

1 **Involving recreational fisheries stakeholders in development of research and conservation**
2 **priorities for mahseer (*Tor spp.*) of India through collaborative workshops**

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29 **Abstract**

30 The mahseer (*Tor* spp.) of India are a group of potamodromous cyprinids currently facing
31 numerous challenges in their native ranges including overfishing, pollution, and hydropower
32 development. As a result of such challenges, four of the seven Indian species of *Tor* have been
33 listed as ‘Endangered’ on the IUCN Red List, including two of the most popular recreationally
34 fished species, *Tor khudree* and *Tor putitora*. Stakeholders in the mahseer recreational fishery
35 may serve as an ally for this group of iconic fishes, fostering aquatic stewardship and providing
36 livelihood alternatives for poachers. Yet, information regarding species-specific responses to
37 recreational fishing practices is lacking and a 2009 decree equating fishing with hunting in the
38 Indian Wildlife Protection Act (1972) has since 2011 effectively banned angling within protected
39 areas and rendered the future of mahseer recreational fisheries elsewhere uncertain. In 2014, our
40 team collaborated with local organizations, fisheries professionals, non-governmental
41 organizations (NGOs), and anglers to conduct two stakeholder workshops designed to develop a
42 research agenda for various species of Indian mahseer. General knowledge gaps identified in the
43 two workshops were very similar and included biological, sociological, and economic
44 considerations. The resulting research priorities in both locations strongly highlighted local
45 context, indicating that while opportunities for addressing knowledge gaps through collaboration
46 exist at the national scale, there is a need for regional- or fishery-specific governance strategies
47 and approaches to mahseer research and conservation.

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49 **Keywords:** recreational fisheries, freshwater fish, migratory fish, social-ecological systems,
50 stakeholder engagement

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52 **Highlights**

- 53 • Stakeholder workshops were used to develop research agendas for Indian mahseer
- 54 • Knowledge gaps constraining mahseer research and conservation are multi-disciplinary
- 55 • Participants identified similar knowledge gaps, but prioritized research goals differently
- 56 • Research priorities identify opportunity for multi-scale governance strategies

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58 **1. Introduction**

59 Stakeholder engagement, the active participation of individuals in planning, research, or
60 management processes that impact them (Sloan 2009), has become a popular topic in fisheries
61 research (e.g., in the US, Feeney et al. 2010; in the UK, Hartley and Robinson 2008; in Europe,

62 Mackinson et al. 201; for spatial planning, Pomeroy and Douvere 2008). A number of concerns
63 associated with the incorporation of stakeholder engagement into research have been identified
64 (e.g., negative impacts on scientific integrity, Abbott and Guijt 1997; the potential exclusion of
65 already marginalized groups from the engagement process, Kothari 2001; Prell et al. 2008;
66 potential consequences of negative trust relationships, Smith et al. 2012). Other studies,
67 however, have noted that incorporating local context led to improved research outcomes as a
68 result of access to more relevant information (e.g., anticipating problems or conflict, Koontz and
69 Thomas 2006; facilitating social learning Steyaert et al. 2011; promoting trust among
70 collaborators, Yochum et al. 2012). These benefits may be critical for developing sound
71 management strategies for data deficient recreational fisheries. For example, Arlinghaus and
72 Krause (2013) suggested that under certain conditions stakeholder estimates of population size
73 could be as reliable as more traditional stock assessment methods. Other benefits associated with
74 the stakeholder engagement process include improved relationships between researchers and the
75 public, the development of ongoing partnerships, and acceptance and self-enforcement of
76 management decisions based on research outcomes (Reed 2008, Steyaert et al. 2007).

77 Recreational fisheries have been recognized as a complex social-ecological system, where
78 changes to either component result in changes to the other (Mora et al. 2009). In these systems,
79 wicked problems, or problems that by their nature are difficult to solve due to a combination of
80 complexity and stochasticity, can arise which require extensive communication and efforts
81 among numerous disciplines to tackle effectively (Jentoft and Chuenpagdee 2009). Stakeholder
82 engagement and partnership strategies have proven successful in recreational fisheries research
83 and conservation efforts by incorporating multiple viewpoints and facilitating angler
84 participation to engender cooperation and support (e.g. see Armitage et al. 2008; Granek et al.
85 2008; Hartley and Robertson 2006). Indeed, when consultation and participatory conditions are
86 met, harnessing the support of freshwater and marine anglers can contribute greatly to aquatic
87 stewardship (Cowx et al. 2010; Granek et al. 2008; Tufts et al. 2015; but see also Danylchuk and
88 Cooke 2011).

89 An example of this potential can be found in the management and conservation challenges
90 surrounding the mahseer (*Tor* spp.) recreational fishery of India. Mahseer are a group of large-
91 bodied potamodromous cyprinids targeted by commercial, subsistence and recreational fishers in
92 Asia. Despite the fact that four of the seven *Tor* species in India have been listed as endangered
93 (an additional species is listed as 'Near Threatened', IUCN 2015), very little information is
94 currently available describing the ecology of these species (but see Bhatt et al. 2004; Bhatt and
95 Pandit *In Press*; Nautiyal et al. 2008; Nautiyal 2013 describing migration behaviours and
96 ecology of *Tor putitora*). Catch and release (C&R) was advocated as an angling ethic in the
97 1970s in an effort to control poaching activities after anglers noted a decline in the body size and
98 rate of catch (Gupta et al. 2015a). In an effort to mitigate concerns surrounding the state of the
99 fishery, anglers developed 'coalitions' and leased property along river reaches, developing
100 training programs for guides and monitoring river activities to reduce poaching (Everard and

101 Kataria 2011; Gupta et al. 2015b; Pinder and Raghavan 2013). Angler catch data collected from
102 a former angling camp on the Cauvery River has demonstrated an increase in catch rate (along
103 with concomitant decreases in body size), indicating strong recruitment has occurred since this
104 type of fisheries management model was established (Pinder et al. 2015b). However, in 2009, a
105 legislative decree equating C&R fishing with hunting effectively shut down the recreational
106 fishery in protected areas, while leaving other locales virtually unaffected. This uneven
107 application of regulations has since resulted in anecdotal reports of elevated poaching and illegal
108 fishing activity within the Cauvery Wildlife Sanctuary (Pinder et al. 2015a, 2015b).

109 In 2013, WWF India issued a report detailing the current status and challenges surrounding
110 mahseer conservation (see WWF India 2013). A key report finding was the need to develop an
111 evidence based research agenda to support mahseer conservation. In 2014, our team collaborated
112 with local organizations, fisheries professionals, NGOs, and anglers in two regions to conduct
113 stakeholder workshops designed to meet this need by facilitating discussions to clarify the
114 current state of mahseer research, identify key knowledge gaps constraining mahseer
115 conservation, and to develop a research agenda based on the outcomes of these discussions.

116 **2. Methods**

117 The goal of both stakeholder workshops was to collaborate with researchers, industry and
118 stakeholder partners to identify key knowledge gaps and develop a research agenda for mahseer
119 that addresses these knowledge gaps and supports current and future research and conservation
120 efforts. The unique characteristics of each location, and associated fisheries, threats, and focal
121 species necessitated different approaches for each workshop. In both cases, preparation consisted
122 of identifying local experts in the target areas to seek their partnership in facilitating workshops
123 through planning and participation (as per Reed et al. 2006). These facilitators populated a
124 balanced list of key stakeholders from multiple arenas, including fisheries managers,
125 representatives from fishing associations (including the Coorg Wildlife Society, the Wildlife
126 Association of South India, Jungle Lodges, The Himalayan Outback, Baobab Educational
127 Adventures), lodge and homestay owners, anglers, and representatives from conservation NGOs
128 (WWF India and Zoo Outreach Organization).

129 The South India workshop took place at Jungle Lodges and Resorts, Bannerghatta Nature Camp,
130 Bangalore, Karnataka on March 28 and 29, 2014. Mahseer recreational fishing was firmly
131 established in the southern states, including Karnataka (Gupta et al. 2015b; Sehgal 1999).

132 Participants in this workshop were interested in discussing developments in the recreational
133 fishery, including rules and regulations governing fishing activity, including the angling ban in
134 protected areas. The North India workshop took place on April 5, 2014 at the Byasi Beach
135 Camp, Rishikesh, Uttarakhand, on the banks of the Ganges River, and on April 6, 2014 at Atali
136 Ganga, Rishikesh, Uttarakhand. Mahseer recreational fishing is growing as a tourism industry in
137 the northern states (including Uttarakhand), though it is not known to be a popular activity
138 undertaken by domestic recreational anglers. Participants of this workshop were interested in

139 discussions regarding the role of tourism in promoting the sport, and strategies for achieving
140 balance between tourism- and locally-based activities (e.g., small-scale commercial and
141 subsistence fishing).

142 The nature and type of both workshops was developed in response to the preferences of
143 participants and partners. For example, the workshop held in South India (Bannerghatta) was
144 very structured, with specific time frames allotted for presentations and discussion. In North
145 India (Byasi/Atali Ganaga), the workshop process was more flexible, leaving more time for ad
146 hoc discussions and deviations from planned topics. Time frames were estimated for individual
147 topics and were adjusted according to how much/how little participants had to contribute.

148 Both workshops were scheduled over two days, with different goals set for each day. We opted
149 to provide numerous opportunities for relationship-building and conversation prior to initiating
150 discussion regarding the research agenda (as per Allen et al. 2011; Reed 2008). For example, on
151 Day 1, participants identified local and regional-scale issues impacting mahseer, discussed the
152 management and conservation context for these issues, and background topics associated with
153 the research (i.e., current state of recreational fisheries research, C&R research and associated
154 best practices; Figure 1, Figure 2). This method transformed the process from a top-down
155 scenario to a bottom-up process in accordance with Reed's (2008) best practices for stakeholder
156 engagement, and afforded the opportunity to discuss any potential flashpoint issues in an open
157 atmosphere. These flashpoint issues were aired, but not considered an essential part of the
158 research agenda by any attendees. The list of knowledge gaps was populated at the end of Day 1
159 in both workshops. The second day (Day 2) was devoted to developing a research agenda for
160 mahseer based on knowledge gaps and discussion from Day 1.

161 **3. Results**

162 Stakeholder workshop participants identified knowledge gaps across disciplines (e.g., biological,
163 sociological, economic). While similar points were recognized in both workshops, location-
164 specific knowledge gaps were also identified (Table 1). Twelve knowledge gaps were identified
165 by Bannerghatta workshop participants (5 biological; 4 sociological; 3 economic). Fifteen
166 knowledge gaps were identified by Byasi/Atali Ganga workshop participants (6 biological; 7
167 sociological; 2 economic). Both locations shared similarities among five biological knowledge
168 gaps, three sociological knowledge gaps, and one economic knowledge gap.

169 In both workshops, participants developed the list of top six research priorities from the
170 established knowledge gaps. These identified priorities were also multi-disciplinary but exhibited
171 fewer similarities than occurred through developing the list of knowledge gaps (Table 2). Both
172 groups retained three of the shared knowledge gaps, but on refining them into more detailed
173 research priorities differentiated greatly on focus (Table 2).

174 **4. Discussion**

175 The knowledge gaps and research priorities identified in both workshops highlight the need to
176 establish research programs that acknowledge the integrated nature of fisheries, including multi-
177 disciplinary approaches in research (a need also identified in Europe, Arlinghaus 2006), and
178 addressing the requirements of location-specific stakeholders and sectors (e.g., balancing
179 participation among different forms of tourism and fisheries). Indeed, workshop participants
180 identified a greater number of sociological and economic knowledge gaps than biological
181 knowledge gaps constraining mahseer conservation. The shared identified knowledge gaps
182 indicate that there are opportunities to collaborate among states/regions to establish an evidence
183 base for mahseer biology, ecology, and behaviour, in addition to opportunities for research
184 studying the biological, social, and economic impacts of recreational (and other sector) fisheries.

185 Both groups prioritized the research agenda items based on local issues and concerns (i.e.,
186 context mattered) and no individuals or groups disagreed with any included items. For example,
187 both groups identified impacts of invasive species and hydropower development as knowledge
188 gaps, but on prioritizing issues for the research agenda, participants in the Bannerghatta
189 workshop prioritized invasive species concerns over hydropower development, while
190 participants in the Byasi/Atali Ganga workshop prioritized issues arising from hydropower
191 development over invasive species. Bannerghatta workshop participants were interested in
192 partnering with management entities to explore enforcement options and alternatives in an
193 already established fishery, while Byasi/Atali Ganga workshop participants identified
194 community engagement and benefit-sharing as a priority management strategy to build the
195 mahseer fishery. These differences in priority setting highlight the need for multi-scale
196 approaches (i.e., national and state) to fisheries research and management. Shared knowledge
197 gaps (including impacts to mahseer by invasive species, hydropower development, illegal fishing
198 methods, and the use of mahseer as an umbrella species to promote freshwater conservation)
199 could be studied at the national level, while adopting management strategies based on research
200 outcomes may benefit from a state- or location-level focus.

201 Regional-level differences in dominant mahseer species and ecology further support the need for
202 multi-level mahseer research and management strategies. Recent research by Everard and
203 Kataria (2011) and Gupta et al. (2014a) suggests that the golden mahseer (*T. putitora*) may be
204 useful as a flagship species for promoting freshwater conservation throughout the Himalaya
205 Rivers in Northern India, where this species is found (Nautiyal 2013). *T. khudree*, while
206 endangered in its native waters (IUCN 2015), has been artificially cultured and since the 1970's
207 been periodically introduced to the Cauvery. This intended augmentation of the stock is now
208 strongly suspected to have played a role in the decline of the yet to be described humpback
209 mahseer endemic to the Cauvery River in the South (Pinder et al. 2015a). These nuances indicate
210 that while priorities for mahseer research (as identified by workshop participants) may be
211 similar, there will be a need for species-specific approaches in order to sufficiently address the
212 identified knowledge gaps.

213 The occurrence of mahseer species in different countries in Asia (e.g., *T. putitora*, Nguyen et al.
214 2008) suggests collaboration and cooperation may also be possible at the international level.
215 Current research efforts examining the behavioural ecology of *T. putitora* in Bhutan (Claussen
216 2015) for example, could offer valuable insights for the same species in the Himalayan
217 watershed across the border in India. Similarly, ongoing research efforts in India may be useful
218 in supporting the development of research priorities for mahseer in other countries (e.g., in
219 Malaysia, Nguyen 2008). As such, we suggest that international collaboration of mahseer
220 researchers may be beneficial for aligning goals and strategies to identify synergies in research
221 priorities and opportunities for collaboration.

222 The involvement of stakeholders in the research agenda development process was integral to
223 identifying priority focal points that may have otherwise been missed, or possibly discounted.
224 Through stakeholder participation, we were not only able to benefit from the varied perspectives
225 and expertise of workshop participants, but incorporate regional and local priorities into goal
226 setting in a manner that may not have been possible at a more formalized national meeting. It is
227 essential to note that while we took care to invite individuals representing as many viewpoints as
228 possible, a strong majority of the invitees viewed recreational fisheries positively, and none of
229 the attendees were representatives of management organizations other than the Fisheries
230 Department (i.e. Forestry Department), subsistence fishers, or members of migrant communities.
231 As such, priorities of these communities may not be adequately represented in the respective
232 research agendas (see Kothari 2001; Prell et al. 2008). The views of local communities and
233 stakeholders vary among fisheries (for e.g., see Gupta et al. 2014b). As such, we recommend that
234 any future efforts to adopt research outcomes into management strategies include consultation
235 with these stakeholder groups also.

236 This workshop process is an example of the overall value of stakeholder engagement for
237 addressing data deficiencies in global recreational fisheries. Stakeholder engagement affords the
238 opportunity to gather many perspectives together, thereby bringing more information to the table
239 through which to develop a knowledge base (Hartley and Robertson 2008; Reed et al. 2008;
240 Steyaert et al. 2011). Many recreational fisheries around the world are data deficient, and many
241 managing bodies may be constrained in supporting fisheries research by limited expertise and
242 funding (Mahon 1997). Creative approaches will be essential in addressing deficiencies
243 effectively as we move towards improving global fisheries management and conservation using
244 best available science. Several tools have been developed and used as a way of addressing such
245 data deficiencies in recreational fisheries to ensure that we are not ‘managing blind’ (rapid
246 assessments, Bower et al. 2016, Lennox et al. 2015; species-specific C&R research, see
247 examples in Cooke and Schramm 2007, Cooke and Suski 2005), but to date these approaches
248 have heavily favoured the biological responses of species to fisheries processes. There continues
249 to be a dearth of suitable tools available for rapidly and thoroughly incorporating sociological
250 and economic considerations in fisheries research (Arlinghaus 2005), though strategies for
251 incorporating adaptive management and co-management processes are increasing in other fields

252 (e.g., see Armitage et al. 2008; Mackinson et al. 2011; Pomeroy and Douvere 2008). Using
253 effective methods of stakeholder engagement can help researchers to address data deficiencies by
254 allowing researchers to incorporate local knowledge into priority and goal setting, and better
255 understand the socio-economic context of specific fisheries.

256

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273

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