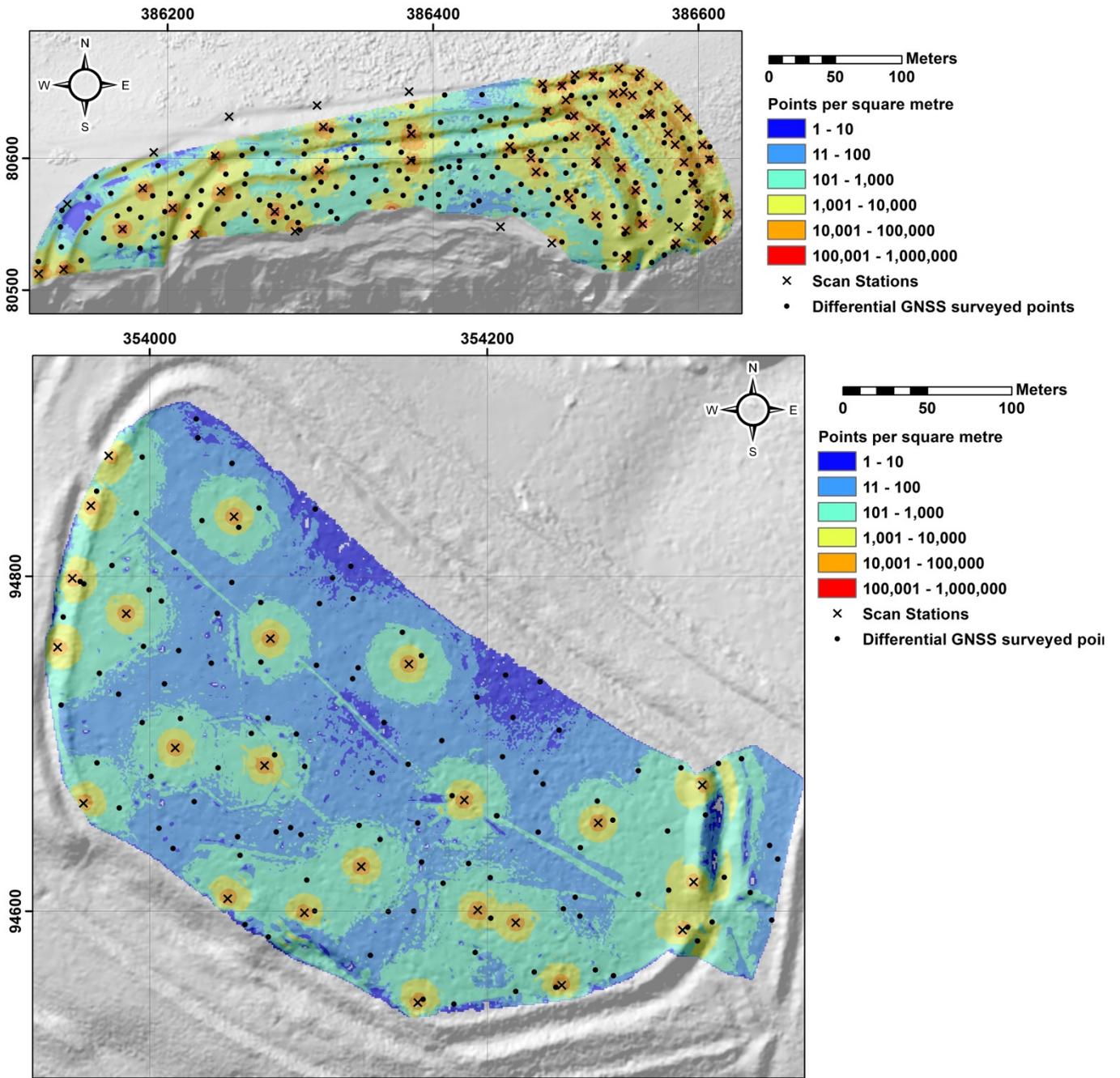


Figure 3: Diagrams illustrating TLS point density created at Flowers Barrow (above) and Eggardon Hillfort (below).

90

91 To identify systematic errors in the TLS dataset prior to undertaking analysis with it, a number of random points were
 92 collected across each field site using a Leica Viva GNSS. The residual differences between TLS and GNSS elevation
 93 values are illustrated in Figure 4.

94

100 20cm, with very few values exceeding this figure. The graphs in Figure 5 illustrate the lack of correlation between
101 residual elevation values between the TLS and GNSS in relation to the proximity of measurements to the scanner and
102 as a function of TLS point density. Subsequently it can be said that neither the proximity of the TLS data to the
103 scanner or the point density of the data influences error in the TLS data.

104

105 2.2. Archive Stereo-Aerial Photographs and Airborne Laser Scanning

106

107 Archive SAPs for both sites were obtained from the National Monuments Record (NMR) in Swindon, Bournemouth
108 University (BU), and Dorset County Council (DCC) (Table 1).

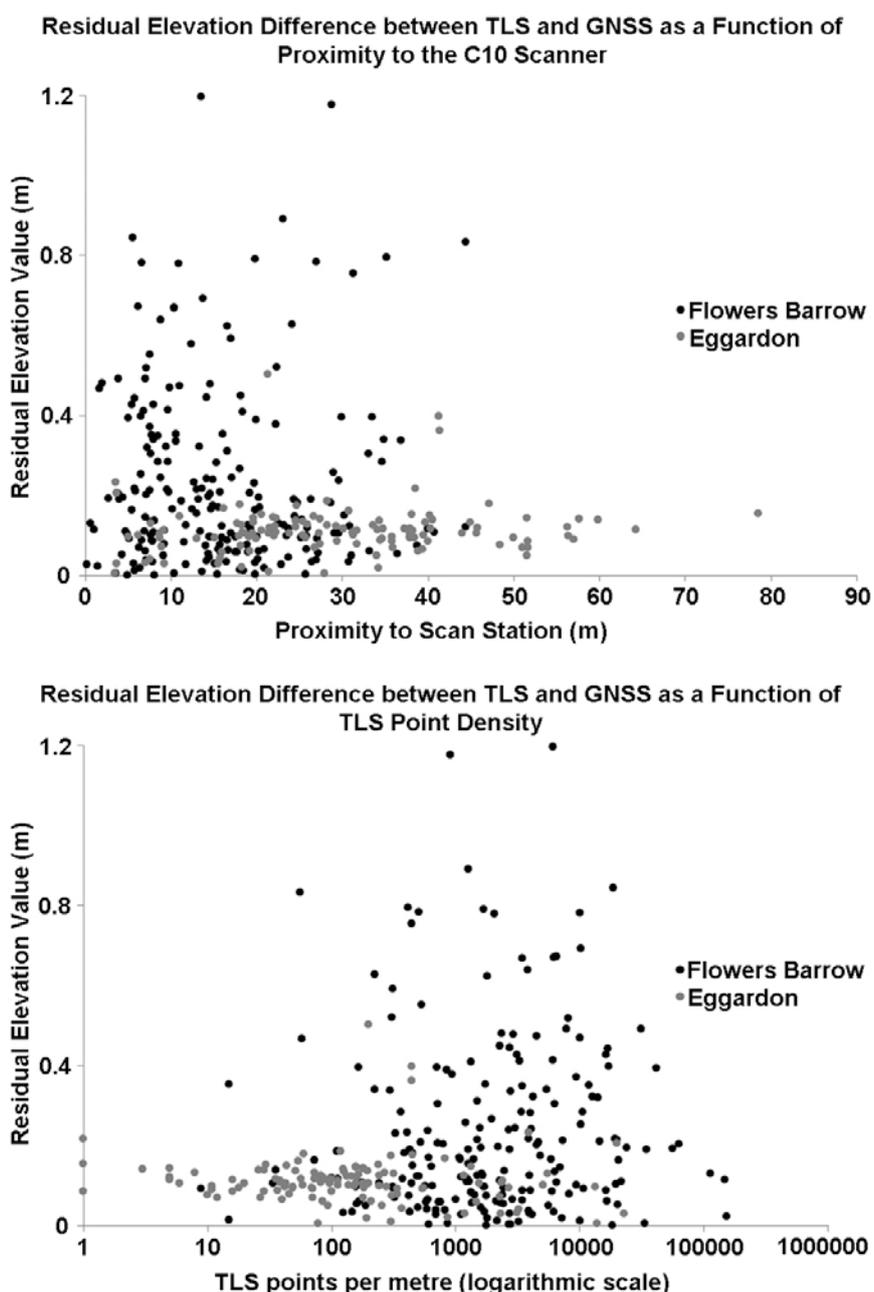


Figure 5: Scatter plots demonstrating the lack of a relationship between residual elevation values when examining these as a function of proximity to the location of the C10 TLS (above) and as a function of TLS point density (below).

Flower's Barrow Archive Stereo-Aerial Photographs

Date Flown	Archive/Creator	Scale	Focal Length (mm)	Flying Height (m)	Ground Sample Distance (m)	Image Type (Verticals)	Format (cm)	*Original Media
March 1945	NMR/RAF	1:10500	203.2	2133.6	0.110	B&W	12.7x12.7	Negative
August 1968	NMR/RAF	1:10000	152.4	1524	0.118	B&W	23x23	Negative
June 1972	BU/J.A.Storey	1:12000	151.85	1822.2	0.132	B&W	23x23	Print
April 1982	NMR/OS	1:8000	304.8	2438.4	0.081	B&W	23x23	Negative
June 1986	DCC/ C.E.G.B.Winfrith	1:12000	153.05	1836.6	0.135	B&W	23x23	Print
1997	DCC/ C.E.G.B.Winfrith	1:10000	153.15	1531.5	0.114	Colour	23x23	Print
Sept. 2009	GetMapping Ltd.	-	100.5	-	0.150	Colour	10.39x6.78	Digital

Eggardon hillfort Archive Stereo-Aerial Photographs

Date Flown	Archive/Creator	Scale	Focal Length (mm)	Flying Height (m)	Ground Sample Distance (m)	Image Type (Verticals)	Format (cm)	*Original Media
January 1948	NMR/RAF	1:10000	508	5029.2	0.104	B&W	20.95x19.05	Negative
March 1948	NMR/RAF	1:10000	508	5029.2	0.114	B&W	20.95x19.05	Negative
April 1969	NMR/OS	1:7500	304.8	2286	0.072	B&W	23x23	Negative
October 1972	DCC/J.A.Story	1:12000	151.85	1822.2	0.122	B&W	23x23	Print
April 1984	NMR/OS	1:8000	304.8	2438.4	0.078	B&W	23x23	Negative
July 1989	NMR/OS	1:8200	304.8	2499.4	0.084	B&W	23x23	Negative
Sept. 1997	DCC/ NRSC Airphoto Group	1:10000	153.15	1531.5	0.104	Colour	23x23	Print
2010	GetMapping Ltd.	-	100.5	-	0.150	Colour	10.39x6.78	Digital

*Prior to purchase/digitisation, each image was examined as a photographic print to ensure suitable coverage and sufficient image quality

Table 1: List of archive stereo-aerial photographs and their associated metadata for the field study sites Flower's Barrow and Eggardon hillforts.

The prints from BU and DCC were scanned using an A3 desktop scanner whilst the NMR scanned the requisite negatives using a Vexcel Photogrammetric Scanner. Each image was scanned at a resolution of 2400 dots-per-inch (dpi) and saved in the lossless TIFF file format. A recent set of commercially available, digital SAPs were obtained from GetMapping Ltd, created using a Vexcel UltraCamX digital aerial camera and delivered in JPEG format from the RGB (not panchromatic) sensors.

Archive airborne laser scanning (ALS) data from the EA was obtained for Flower's Barrow in its raw format to ensure that processing the data could be undertaken in a transparent way as the methods employed by the EA are not disclosed. A parallel dataset was not available for Eggardon.

2.3. Photogrammetric Processing

High-end photogrammetric software was chosen for processing archive SAPs, despite the popularity of Structure-from-motion (SfM) software with the archaeological community (Hullo et al. 2009; Ducke et al. 2011; Verhoeven 2011; Plets et al. 2012; Verhoeven et al. 2012a, 2012b; Koutsoudis et al. 2013; De Reu et al. 2013; Green et al. 2014; McCarthy 2014). Although SfM was initially considered as a means of processing archive SAPs, it was disregarded for its lack of optimisation for use with traditional, high resolution vertical stereo-aerial photographs. SfM is designed for use with lower-resolution photographs that are taken with a suggested overlap of +80% forward and 60% side (AgiSoft LLC 2014), not the 60% forward and 20-30% overlap present in SAPs. Whilst it is possible to obtain a DSM from SfM using archive SAPs, any metric information extracted from them should be examined carefully.

DSMs were created from SAPs using the SocetGXP® Automatic Terrain Extraction algorithm (ATE), the settings for which were determined via experimentation as described in Papworth (2014, p.153). SocetGXP® leads the user through a step-by-step workflow, prompting the input of interior and exterior orientation parameters. As with other such software packages, the best results are obtained if camera calibration data, namely fiducial coordinates, principle point location and lens distortion parameters are provided (Figure 6).

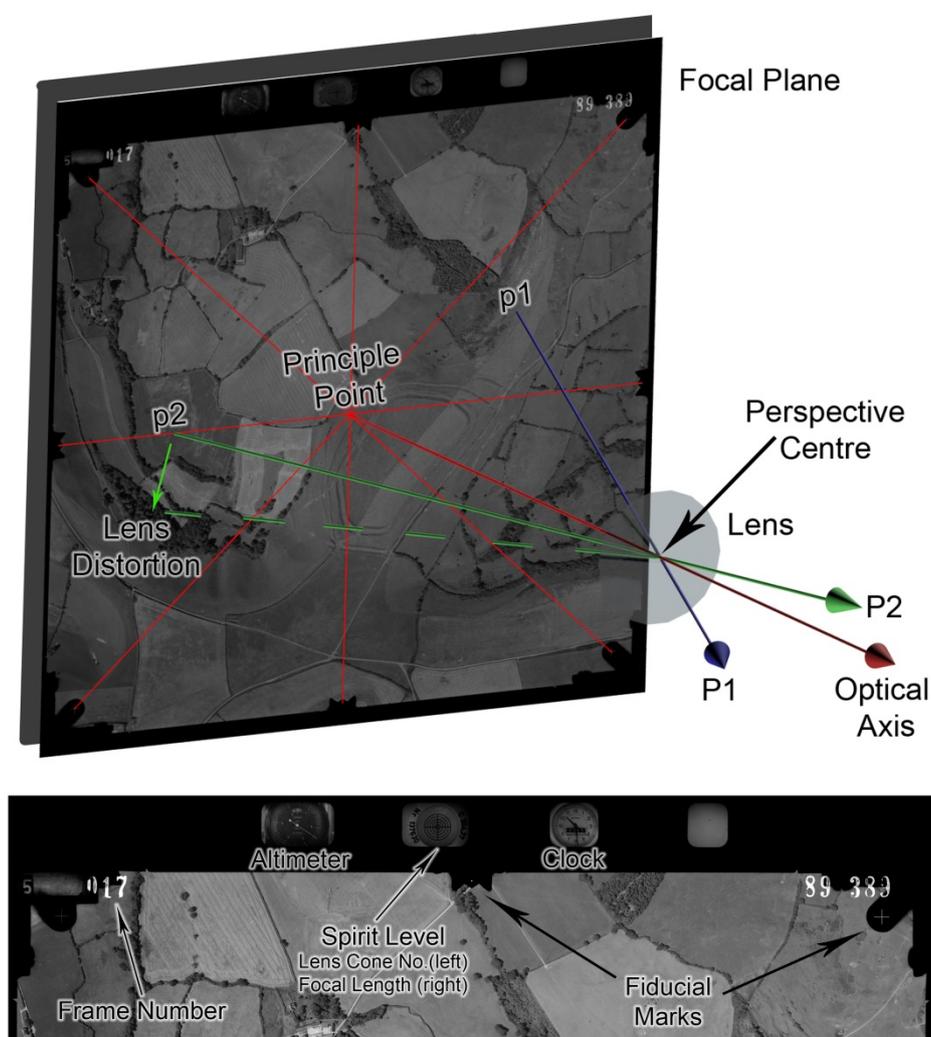
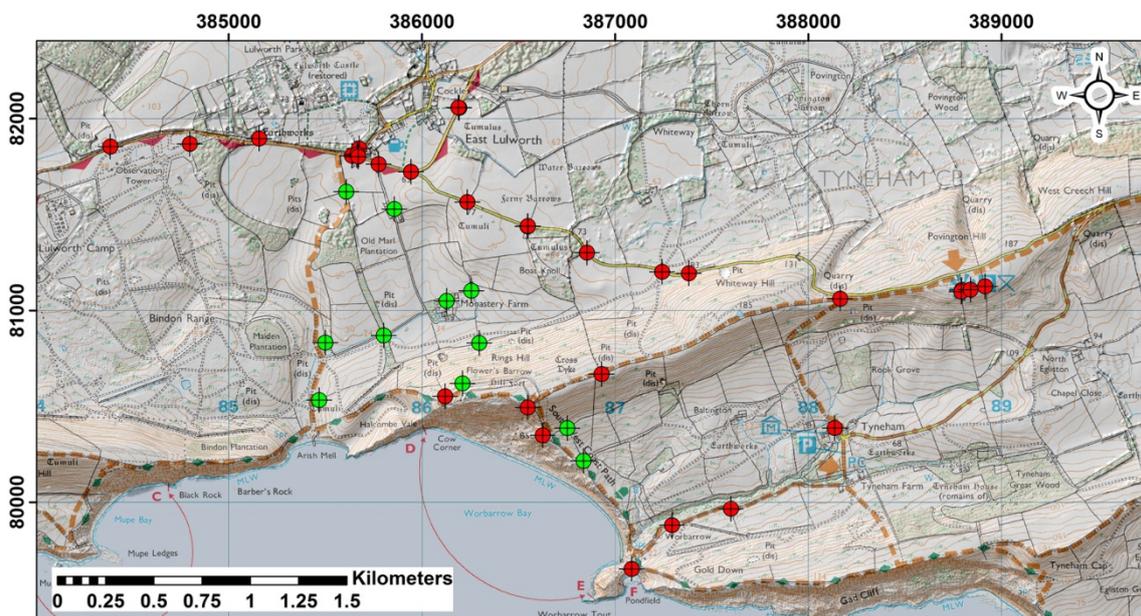


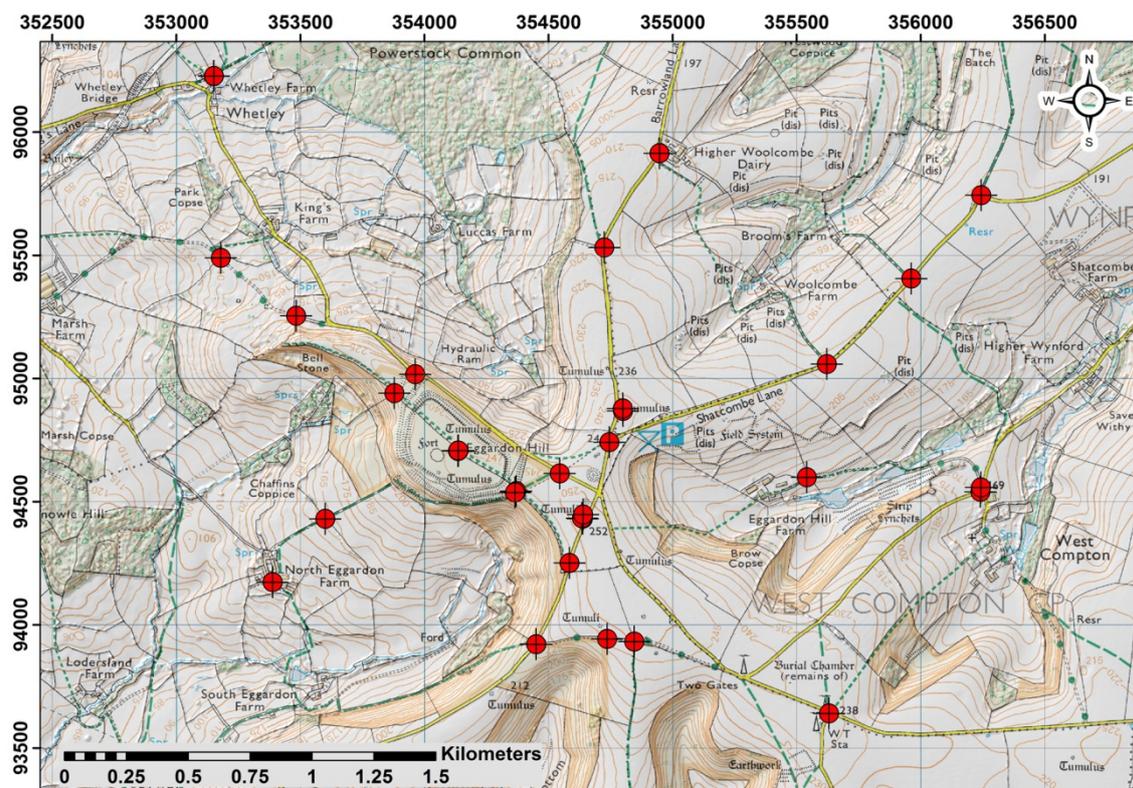
Figure 6: Diagram illustrating the components of interior orientation (top) and the information strip often provided with an aerial photograph (below) (Papworth 2014, p59).

However, many of these measures are not available for archive SAPs but, to account for these issues, the software includes a self-calibrating bundle adjustment routine. With the exception of the 2009 and 2010 SAPs from GetMapping

137 Ltd, which were provided with camera calibration and exterior orientation information, the remaining SAP datasets
 138 were processed using the self-calibrating bundle adjustment to obtain the missing camera parameters. To mitigate for
 139 the lack of interior orientation data and exterior orientation information (i.e. GNSS camera positions at the time of
 140 exposure and the associated rotation measures describing the attitude of the camera at this time) a large number of
 141 ground control points (GCPs) were collected (Figure 7). The GCPs were gathered as close to the point of interest
 142 (each hillfort) as possible. The objects used as GCPs were features identifiable in the archive SAPs such as gate



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Figure 7: Location map showing the distribution of GNSS ground control points, shown in red, for (a.) Flower's Barrow (GCPs from alternative mapping sources shown in green) and (b.) Eggardon hillfort (Papworth 2014).

143 posts, road intersections, and the corner of structures such as buildings for example. Flower's Barrow proved
144 challenging because of its location on a live firing range and the restricted access to the area surrounding the site. A
145 large number of GCPs were recorded using a Leica Viva GNSS that, when operating in Network RTK mode (i.e.
146 receives real-time positional corrections from static reference stations within the UK via a mobile phone), has a stated
147 accuracy of 8mm horizontally (+0.5 parts per million, or ppm) and 15mm vertically (+0.5ppm) (Leica Geosystems AG,
148 no date). However, many of the GCPs situated to the north of the hillfort within the fields and the farm area were
149 extracted from 1:1000 scale Ordnance Survey mapping and a 3rd party 2m DSM. The fields and roads surrounding
150 Eggardon were fully accessible, facilitating the collection of GCPs across the area of interest. Due to the problems
151 encountered with the lack of mobile phone reception in the area, Network RTK was not available and thus GCPs were
152 gathered using a Leica GS10 reference station in combination with the GS15 rover. This data was subsequently post-
153 processed using Leica GeoOffice software, which resulted in a mean accuracy per GCP of 0.014m.

154 The workflow developed for this research utilised a small number of initial tie points to ensure an acceptable solution
155 was achieved for relative orientation between the SAPs when the bundle adjustment was first run. The overall root
156 mean square error (RMSE) was used to assess the orientation result, as it represents a global measure of the
157 accuracy with which the software has calculated the solution for the relationship between the SAPs and the GCPs. A
158 minimum RMSE value was sought by variously tightening and loosening the exterior orientation accuracy values, and
159 removing tie points with the largest errors. Subsequently, more tie points were added along with a small number of
160 GCPs. These were required to provide locational information in an appropriate coordinate system and strengthen the
161 relationship between the images. As each GCP recorded with the Viva GNSS is stored with data quality information, it
162 was possible to input these values into SocetGXP®, adding an extra 20cm to the x/y values, as suggested by Walstra
163 et al (2011), to account for potential offsets caused by GNSS errors and the observer identifying GCP locations within
164 the imagery.

165 The process was continued until no further decrease could be obtained in the root mean squared (RMS) value. The
166 accuracies achieved during triangulation of the imagery are shown in Table 2. A DSM of 1m resolution was extracted
167 from the data using the ATE adaptive algorithm and exported from SocetGXP® as a point cloud for interpolation in
168 ArcMap 10.1. As these data were to be validated using independent DSM datasets, described in Section 2.4.1,
169 standardising the interpolation algorithm used was necessary to limit the variables capable of influencing data quality
170 and subsequently its analysis. The 'natural neighbour' interpolator was employed because it has been identified as an
171 accurate method to apply to high resolution datasets (Abramov and McEwen 2004, Bater and Coops 2009) and is
172 stated by Maune et al. (2007) to work well with both regular and irregularly-spaced point cloud data and is not prone to
173 introducing artifacts.

Flowers Barrow					
Triangulation Root Mean Square Residuals					
Date Flown	Image (Pixels)	X (m)	Y (m)	Z (m)	Total RMS (m)
Mar 1945	8.333	3.592	2.440	4.480	5.915
Aug 1968	1.004	2.776	2.120	6.494	7.374
Apr 1982	1.518	1.588	1.783	2.204	3.142
Sept 2009	1.136	8.326	9.699	3.157	1.317

Eggardon hillfort					
Triangulation Root Mean Square Residuals					
Date Flown	Image (Pixels)	X (m)	Y (m)	Z (m)	Total RMS (m)
Jan/Mar 1948	3.198	2.807	1.244	5.220	5.791
Apr 1969	1.142	3.797	8.092	4.085	8.948
Oct 1972	6.564	2.115	1.744	1.138	2.969
Apr 1984	6.243	5.900	3.164	1.815	1.934
Jul 1989	6.385	3.758	5.879	2.670	7.471
Sept 1997	2.466	7.829	1.452	5.256	1.731
May 2010	6.675	7.325	7.668	2.428	1.088

Table 2: Results of the image triangulation process in SocetGXP®

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175

176 **2.4. Validation Methods**

177

178 **2.4.1. Quantitative Assessment**

179

180 Objective assessment of error in the SAP DSMs was undertaken on their elevation values in comparison with those of
 181 the TLS collected at each field site (see Section 2.1). This was achieved by subtracting each of the SAP DSMs from
 182 the TLS DSM to create a DSM of Difference (DoD) for each SAP epoch that contained the residual values between
 183 terrain models. These values were extracted from each DoD to create a table of residual values that are taken from
 184 each cell of the raster, which in turn were used to create summary statistics as described in Section 2.4.2. The
 185 desktop scanned SAPs for Flower's Barrow were not assessed as the pilot study utilised only the NMR imagery to
 186 determine the viability of the research.

187 Error assessment was enhanced by converting the elevation DSMs from both the SAPs and the TLS into first-order
 188 derivatives, namely 'slope' and 'aspect', as per the approach advocated by Gallant and Wilson (2000). Whilst useful in
 189 their own right as terrain attributes, the conversion of elevation data into first-order derivatives can enhance noise or
 190 other errors contained in the original dataset, helping to identify problematic regions within a dataset. Therefore each
 191 of the SAP slope DSMs were subtracted from the TLS slope model to create a slope DoD, and the same process was
 192 repeated for the aspect datasets. The residual values from each of the slope and aspect DoDs were exported to
 193 SPSS for statistical analysis (Section 2.4.2).

2.4.2. Summary Statistics

A number of statistical measures were used to assess whether systematic or random errors were present in the SAP DSMs. Systematic errors are caused by a bias within the photogrammetric workflow, such as errors in pixel geometry of a sensor (camera or scanner), or lens distortion for example (Mitchell 2007). These errors can be mitigated if they have been measured, modelled and a correction for them applied (Wolf and Dewitt 2000). Random errors are sometimes referred to as noise and are related to data quality, although tend to be difficult to predict. Within the SAPs, these errors are caused by poor image geometry and image blur, for example, although other sources of random error, such as image resolution and scale can, to some extent, be predicted. In TLS data, random errors are caused by adverse influences on the laser beam, such as particulate matter in the air (i.e. water droplets), or strong winds destabilising the instrument during data collection for example. Systematic errors tend to be a consistent value (i.e. photographs taken with the same lens will all contain the same amount of lens distortion) and affect the accuracy of the data by shifting calculated values by a known quantity away from the 'true' value (the latter of which can never truly be determined). However, as systematic errors are consistent, they do not influence precision, which is related to the repeatability of measurements. Precision is influenced by random errors that do not have consistent values and are often difficult to account for.

Global indicators of DSM error, namely root mean square error (RMSE), mean error (ME) and standard deviation (SD), were calculated to objectively compare the TLS DSM to each SAP DSM (Baily et al. 2003, Walstra et al. 2004, Papasaika et al. 2008, Aguilar et al. 2009, Walstra et al. 2011, Perez Alvarez et al. 2013). RMSE was used as an indicator of accuracy and to identify the presence of systematic errors within the SAP DSMs (Dowman and Muller 2011), therefore the larger the RMSE error, the poorer the accuracy of a dataset in comparison with the baseline TLS. SD was used to indicate the precision of the SAP DSMs as this value is influenced by the presence of random errors in a dataset. ME values reveal bias (Fisher and Tate 2006), which can be introduced by systematic errors that cause under- or over-estimation of elevation values. With the exception of the RMSE, which was calculated in Microsoft Excel, both the ME and SD from each DoD were created using SPSS.

Frequency histograms of the residual values were generated from the data to determine whether the residual distribution between each SAP DSM and that of the TLS is normal. Such distributions are generally indicative of random errors, with increasing histogram width illustrating a decrease in precision. A skewed distribution, which contains the majority of residual values either in the left or right-hand section of the graph, may indicate the presence of systematic bias in a dataset. In this particular instance, camera calibration information detailing the lens distortion parameters, fiducial marks coordinates and the principle point were not available for any of the SAP datasets, with the exception of the 2009 and 2010 images for Flowers Barrow and Eggardon Hillfort respectively, and thus it was anticipated that the results would highlight the presence of systematic errors.

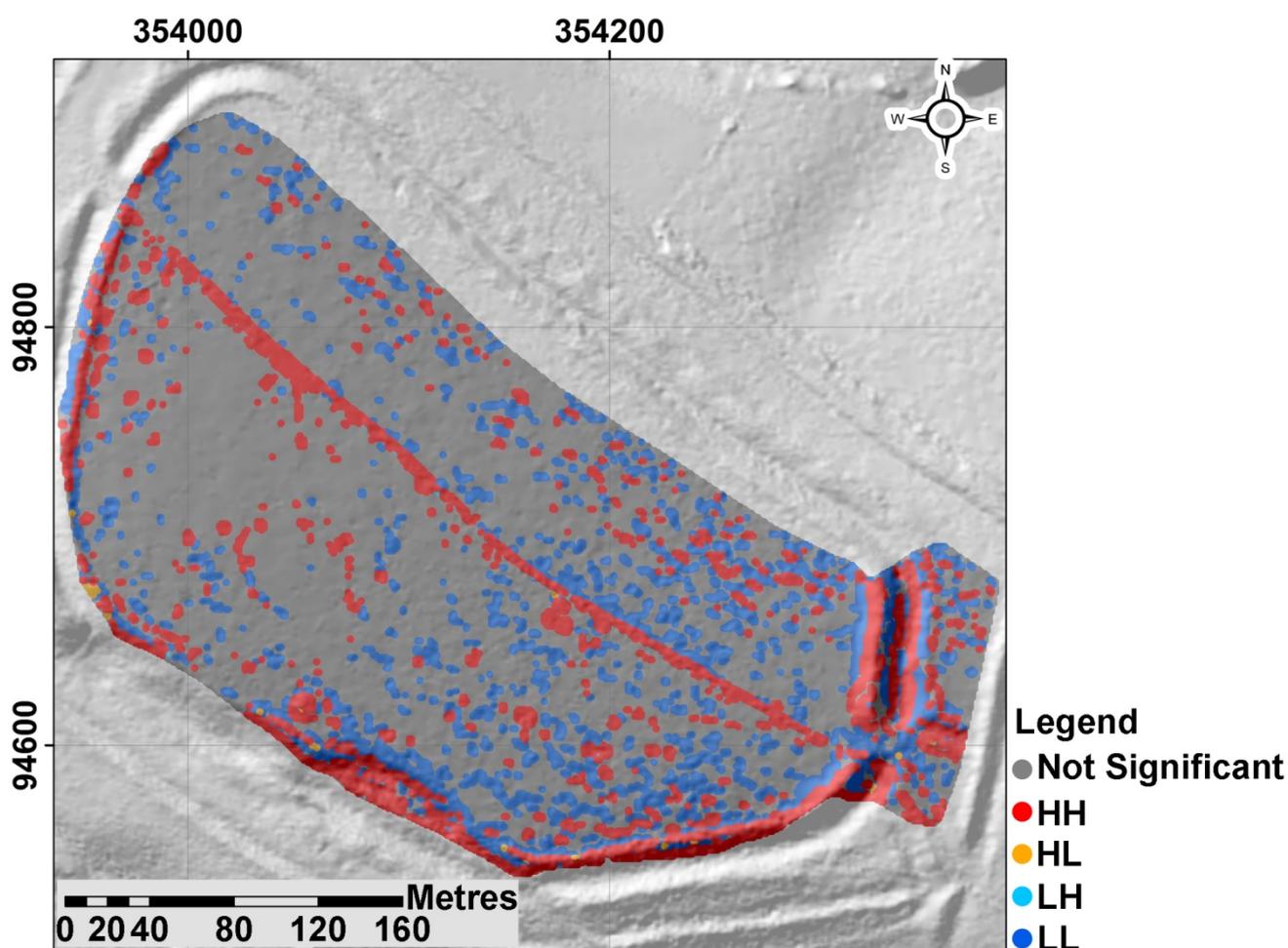
The peak of the distribution also provides an important indicator of difference. If all systematic errors were eliminated via the use of an appropriate photogrammetric model, only the remaining random errors would influence this peak. Subsequently, the size of these errors will be represented by the Kurtosis (spikiness) of the histogram.

Scatter plots were also created to identify whether a linear relationship exists between the SAP DSMs and the independent dataset, namely the TLS. This process plots the elevation values of one dataset against another and can reveal how similar or different these data are. A positive, linear relationship, or correlation, between the two datasets would indicate their similarity.

234 **2.4.3 Spatial Autocorrelation of Errors**

235

236 In addition to the absolute (global) indicators of error calculated above, local Moran's I analysis was undertaken to
237 determine how errors were spatially distributed across a DSM. This was important as, although the DoDs for
238 elevation, slope and aspect illustrate spatial distribution of residual values, they do not indicate whether these
239 differences are statistically significant. The results generate a diagram indicating where, across the area of interest,
240 clusters of statistically significant high (shown in red) or low (blue) residual values occur as well as regions with non-
241 significant values (grey), an example is provided in Figure 8. Statistically significant values that are surrounded by
242 either much lower (light blue) or higher (orange) values are indicative of outliers. Together, both the clusters and
243 outliers are suggestive of values that exceed what would be expected from random error (ESRI 2014).



*HH=Statistically Significant High Value, HL=High Value Surrounded by Low Values, LH=Low Value Surrounded by High Values, LL= Statistically Significant Low Value. The significance level is set at 95%.

Figure 8: Example of a Moran's I diagram illustrating the statistical significance of residual slope values between the 1984 SAP data and that of the TLS across Eggardon.

244

245 **2.4.4. Qualitative Assessment**

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247 In addition to the metric performance of each dataset, the SAP DSMs were assessed against the interpretive surveys
248 produced by the Royal Commission for Historical Monuments of England (RCHME) for each site. Profile data were
249 extracted from each SAP DSM epoch and their form compared to that of the profile lines recorded by the RCHME on

250 their hachure plans from Eggardon (RCHME 1952) and Flower's Barrow (RCHME 1970). Point data were generated
251 along the location of the profile line as shown on each hachure plan by importing the georeferenced RCHME data into
252 ESRI ArcMap v10. These were then extracted to provide locational information for the GNSS, and the stakeout
253 function used to locate the profile line in the field and re-measure it. This approach facilitated the direct comparison of
254 profile data as captured during a conventional archaeological survey (RCHME) with that created by mass-capture and
255 GNSS methods to assess how representative the latter were of archaeological earthworks.

257 **3. Results**

259 **3.1 Quantitative Assessment of DSM Quality**

261 **3.1.1 Summary Statistics**

263 The summary statistics from both Flower's Barrow and Eggardon, based on the comparison of SAP DSM elevation,
264 slope and aspect values with those of the TLS data, are presented in Table 3. The general trend in the results
265 supports the observation that DSM quality increases as SAP age decreases. This is illustrated by the decrease in ME,
266 SD and RMSE values, although there are two important exceptions to this: the DSMs derived from the desktop
267 scanned prints within the Eggardon dataset and the 2009 digital photography obtained for Flower's Barrow. The
268 differences between these datasets and the others are more easily discernible by examining the slope and aspect
269 results, which exhibit greater disparities due to the conversion of elevation values into first-order derivatives.

270 The residual histograms (Figures 9 and 10), display normal distributions for both field sites when comparing the slope
271 and aspect differences between the SAP and TLS values.

		Flower's Barrow				
		TLS MINUS 1945	TLS MINUS 1968	TLS MINUS 1982	TLS MINUS 2009	TLS MINUS 2009 ALS
	Number of values	47361	51759	51759	51759	51759
Elevation	Mean Error (m)	0.035	-0.163	-0.126	-0.097	-0.399
	Std. Deviation (m)	1.204	0.741	0.213	0.619	0.394
	RMSE (m)	1.205	0.759	0.248	0.626	0.561
Slope	Mean Error (degrees)	-2.210	1.630	0.213	0.584	-0.582
	Std. Deviation (degrees)	8.914	8.250	3.532	7.830	6.059
	RMSE (degrees)	9.184	8.410	3.538	7.852	6.087
Aspect	Mean Error (degrees)	-5.278	3.949	-0.093	-0.354	-1.920
	Std. Deviation (degrees)	47.767	44.873	20.453	31.628	28.032
	RMSE (degrees)	48.057	45.046	20.453	31.629	28.097

		Eggardon hillfort						
		TLS Minus 1948	TLS Minus 1969	TLS Minus 1972 (DTS)*	TLS Minus 1984	TLS Minus 1989	TLS Minus 1997 (DTS)*	TLS Minus 2010
	Number of values	89021	89021	89021	89021	89021	89021	89021
Elevation	Mean Error (m)	0.172	-0.044	-0.145	-0.386	0.076	0.171	0.282
	Std. Deviation (m)	2.572	0.390	0.664	0.248	0.150	0.505	0.155
	RMSE (m)	2.577	0.392	0.6796	0.459	0.168	0.533	0.321
Slope	Mean Error (degrees)	-14.410	-1.171	-3.540	-0.666	0.191	-3.166	0.481
	Std. Deviation (degrees)	12.253	4.249	6.385	3.300	3.021	5.959	2.278
	RMSE (degrees)	18.915	4.408	7.301	3.366	3.027	6.748	2.328
Aspect	Mean Error (degrees)	-13.663	-4.581	-7.232	-1.202	-0.681	-7.487	1.569
	Std. Deviation (degrees)	68.852	52.521	61.173	48.557	44.069	62.097	39.191
	RMSE (degrees)	70.195	52.721	61.599	48.572	44.074	62.546	39.223

*DTS = Desktop Scanned Prints

Table 3: Summary statistics for Flower's Barrow (top) and Eggardon hillfort (below) showing the global errors between the TLS DSM and the SAP DSMs and their derivatives

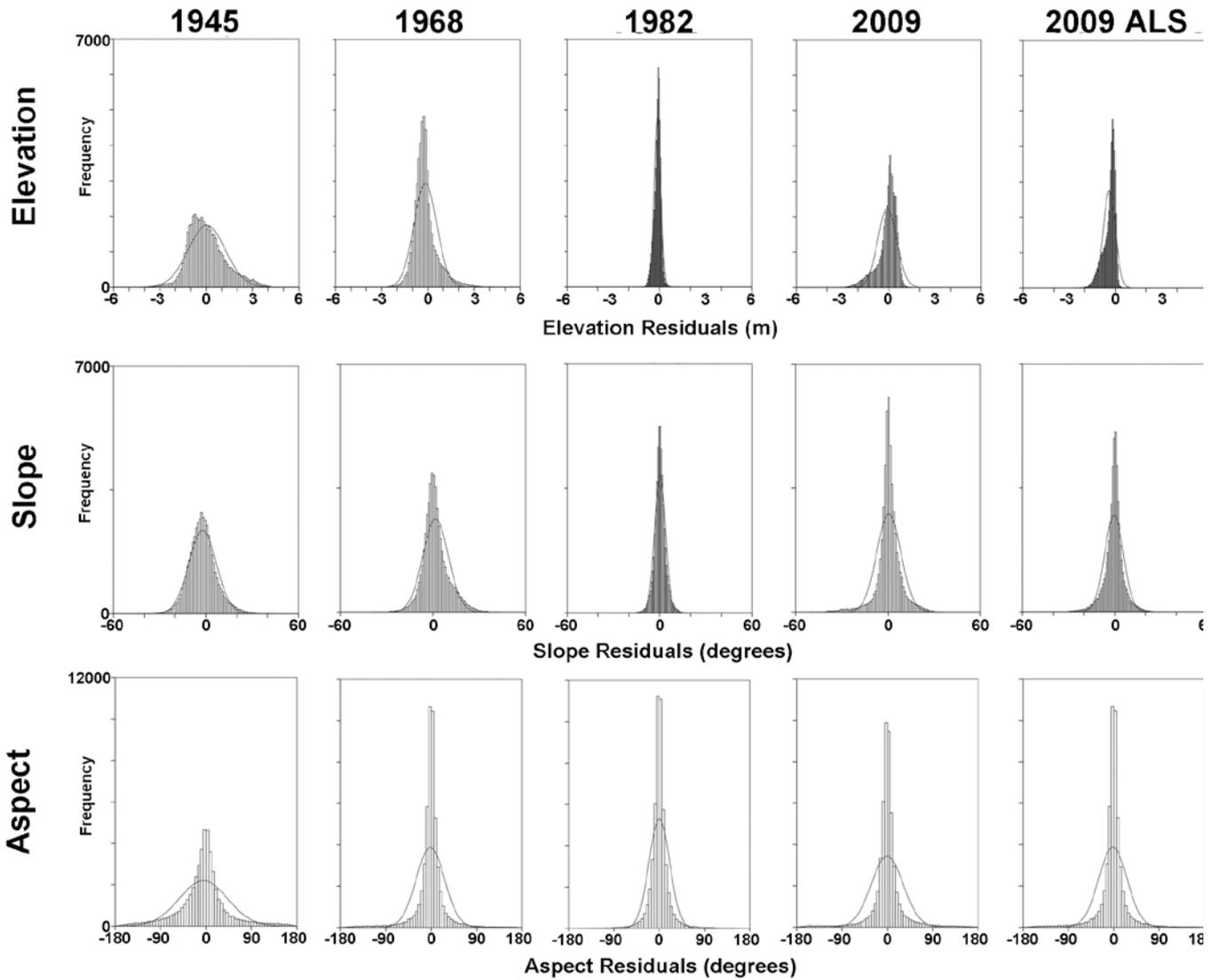


Figure 9: Residual Histograms of DSM difference for Flower's Barrow. The normal distribution is represented by the bell-shaped line.

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The majority of the histograms are leptokurtic i.e. demonstrates a high peak. Greater variation in histogram shape was observed across the SAP DSM elevation values for both sites (Figures 9 and 10). The Flower's Barrow elevation residual histograms were positively skewed for the 1945 and 1968 SAPs whilst negatively skewed for the 2009 SAPs and the 2009 ALS data, with the latter exhibiting a more leptokurtic peak. The 1982 SAP residual histogram was, however, normally distributed and displayed a leptokurtic peak. Bimodal distributions were observed in the Eggardon elevation residuals for the 1948, 1972 and 2010 SAP DSMs, indicating the presence of two modes (i.e. there are two residual values that most commonly occur) within the data. The second, much smaller peak of residuals for the 1948 and 1972 datasets are comprised of negative values, whilst the second peak within the 2010 data has a very small spread but a leptokurtic peak that occurs around 0m. The remaining epochs, namely the 1969, 1984, 1989 and 1997 SAP DSM residual histograms, are all normally distributed with leptokurtic peaks.

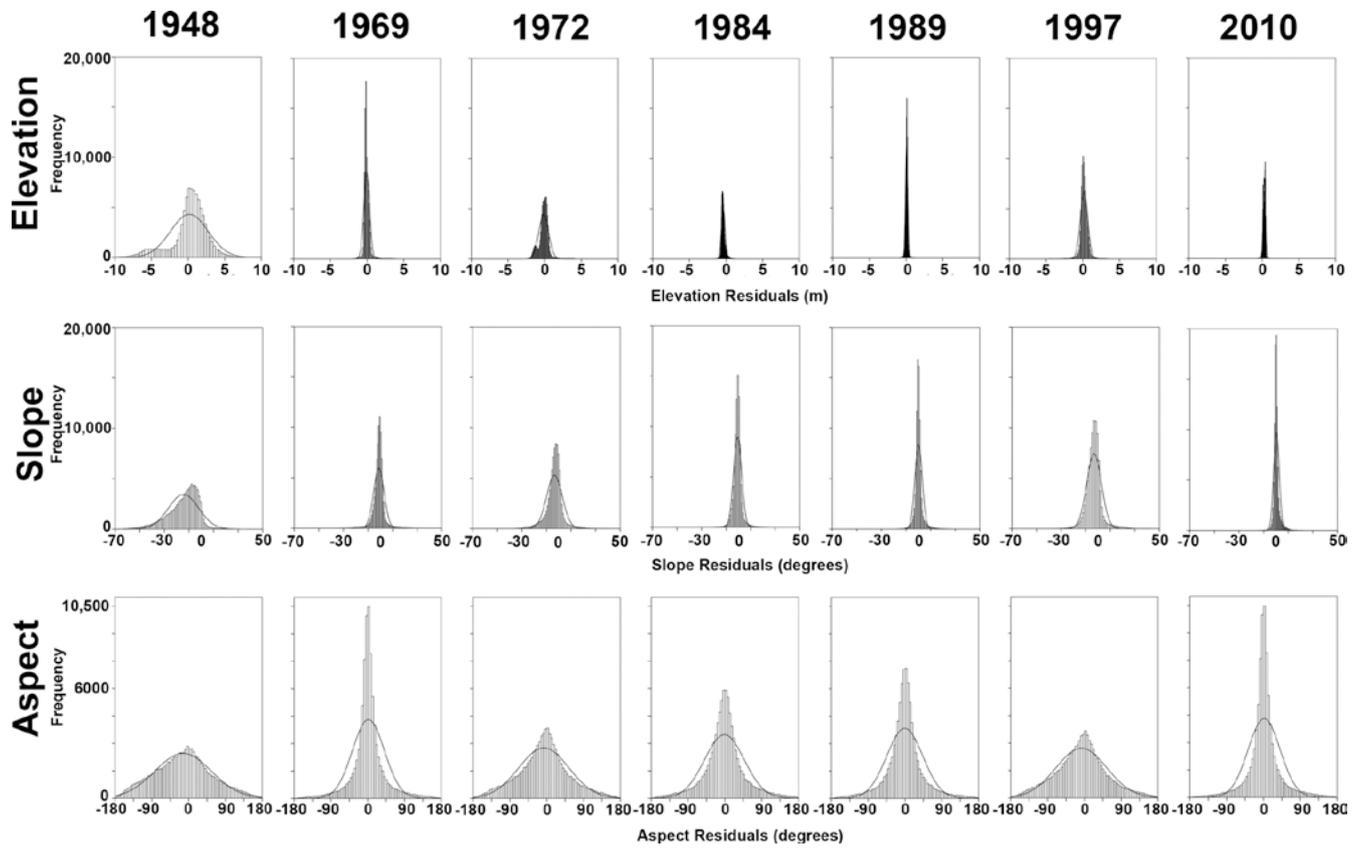


Figure 10: Residual Histograms of DSM difference for Eggardon. The normal distribution is represented by the bell-shaped line.

284 Scatter plot results for discerning the relationship between the SAP DSM elevations and the TLS DSM (Figure 11 and
 285 12), generally increase in positive linearity as SAP age decreases for both sites, although there are a number of
 286 exceptions highlighted in the Eggardon dataset (Figure 12).

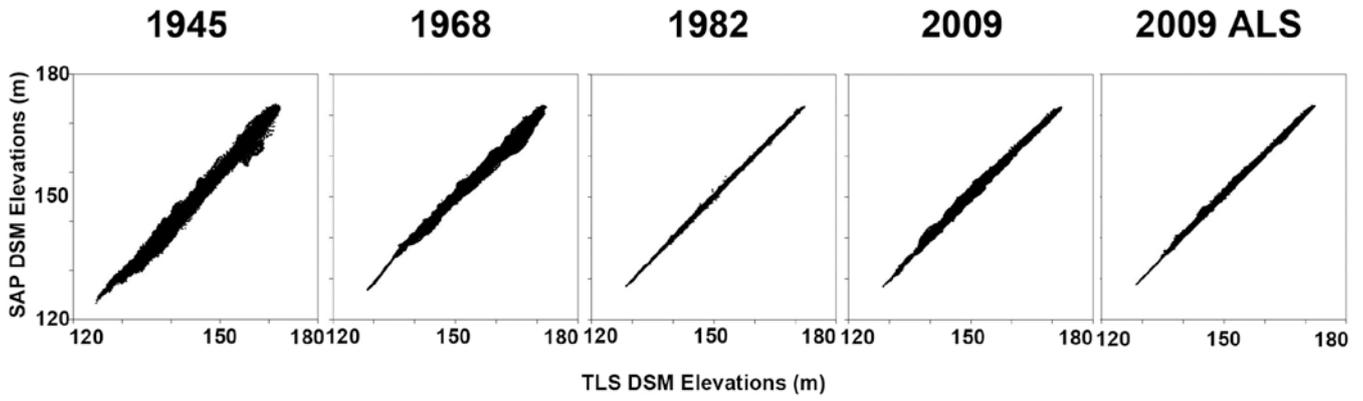


Figure 11: Flower's Barrow Elevation Scatter Plots

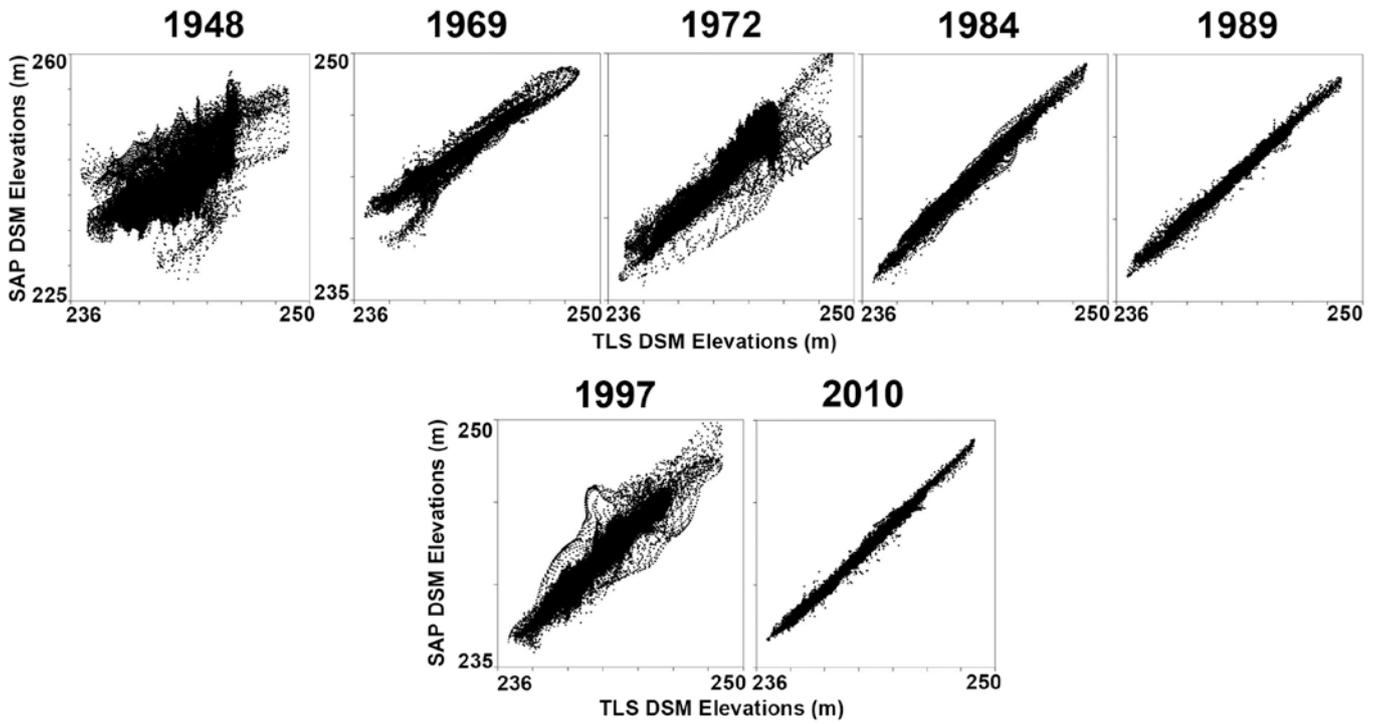


Figure 12: Eggardon Elevation Scatter Plots

287
 288 The 1972 and 1997 Eggardon scatter plots (Figure 12), whilst broadly linear, contain a large amount of noise, as
 289 demonstrated by the spread of the values within the graph, which subsequently thickens the appearance of the linear
 290 scatter. However, this does not greatly affect their correlation values, as shown in Table 4, which contains Pearson's
 291 'r' values, where any value from 0.5 to 1.0 indicates a high, positive correlation (Field 2013, p.82).

Flower's Barrow Correlations				
	N*	Elevation Correlation	Slope Correlation	Aspect Correlation
TLS vs. 1945	47361	0.993	0.710	0.562
TLS vs. 1968	51759	0.997	0.700	0.595
TLS vs. 1982	51759	1.000	0.949	0.922
TLS vs. 2009 SAPs	51759	0.998	0.750	0.814
TLS vs. 2009 ALS	51759	0.999	0.856	0.856

Eggardon hillfort Correlations				
	N*	Elevation Correlation	Slope Correlation	Aspect Correlation
TLS vs. 1948	89021	0.717	0.300	0.144
TLS vs. 1969	89021	0.981	0.725	0.510
TLS vs. 1972	89021	0.949	0.419	0.323
TLS vs. 1984	89021	0.992	0.832	0.572
TLS vs. 1989	89021	0.997	0.851	0.656
TLS vs. 1997	89021	0.967	0.423	0.308
TLS vs. 2010	89021	0.997	0.917	0.729

*N = number of elevation/slope/aspect values compared

Table 4: Pearson's 'r' correlation values obtained by comparing the TLS elevation and first-order derivative data to that of the SAPs at both Flower's Barrow and Eggardon hillfort.

The 1948 elevation results from Eggardon exhibit the least significant relationship with an 'r' value of 0.717, although this differs markedly to the results obtained for the 1945 SAPs at Flower's Barrow, which obtained a value of 0.993. It is also evident that, for the data at both field sites, the correlation between the TLS and SAP data decreases when converted to a first-order derivative (Table 4). These statistical data also indicate that correlation improves as the age of the SAPs decrease.

3.1.2 Spatial Autocorrelation of DSM Errors

The residual values from the elevation, slope and aspect Moran's I maps all demonstrate that the residual distribution is clustered for both sites, as illustrated in Figure 13 and 14.

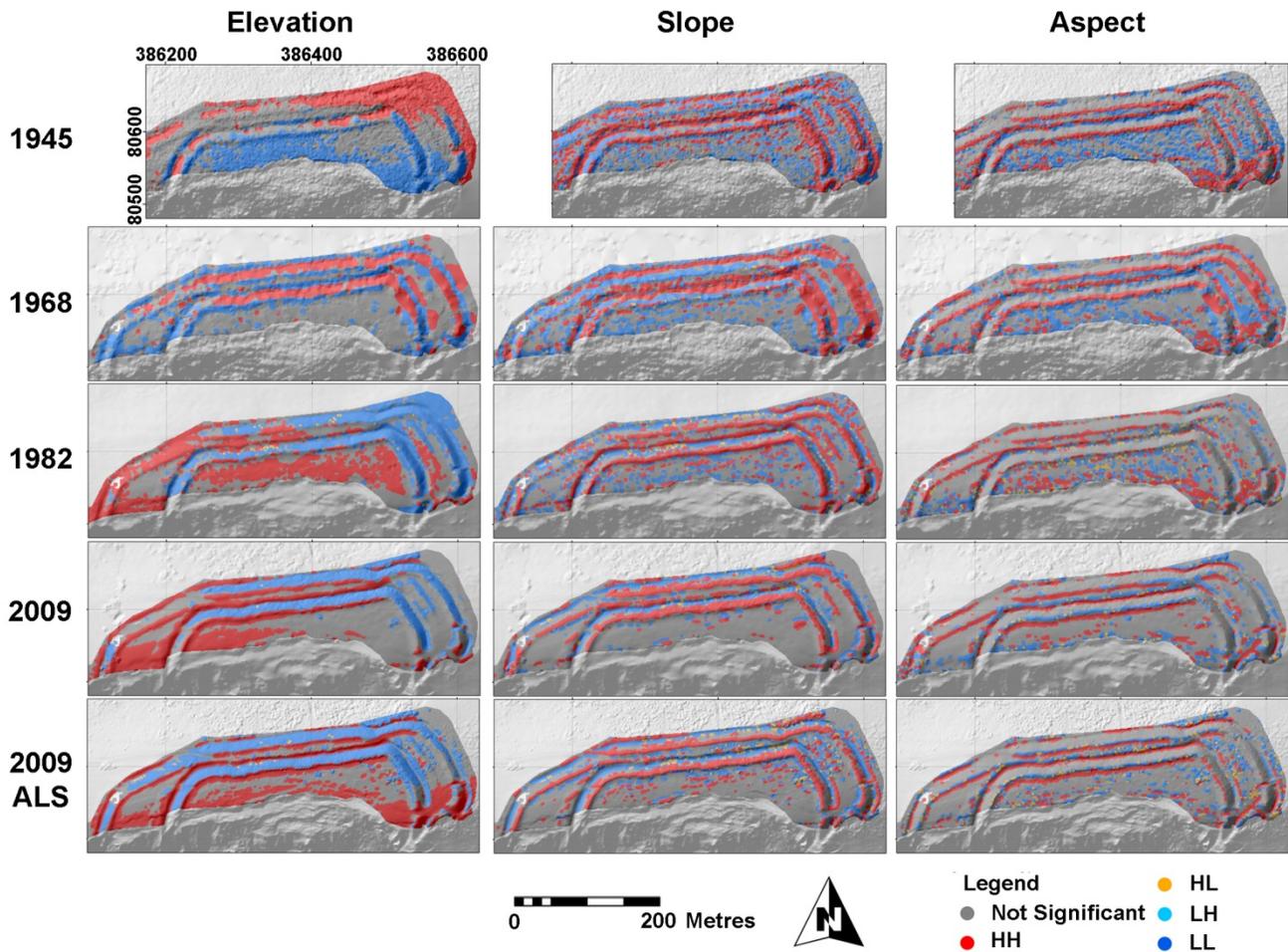


Figure 13: Flower's Barrow Local Moran's I Results

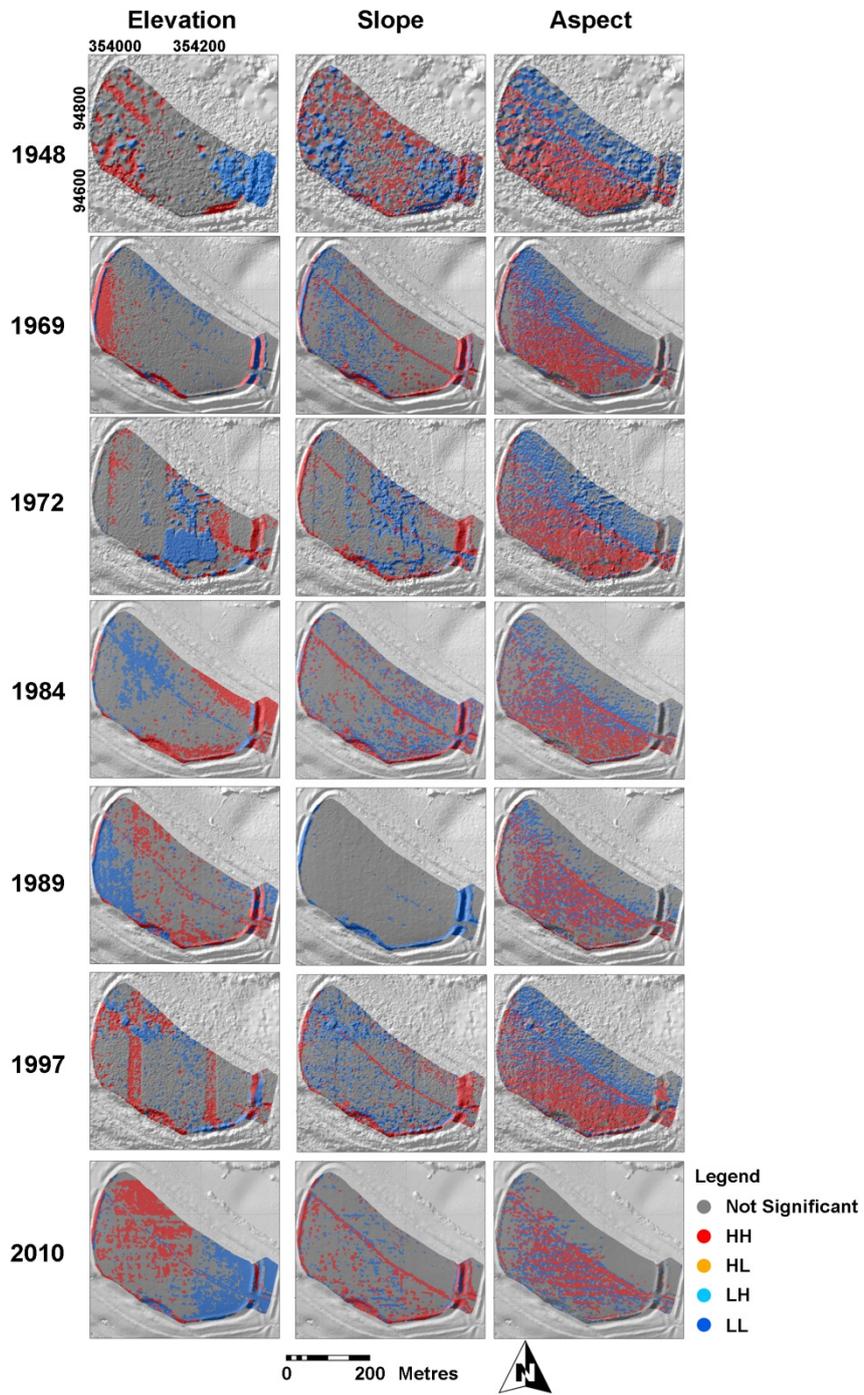


Figure 14: Eggardon Local Moran's I Results

305 An examination of the Flowers Barrow elevation datasets in Figure 13 indicates consistent clustering of values on the
306 rampart slopes for each epoch, including the ALS, with the exception of 1945. Within this diagram there appears to be
307 some distinction between clustered low values to the south-west and clustered high values to the north-east. This is
308 suggestive of a systematic error in the photogrammetric model created from the 1945 SAPs which, at first glance, may
309 indicate a tilt within the SAP DSM. However, if this were the case, it would be expected that a distinctive cluster of
310 high values would be followed by an area of non-significant values where the pivot point of the tilt would be, which
311 would then turn in to a region of low values. The pattern shown within the 1945 elevation Moran's I diagram may show
312 large blocks of high and low values, but each is interspersed with clusters of values of the opposite sign.
313 Subsequently, it is unlikely that tilting of the SAP DSM is the cause of this difference. This same pattern is not
314 apparent in the slope and aspect derivatives from the 1945 DSM although, as derivative values of elevation, they are
315 independent of the factors affecting a tilt in elevation models.

316 A pattern of high and low value clustering along the rampart slopes and in the ditches of Flowers Barrow can be
317 identified in all of the Moran's I diagrams, with the exception of the 1945 elevation map. Based upon the quality
318 assessment undertaken on the TLS data in Section 2.1.1 these errors are not caused by low point densities or
319 accuracies in this dataset. Whilst the largest errors between the TLS and GNSS datasets were located along the inner
320 most north-facing rampart slope, they do not occur sufficiently frequently elsewhere within the hillfort to suspect that
321 deficiencies in the TLS data influence the pattern of spatial clustering within the Moran's I results. The pattern of
322 clustering suggests that, as slope angle increases, so to do the differences between the TLS and SAP datasets.

323 Within the Eggardon Hillfort results, as shown in Figure 14, there are different patterns of clustering. Most notable
324 within the elevation diagrams are the stripes of clustered values that are especially evident in the 1972 and 1997 DTS
325 datasets. These stripes do not necessarily coincide with features in the imagery, such as the information strips, the
326 edge of a frame or an artefact in the images themselves, although they have structure and are suggestive of a
327 systematic error. As this pattern is noted in the two datasets scanned from prints using a desktop scanner it is likely
328 that the source of error may be related to the use of print materials and an uncharacterised scanner, the issues of
329 which are discussed in Section 4.3.

330 A further systematic error appears to be present in the 2010 elevation diagram as shown by the clustering of
331 significant high values to the west and significant low values to the east. The transition between these significant
332 values occurs on the boundary between coverage of 6 stereo pairs (west) and 4 stereo pairs (east) in the image block.
333 It is possible that this difference in stereo coverage created differences in number and accuracy of tie points in the
334 block adjustment and also a difference in the number of stereo-matched points in the cloud used to interpolate the
335 DSM. Subsequently, this would result in different significant residuals across the DSM when compared with reference
336 data. Were the block to be extended to create a consistent stereo coverage across the site, such that 6 stereo pairs
337 covered the east of the hillfort, it is likely that these significant differences in residuals would be of the same sign,
338 minimised, or absent.

339 As with the Flowers Barrow datasets, there are noticeable clusters of significant values along the rampart slopes of
340 the hillfort in the majority of the elevation and slope diagrams for all SAP epochs. These are most pronounced along
341 the slope of the east-facing rampart, situated to the east of the hillfort. As with the Flowers Barrow results, an
342 examination of the TLS data density and accuracy in Section 2.1.1 does not depict any obvious deficit in the data
343 which may influence the outcome of the Moran's I analysis. There is one exception to this observation and that is the
344 line of high values running from the north-west to the south-east of the hillfort, which is synonymous with the fence
345 that denotes the boundaries of ownership. This feature was not removed from the TLS dataset and thus indicates
346 elevation values that are expected to be much higher than those contained within the SAP DSMs. Overall, these

347 results support the same conclusion as Flowers Barrow, in that an increase in the slope angle of the ramparts
348 decreases the accuracy of its representation in comparison with the TLS data.

349

350 3.2 Qualitative Assessment of DSM Data

351

352 The profiles illustrated in Figure 15 show that the results for Eggardon appear to create a more reliable reconstruction
353 of the ramparts than those for Flower's Barrow. There is close conformity with the RCHME survey of Flower's Barrow
354 from the GNSS with the exception of the plateau in the middle of the profile. Aside from the 1945 and 1968 DSMs the
355 profiles from the remaining SAP DSMs are remarkably similar. The same can be said of the Eggardon profile whereby
356 SAP data from 1969 onwards conforms to the RCHME survey. In corroboration with the quantitative results, the DSMs
357 from newer SAPs create profiles that are more consistent with the shape of the rampart banks and ditches than those
358 from the older photography and the images scanned from prints using a desktop scanner. Subsequently, it can be

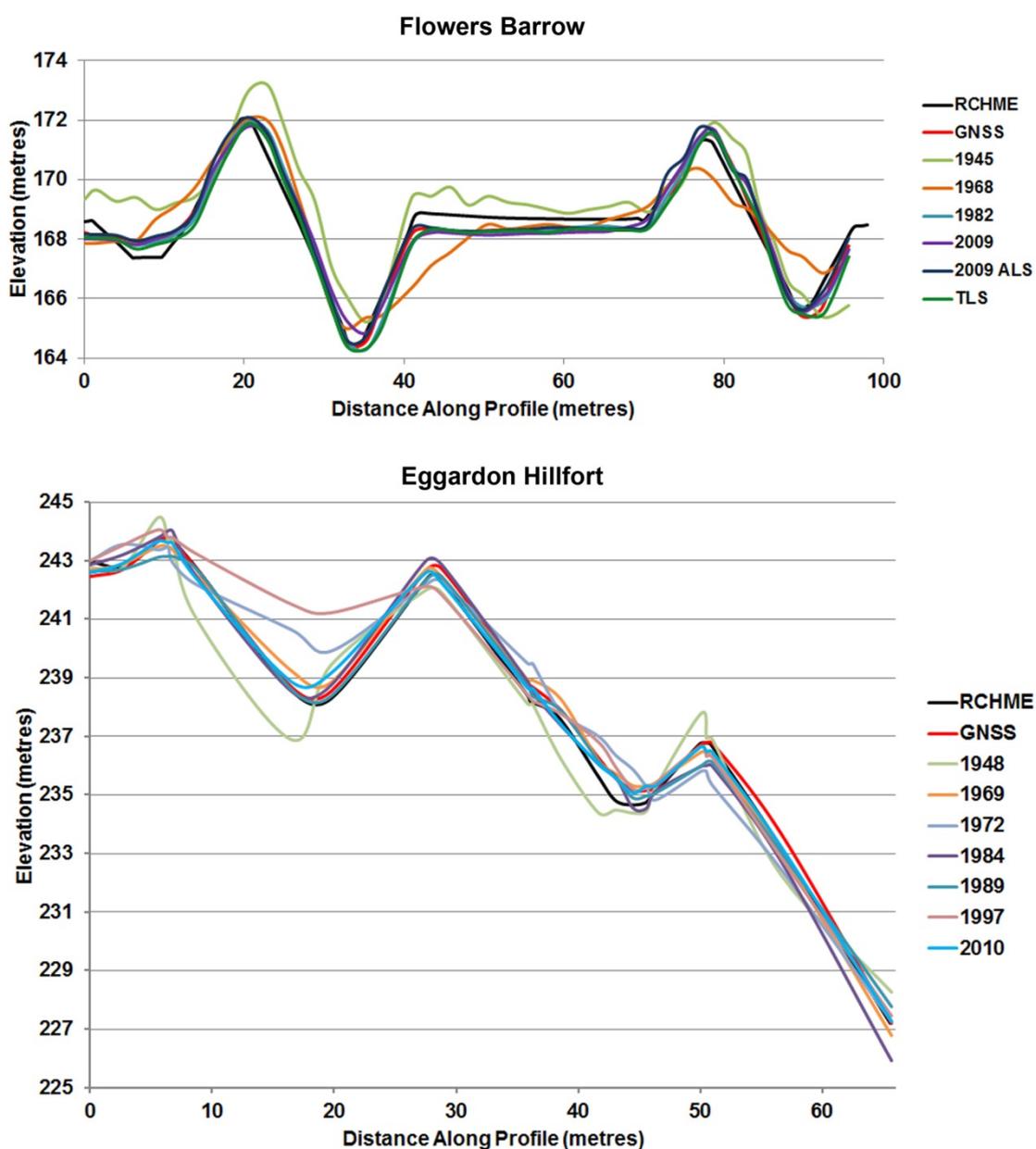


Figure 15: Profile Comparisons between the RCHME survey, GNSS and SAP DSM results.

359 said that SAP DSMs can be used to provide archaeological survey data, although photography from the 1940s and
360 from desktop scanned photographic prints cannot.

362 4. Discussion

364 The results, both empirical and qualitative, demonstrate that archive SAPs can be used to generate data akin to that
365 of the RCHME surveys whilst highlighting a number of issues that should be considered when undertaking DSM
366 generation with these data.

368 4.1 SAP Age

370 It has been demonstrated that the quality of DSM output does generally improve with decreasing imagery age: a trend
371 which has also been identified by previous studies conducted by Walstra et al. (2004) and Aguilar et al. (2012). Both
372 authors provide tables showing a decrease in RMSE values as the age of SAPs also decrease. However the
373 relationship is complex and influenced by a variety of factors such as camera and film quality, suitable GCPs and the
374 state of preservation of photographic materials, for example.

375 The weakest performing DSMs were produced from the 1945 and 1948 photographs from Flower's Barrow and
376 Eggardon respectively (see Section 3.1.1). The 1945 SAP DSM from Flower's Barrow is missing the western tip of
377 the hillfort due to a lack of stereo-overlap in this region. However, when comparing the appearance of the 1945 and
378 1948 DSMs with one another (see Figure 13 and 14) the 1945 data has a less noisy appearance and the lack of
379 stereo-overlap does not appear to have hindered reconstruction of the hillfort to the centre and east of the earthwork.
380 This disparity between two SAP datasets of similar age illustrates the variation in image quality depending on location
381 for the period 1945-'51. During this period the RAF were responsible for the acquisition of aerial photography over the
382 United Kingdom on behalf of the OS. The cameras and aircraft used were not designed for photogrammetric use
383 (Owen and Pilbeam 1992). For instance, the most widely employed cameras (F24 & F52) were non-metric, relatively
384 small-format (5 x 5" and 8.5" x 7" respectively) and instead designed for aerial reconnaissance (Conyers Nesbit 2003).
385 A minority of sorties were flown at relatively low altitude with comparatively short focal lengths (2133.6m and 8" over
386 Flowers Barrow in 1945), but the majority, especially in rural areas, were flown at greater altitudes with longer focal
387 lengths (5029.2m and 20" over Eggardon in 1948). The resulting photo scales were very similar (1:10500 and 1:10000
388 respectively) but with markedly different baseline-to-altitude ratios, with the latter making photogrammetry very
389 difficult. These are the most likely explanations for the differences in photogrammetric results between 1945 and 1948
390 presented here.

391 These problems were further exacerbated by the use of "split-vertical" camera pairs. In the case of the Spitfire PR XIX,
392 as used over Eggardon in 1948, this arrangement had two F52 cameras angled 5° 20" from the vertical (Evidence in
393 Camera, March 1945). This resulted in low-oblique photographs, with twice the coverage of a single camera. This was
394 ideal for aerial reconnaissance but came at the expense of photogrammetry. With few photogrammetric plotters
395 available (Whitaker 2014) the emphasis was instead on the creation of photo-mosaics for reconstruction purposes.
396 The RAF were also unable to guarantee a supply of aircrew experienced in aerial survey, given problems of
397 recruitment and retention (Macdonald 1992).

398 From 1951 the OS resumed control of aerial photography acquisition, but aircraft and aircrew were provided by the
399 Ministry of Transport & Civil Aviation, who proved unsatisfactory for similar reasons as the RAF. For the majority of the
400 1950s and early 1960s aerial survey was limited in quantity and extent (Macdonald 1992) and thus archival
401 photography was not available for either Flowers Barrow or Eggardon.

402 It was only after the purchase of 9 x 9" format metric cameras (i.e. Zeiss RMK 30/23 & Wild RC8R) and the use of
403 civilian contractors for flying that matters finally improved from the mid-1960s (Survey Season, in FLIGHT
404 International, 4th January 1965. p. 49 & 50). Stricter quality-control requirements stipulating the timing and weather
405 conditions (including sun angle) were also used by the OS and contractors. Therefore DSMs from SAPs dating from
406 the mid-1960s onwards for both sites do not demonstrate as stark a difference as the data from the 1940s.

407 Further developments saw the RAF re-equipped with the Canberra PR 3, 7 & 9 aircraft and, whilst still employing split-
408 vertical pairs of F52, they also carried the F49 9 x 9" format survey camera (Oakey 2008). These resulted in superior
409 photogrammetric products, as demonstrated with the SAP acquired over Flowers Barrow in 1968.

410 Based on the reasons stated above the authors recommend that archive SAPs of the UK dating from the 1945-'65
411 period require independent consideration and verification, especially those acquired from 1945-'51.

412 In the 1980s the OS purchased cameras with forward motion compensation (i.e. Zeiss RMK 15/23 & Wild
413 RC10A/RC20), which further improved photogrammetric products (Macdonald 1992). However, it is arguable that the
414 introduction of colour film at the OS and commercial companies, in part to meet the growing requirement for colour
415 orthophotographs, may have negatively impacted photogrammetry in terms of the lower granularity with greater film
416 density (the opposite of black & white films) (Langford 1998).

418 **4.2 Image Resolution**

420 In comparison with the analogue archive SAPs, the most recent 2009 digital photography generated over Flower's
421 Barrow by GetMapping Ltd. did not perform as favourably as the ALS and 1982 SAP datasets. This is illustrated by
422 the summary (global) statistics provided in Table 3. The elevation data from the 2010 digital photography of Eggardon
423 was also out-performed by 1989 OS SAPs on comparison of the RMSE values, which are 0.321m and 0.168m
424 respectively. The cause of this is postulated to be the reduced detail captured by the digital multispectral camera
425 system of the Vexcel UltraCam X from which the images were provided (unfortunately, the panchromatic images were
426 not available in the scope of this project). Unlike the 6µm pixel size of its panchromatic camera, the multispectral
427 imagery delivers a pixel size of 18µm (Microsoft Corp. 2008), providing an image with pixel dimensions of 5770x3770
428 pixels and a ground-sample distance (GSD) of 0.15m. Therefore, the newest SAP datasets for both field sites have
429 the lowest GSD of the group and contains a lesser amount of information per pixel than the film-based imagery of
430 earlier sorties.

431 It should be noted that image resolution for scanned photographs is dependent on the abilities of the scanner used to
432 digitise them. Whilst much of the issues surrounding scanners and the effect of photographic materials on resolution
433 will be discussed in Section 4.3, a brief appraisal of scan resolutions is given here. Walstra et al. (2011) state that a
434 scanned pixel size of 6 to 12µm would be required to preserve a resolution of 30 to 60 lines/mm as provided by
435 original film, based on a paper by Baltsavias (1999) who states that good DSM results can be acquired when using a
436 scan resolution of 25-30µm. The photographs for this research were scanned at a resolution of 2400 dots-per-inch or
437 10.6µm whilst those utilised by Walstra et al. (2011) and Aguilar et al. (2013) range between 15 - 42 µm. However, the

438 increased resolution of the scanned images used in this research will not necessarily increase the precision of the
439 resultant DSMs if the original film quality, atmospheric conditions at exposure and processing methods, for example,
440 are such that image quality is already degraded prior to scanning. Aguilar et al. (*ibid.*) compared the overall RMSE
441 results obtained from 1977 imagery, , with values obtained by Walstra et al. (2007) for 1971 (1.31m).

442 A further consideration regarding the GetMapping imagery is the provision of such in the compressed jpeg file format,
443 as was the case for this research, and whether this may have had a negative impact on DSM quality. Lam et al.
444 (2001) have shown that utilising jpeg files with a compression ratio of less than 10 should have no significant impact
445 upon DSM quality, unless the image is texturally rich. If so, there will be a more significant degradation of image
446 quality, which in turn affects the quality of the resultant DSM. As no information relating to the compression ratio was
447 provided with the GetMapping data for this research, the adverse influence of jpeg compression on the DSMs created
448 using this imagery cannot be dismissed.

450 **4.3 Photographic Materials and Scanning Technologies**

451

452 The positive influence of the use of well-defined photogrammetric scanning technology upon archive SAPs can be
453 seen by examining the Eggardon dataset. Tables 2 and 3 demonstrate the overall weak performance of the 1972 and
454 1997 SAP DSMs. This disparity is due to the scanning of these images using commercially available desktop
455 equipment that had not been characterised to provide error correction factors for any geometric or radiometric
456 distortions it may introduce to the digitised photography. Geometric errors (i.e. lens distortion, defective pixels and
457 CCD misalignments) and radiometric errors (i.e. illumination instabilities, stripes and electronic noise) are rarely, if
458 ever, accounted for by manufacturers of desktop scanners (Baltsavias and Waegli 1996; El-Ashmawy 2014), with the
459 radiometric errors in particular varying on a frequent basis (Baltsavias and Waegli *ibid.*), thus illustrating the instability
460 of this equipment. El-Ashmawy (*ibid.*) states that photogrammetric scanners are manufactured to robust standards to
461 ensure the accuracy of analogue to digital image production at every stage of the scanning process, which also
462 illustrates the inflated cost of these scanners.

463 All other SAPs from this site were scanned from original negatives using a photogrammetric scanner (see Section
464 2.2), and the DSMs produced from this imagery performed well. The 1948 SAPs form the only exception to this result
465 producing data seen to be inferior to both the 1972 and 1997 SAPs. Here age is thought likely to be the dominant
466 factor (see Section 4.1). A comparative study on the influence of scanning technologies upon archive SAPs and the
467 extraction of DSMs using SfM software has been investigated by Sevara (2013). The author also concluded that
468 DSMs obtained using photography scanned from negatives contained less distortion and performed better than scans
469 obtained from print materials. Print materials produce photographs with lower detail due to the larger size of silver
470 crystals used in their emulsions, as compared to that used in film (Walstra et al. 2011), and are known to be more
471 susceptible to degradations (Jacobson 2000, p373). Degradations can take a variety of forms such as image fading
472 and staining (caused by residual chemicals in photographic material or oxidation of silver particles by atmospheric
473 gases, for example) or microbial attack on the gelatin contained with a negative or print, and on the paper substrate
474 within print materials. High humidity will cause gelatin in photographic materials to swell that, in a photographic print,
475 can also encourage some of the layers to detach, particularly around the edges, which gives rise to this 'frilling' as well
476 as blistering on the print surface (Weaver 2008, p13). Weaver (*ibid.*, p14) also highlights further issues with humidity,
477 namely 'cockling' of print materials, which can occur differentially across a photograph as the gelatin and paper
478 expand at different rates, and the reduction of paper flexibility that enhances the likelihood of tearing and cracking in

479 this substrate. These factors highlight the challenges in removing geometric distortions from archive photographs,
480 particularly from prints.

481 Overall, the results from this section of our study reflect the findings from Walstra et al. (*ibid.*) in that the values
482 obtained from scanned prints are worse than those from scanned negatives. Walstra et al. (*ibid.*) state that the
483 accuracy values from scanned prints may be worse by a factor of 3.1 in comparison with scanned diapositives,
484 although the authors also note that this value is based upon limited data. It should also be remarked that different
485 photogrammetric software, namely Leica Photogrammetry Suite (LPS), was utilised by this study and thus the results
486 from an alternative package, such as SocetGXP, may be different. However, it is nevertheless a useful indication of
487 the quality of data achievable when utilising poorer-quality data.

489 **4.4 Control and Tie Point Distribution**

491 The distribution of tie points and GCPs across each set of imagery is suspected to be the cause of bimodal
492 distributions observed in the elevation residual histograms obtained for the 1948, 1972 and 2010 Eggardon datasets.
493 A lack of sufficient control close to the edges of the photographs, where lens distortion is at its peak, may cause the
494 triangulation routine to perform sub-optimally. Triangulation utilises both tie points and GCPs to calculate missing
495 exterior orientation and camera calibration information, including the lens distortion parameters. Subsequently, image
496 matching in these regions may perform sub-optimally, particularly if radial distortion has been modelled poorly, and the
497 triangulation solution may result in values that increase with distance from the principle point. This issue will propagate
498 into the terrain extraction process whereby unrepresentative elevation values may appear in areas where the SAPs
499 overlap, causing artifacts to appear in the DSM. These were observed in the 1972, 1997 and 2010 Eggardon DSMs,
500 manifesting as a stripe effect running north-south through the hillfort (Figure 11). However, the 1997 residual
501 histogram does not display a bimodal distribution, which may suggest that the elevation offsets in the SAP DSM
502 caused by the stripe may be negated by the other elevation values within the data.

503 Studies by Walstra (2006) and Aguilar et al. (2012) have referred to optimal GCP numbers when processing archive
504 SAPs, with Walstra (*ibid.*) using between 4 to 9 per stereopair and Aguilar et al. (*ibid.*) identifying similar triangulation
505 accuracies irrespective of whether 12 or 24 GCPs were utilised. Subsequently, the latter research recommended the
506 use of 6 to 9 GCPs per stereopair (14 when using very old photographs of lower geometric and radiometric quality
507 and/or smaller scale) if self-calibrating bundle adjustment was required, as was the requirement for this project due to
508 the deficit of camera calibration data. However, as Walstra et al. (2011) note, selecting suitable control in historical
509 imagery is often limited, relying instead on site accessibility and/or change since the photography was captured and
510 must thus be considered based upon the features visible in any one image set. Subsequently, the number of GCPs
511 identified per stereopair, per epoch for this research were akin to those used by Walstra (2006), namely between 4 to
512 9 GCPs. It should also be noted that a number of natural features (i.e. fence and gate posts, road intersections) were
513 used as GCP locations, which have been identified by Walstra et al. (2011) as a potentially large source of
514 uncertainty, particularly when compared with artificial targets deployed as a control network during contemporary a
515 photogrammetric survey.

517 **4.5 Camera Calibration Information**

519 Camera calibration information was only available for the most recent digital photography, namely the 2009 Flower's
520 Barrow and 2010 Eggardon datasets from GetMapping Ltd. However, this did not appear to influence the quality of the
521 DSM results, particularly for the Flower's Barrow field site over which the 1982 SAP DSM performed most favourably
522 in comparison with the TLS, as highlighted by the summary statistics provided in Table 3. In addition, the inclusion of
523 camera calibration information for the 2009 and 2010 digital photography did not prevent the clustering of residual
524 values (Table 4). These results suggest that calibration information does not greatly influence the occurrence of
525 systematic errors. Therefore, whilst it is good practice to work with camera calibration information where possible, for
526 archival imagery their absence should not significantly negatively impact on the result obtained.

527

528 **4.6 Archaeological Implications**

529

530 The recording of archaeological earthworks, particularly those at threat from attrition, should be regularly recorded for
531 management and monitoring purposes (ICOMOS General Assembly 1996). Metric information is crucial for these
532 purposes, playing an important role in the analytical process of understanding a monument and mapping changes that
533 are occurring or may occur in due course. However, as demonstrated by the field sites utilised for this study, the only
534 known survey data of each consists of an interpretive hachure plan and selected profiles, which depict the form of the
535 earthworks but do not facilitate future mapping and monitoring practices. Subsequently, the regaining of metric data
536 for such purposes in relation to these sites is only possible via the use of SAPs. This research has demonstrated that
537 many of the photogrammetrically scanned SAPs and the DSMs created from them have been able to provide metric
538 information akin to that recorded using a TLS. This has been demonstrated by high correlation values between the
539 elevation data produced by photogrammetry and laser scanning. It has also been shown that profiles similar to the
540 RCHME interpretive surveys can be extracted from SAP DSMs, thus illustrating the capability to extract archaeological
541 information from archive SAPs.

542

543 **5. Conclusion**

544

545 In conclusion, this research has demonstrated that archive SAPs can produce data of archaeological utility.
546 Archaeologists wishing to extract DSMs from these data are advised to consider a number of factors when pursuing
547 their own SAP datasets. Firstly, SAP age influences DSM quality, with photography from the 1940s generating poorer
548 results than DSMs generated using desktop scanned prints. However, the 1945 SAPs processed for Flower's Barrow
549 did show promise, particularly when reconstructing the rampart slopes as illustrated by its profile. The digitisation
550 method was also a key factor in producing high-quality DSMs and thus obtaining digital images scanned from
551 negatives using a photogrammetric scanner will provide the best results.

552 Modern aerial photography providers should be asked to provide data from the panchromatic sensors in their digital
553 cameras in a lossless (i.e. TIF format) as the RGB imagery processed by this research provided results that were, in
554 the case of the Flower's Barrow study, poorer than imagery captured in 1982. Whilst camera calibration information
555 did not appear to greatly influence the quality of the DSM results, GCPs should be gathered using GNSS equipment
556 and, if possible, well distributed across the area of interest and throughout the photographic pair, strip or block.

557 The results presented by this research demonstrate that archaeologists must not solely rely on empirical analysis to
558 assess DSM quality, but continue to conduct visual assessments of the data. This approach has been advocated
559 previously by the archaeological community with reference to ALS DSMs (Doneus et al. 2008; Corns and Shaw 2009).

560 The implications the success of this method has upon archaeological research management and the mitigation of
561 earthwork loss is significant. It provides a means by which to recover metric information lost through attrition,
562 particularly if no prior record has been created. The reconstruction of larger earthworks has been demonstrated, and
563 further work is required to assess this method for smaller earthwork features, such as pits and housing platforms.

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566
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576 7. References

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