1 Can the introduction of Enhanced Recovery After Surgery (ERAS) reduce the

2 variation in length of stay after total ankle replacement surgery?

3

4 ABSTRACT

5 BACKGROUND

- 6 Enhanced Recovery After Surgery (ERAS) has been successfully adopted across a
- 7 range of procedures. This study explores whether there is scope to improve length of
- 8 stay (LOS) for total ankle replacement surgery (TAR) in the UK by implementing
- 9 ERAS pathways.

10 METHODS

- 11 Hospital Episode Statistics (HES) data (April 2015/March 2016) on LOS for TAR
- were analysed. A literature search was then carried out to examine whether there
- 13 were any publications on outpatient TAR and/or the use of ERAS protocols.

14 **RESULTS**

- 15 Mean observed LOS was 3.3 days (range 0 to 17.3) days. Case mix-adjusted
- 16 expected LOS range was 2.0 to 5.7 days. It is likely that the wide observed LOS
- 17 range is due to differences in local processes and pathways. Two papers were found
- 18 by the literature search.

19 CONCLUSION

- 20 TAR should aim to be outpatient surgery as the literature, and data demonstrating
- scope for improvement in LOS, suggest this should be possible.

24 Keywords

25 Enhanced Recovery After Surgery; ERAS; Total Ankle Replacement; Length Of Stay

28 **1. BACKGROUND**

Osteoarthritis (OA) of the ankle is a disabling condition, with trauma such as fracture 29 or severe sprain likely to be the main contributing cause[1]. In the UK about 29,000 30 cases of symptomatic ankle OA are referred to specialists each year, and at least 31 3000 cases are treated by surgery (ankle replacement and ankle arthrodesis) with 32 marked variation in choice of operative treatment between surgeons)[2]. Until 33 recently, arthrodesis (fusion) has been the usual treatment for end-stage OA, 34 however total ankle replacement is becoming more recognised due to the 35 introduction of a third generation of three-component mobile-bearing implants [3,4], 36 and better operative techniques and training[5]. 37

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A review in 2013 [6] identified only six countries which collected data on total ankle 39 replacement as part of their registry data, and so evidence on incidence of use and 40 survivorship is limited. In England, Wales and Northern Ireland, data on primary 41 ankle replacements have been reported in the National Joint Registry since 2010. 42 Their 2016 report [7] records that over 500 primary ankle replacements have been 43 undertaken each year from 2011 to 2015, with a maximum of 582 primary ankle 44 replacements in 2015 In the US, a study by Singh and Ramachandran [5] using 45 Nationwide Inpatient Samples (NIS) data reported an increase in utilization rates of 46 total ankle replacement (TAR) from 0.13 per 100,000 in 1998 to 0.84 per 100,000 in 47 2010. 48

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50 **1.1 ERAS outcomes in orthopaedic surgery**

Enhanced Recovery after Surgery (ERAS) (also called fast-track, accelerated
recovery or rapid recovery) was first introduced by Henrik Kehlet [8], a Danish
surgeon, who questioned why his abdominal surgery patients did not return home
sooner from hospital. Its principles include reducing the surgical stress response,
optimising pain relief, early mobilisation and empowering the patient to regain
independence as quickly as possible following surgery.

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ERAS has been successfully adopted across a range of different operative
procedures [9-12], and there is strong evidence to support the use of ERAS
pathways in orthopaedic surgeries such as total hip replacement (THR) and total
knee replacement (TKR) surgery [13, 14]. Studies have shown that ERAS can
reduce hospital length of stay to 1-3 days [15], with no negative effects on
complications, readmissions and mortality rates [16]. ERAS has also been
successfully adopted in revision surgery [17].

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Successes in reducing length of stay have now raised the possibility of discharging
THR and TKR patients on the day of surgery. Several studies have reported [18-21]
on patients undergoing surgery in an outpatient setting and in Holland, Den Hartog et
al [22], report that of 27 selected patients undergoing hip replacement, 24 were
discharged on the day of surgery. This raises the question that if THR and TKR
patients can be day-cases, can TAR patients also become day-cases?

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Length of stay for TAR was reported as 2.5 days in 2010, a reduction of 0.5 days
from 1998 [5], even though in recent years older patients and patients with higher

comorbidity (therefore likely to require more complex surgery) received TAR than inearlier years.

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Although there are fewer total ankle replacements done in the UK compared to hip or knee replacements, there are strong clinical and economic arguments to support the introduction of ERAS principles to total ankle replacement (TAR) pathways as rates of the procedure are reported to be increasing [5,6].

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This paper explores evidence for ERAS being used in TAR surgery by reviewing the literature and data on length of stay, and examines whether there is scope for improvement using ERAS.

86

87 **2. METHODS**

The methodology for this study was in two stages. Firstly, Dr Foster software [23] 88 was used to retrieve and examine Hospital Episode Statistics (HES) data on length 89 of stay for the OPCS coding O321 (primary total prosthetic replacement of ankle joint 90 NEC) from April 2015 to March 2016. HES data include all inpatient and day-case 91 activity from NHS hospitals in England, and are collected locally through each 92 hospital's patient information system. Over 14 million records are gathered each 93 year. From the data we identified observed and case-mix adjusted expected 94 superspell LOS for 75 Trusts, and calculated mean LOS for these, and their standard 95 deviation and range. Superspell LOS accounts for all related spells for a single 96 patient during an episode of care, thereby taking into account the differing practices 97

- 98 of trusts in transferring patients from an acute setting to either rehabilitation or home.
- 99 Definitions of outcomes can be found in Table 1.

Table 1: Definitions of outcome measures (Dr Foster²³)

Term	Definition
Superspell	Collected term of all the related, or linked, spells for a single
	patient. It is the time a patient spends within one hospital trust
	before being discharged. Spells are linked to superspells when:
	• they have same patient ID, or HES ID in HES years, when
	available
	• the discharge date of the first spell is within two days of the next
	spell
Superspell LOS	The number of days between date of admission in first spell and
	date of admission from last spell in superspell. It includes all
	patients apart from day cases so will include outliers (patients
	with long LOS and 0 day LOS).
Expected LOS	The England average LOS for inpatient superspells is adjusted
	for diagnosis/ procedures/Healthcare Resource Group,
	subgroup, age, sex, admission type, deprivation quintile and
	financial year and is applied as a benchmark to each patient. The
	overall figure for the selected patients is the average of the
	benchmarks. Benchmarks have been calculated for each of the
	years up to and including the latest complete year for which there
	is HES data.

- 103 Secondly a literature search was conducted in August 2017 to ascertain whether any
- 104 evidence had been published on the use of outpatients or ERAS pathways in ankle

replacement surgery, using the search terms in Table 2.

106

107 **Table 2: Search terms used in literature review**

(MM "Arthroplasty, Replacement, Ankle") OR "ankle replacement" OR "ankle arthroplasty"

AND

"enhanc* recover*" OR "fast track" OR "fast-track" OR "ERAS" OR "rapid surgery" OR "rapid-surgery" OR "accelerated surgery" OR "accelerated-surgery" OR "rapid recovery" OR "rapid-recovery" OR "early mobilisation" OR "early mobilization" OR "multimodal pain"

OR outpatient* OR ambulatory

Databases searched included Medline, CINAHL Complete,

Cochrane Database of Systematic Review, PsycINOF,

PsycARTICLES, and Science Direct (no filters were used)

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109

111 **3. RESULTS**

112 **3.1 HES Analysis**

- 432 superspells were recorded from April 2015 to March 2016 under OPCS
- 114 O231. No day cases were recorded. A mean observed LOS of 3.3 (range, 0
- to17.3) days with standard deviation of 2.5 were found (see Table 3). The case-
- adjusted expected mean LOS was 3.1 (range, 2.0 to 5.7) days, with standard
- deviation 0.8.

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Table 3: Mean, Standard Deviation (SD), Minimum and Maximum Length of 120 Stay

LOS Superspells	Trust	Mean (SD)	Minimum	Maximum
(days) for O321	(n)			
Observed LOS	75	3.3 (2.5)	0	17.3
Expected LOS	75	3.1 (0.8)	2.0	5.7

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122

123 **3.2 Literature Review**

124 Importantly, the literature search only found two papers judged to be relevant to

125 outpatient or ERAS concepts. A recent retrospective cohort study by Gonzalez et al

- [24] described the results of 21 patients with outpatient TAR, and compared them to
- 127 15 inpatient TAR patients. Patients underwent surgery under popliteal and

saphenous nerve block, and the postoperative pain management was described.
71% (15/21) of outpatient TAR patients were satisfied with their choice to undergo
outpatient surgery, with 14% of patients (3/21) blaming poor pain control for their
dissatisfaction. No acute complications were noted. They deemed outpatient TAR
feasible in selected, well-informed patients with presence of support at home for
postoperative care.

134

A second recent retrospective study by Mulligan and Parekh [25] compared TAR 135 136 outpatients (n=13) with overnight (n=52) or extended inpatient stays (n=16) for medical and operative complications at 90 days, reoperations, readmissions and 137 pain control. Early in the series reported, a transition was made to liposomal 138 bupivacaine for regional anaesthesia, and all outpatients received this. There was a 139 significant difference in complication rates, as 31% of those admitted for two or more 140 nights had a complication, opposed to 5% of those who were outpatients or admitted 141 overnight, but not for readmission or reoperation. There was no difference in pain 142 scores at the first post-operative visit. The authors concluded that TAR was a safe 143 and viable alternative to traditional inpatient admission. 144

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147 **4. DISCUSSION**

The wide range of mean observed length of stays at trusts is unlikely to be due to case mix alone, as the range of case mix-adjusted expected LOS was 2.0 to 5.7 days. It is therefore likely that the range of observed LOS of 17.3 days is due to differences in local processes and pathways. Indeed, a recent report in England on

acute NHS trusts [26] has highlighted that although some local variation in practice
can be justified, unwarranted variation affects patient outcomes, costs and
productivity, and recommendations to disseminate best practice to trusts are being
introduced through the GIRFT (Get it right first time) programme [27].

156

It may be that those trusts with a shorter LOS use multi-modal approaches to maximise patients medically and physically prior to, during and after surgery, and these multi-modal approaches could be seen to be analogous to an ERAS pathway. The lack of studies found in the literature search on the use of outpatient or ERAS pathways for TAR surgery indicates that further research is needed to explore whether components of ERAS are currently being employed by trusts, and, if so, the level of compliance in carrying out these components.

164

165 It should be noted too that the majority of sites performed less than 10 procedures a 166 year. This low number of procedures may impact on the confidence of staff at sites in 167 being able to perform early discharge of patients, and there is evidence that high-168 volume providers use resources more efficiently [28, 29].

169

4.1 Evidence to support application of ERAS components to total ankle

171 replacement surgery

ERAS is a multimodal, multidisciplinary approach, where it is proposed that the aggregation of marginal gains achieved by combining all the ERAS components contributes to overall patient outcomes. We found two studies [24,25] introducing

ERAS concepts to TAR surgery, however the number of outpatients included was
small, and the study designs were retrospective. Authors from one of the studies [24]
suggest that for outpatient TAR to be successful, there needs to be strict patient
screening; experienced operative teams and anaesthesiologists; and a good postoperative clinical support network.

180

There is more evidence on individual components of ERAS, one example is pain relief. A key factor in ERAS pathways is effective multimodal pain management which, when combined with other ERAS elements, enables more rapid recovery.

184

ERAS pathways are typified by the use of regional anaesthesia and analgesia over 185 systemic opioids. A retrospective cohort study found that patients given continuous 186 peripheral nerve block (CPNB) (n=24) for postoperative pain following TAR used less 187 opioids in the 48 hours post-operatively than patients with no CPNB (n=54) (64.6mg 188 in the CPNB group vs 129.6mg in the no CPNB group (p<0.001)) [30]. Length of 189 stay also decreased to 2.9 days from 3.2 days although this wasn't statistically 190 significant. Gallardo et al [31] also showed that a continuous popliteal block given to 191 22 TAR patients showed a significant improvement in pain control at 6, 12, 18 and 192 193 24 hours post-surgery, compared to 8 patients who received no block. The popliteal block group also used significantly less opioids than the no-block group and had a 194 higher rate of patient satisfaction. 195

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A recent review [32] of postoperative analgesia following TAR agreed that the
continuous peripheral nerve block of both the popliteal and saphenous nerves had

high patient satisfaction levels. They concluded that long-acting local anaesthetics,

such as liposomal bupivacaine, may extend the duration of analgesia without the

need to use catheters, however further evidence in this area is required.

202

203 **4.2 Preoperative education**

Preoperative education is an important part of the ERAS pathway for THR and TKR, 204 and is also likely to be beneficial for TAR surgery. Patients are provided with full 205 details on their operation and recovery, how long they can expect to be in hospital, 206 and requirements for discharge. Although a recent systematic review for THR and 207 208 TKR [33] found no strong evidence linking preoperative education to pain reduction, LOS and morbidity for hip and knee replacement, preoperative anxiety was 209 significantly reduced. A recent Cochrane review [34] concluded that preoperative 210 education for THR and TKR was now so embedded within practice around the world 211 that it could be seen as integral to the consent process. 212

213

214 **4.3 Rehabilitation**

Rehabilitation after TAR differs from THR and TKR, as the ankle is usually
immobilised for around 2 weeks post-operatively, making it more difficult to mobilise
patients full weight bearing early, as per THR and TKR ERAS protocols. There are
no national guidelines on rehabilitation after TAR, and a general consensus is
needed regarding weight-bearing status and walking boot use.

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221 **4.4 Data quality**

Many of the sites had a very low number of superspells (only ten sites had >10 superspells) and so any patient outliers are likely to affect the mean for that site.

224

The authors acknowledge that the minimum LOS of 0 which was recorded for one site, suggests that surgeries were outpatient (although no outpatient spells were recorded under a separate HES heading), however the number of superspells at that site were very low. If this site is removed from the data, along with the site with LOS=17.3 (who also had a very low number of superspells) then, the mean observed LOS was 3.15 with a standard deviation of 1.9, and a minimum LOS of 1 and maximum of 9.9. This still shows a wide range of observed LOS of 8.9 days.

232

We initially also examined data on OPCS4 codes W441 (primary total prosthetic
replacement of joint not using cement NEC), W451 (primary total prosthetic
replacement of joint NEC), W541 (primary prosthetic replacement of articulation of
bone NEC) and W531 (primary prosthetic replacement of articulation of bone not
using cement NEC) but it was judged that the number of superspells under each
heading was too low for the analysis to be meaningful.

239

4. CONCLUSION

We suggest that there is scope to improve the quality of efficiency of care if all trusts adopted ERAS principles for TAR surgery. The data suggest there is room for improvement in LOS, and the evidence from the two papers found in the literature search [24, 25] suggests that it can be feasible and safe to perform TAR as

- outpatient surgery. However further evidence is needed to confirm whether LOS can
- be reduced through the introduction of ERAS to TAR surgery.

249	Fundi	ng	
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- 396 Table Headings
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- **Table 2: Search terms used in literature review**
- 399 Table 3: Mean, Standard Deviation (SD), Minimum and Maximum Length of
- 400 <u>Stay</u>