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## 21 1. Introduction

### 22 1.1. Background and Motivation

23 In the context of climate change [1] and international targets on green-  
24 house gas emissions and global temperature increases [2], there is a pressing  
25 need to develop clean, renewable sources of energy. Unlike wind or solar,  
26 hydropower is one renewable energy source that is not as affected by issues  
27 such as producing variable and intermittent supplies. Even so, there are  
28 other drawbacks to hydropower, particularly at larger scales. These can in-  
29 clude the amount of raw material required to construct large dams and the  
30 environmental and social damage that can be caused by flooding vast areas  
31 to create a reservoir [3, 4].

32 In many cases, the magnitude of these impacts is a function of the size of  
33 the system. In certain circumstances it is desirable to create smaller schemes  
34 that generate only a few kW of power. Figure 1 summarises several different  
35 hydro technologies that can generate under 5 MW, along with the input  
36 conditions they require. There is a gap towards the lower end of this scale,  
37 with few technologies that specifically target the low heads and flow rates  
38 that characterise pico ( $< 5$  kW) generation.

39 Although pico generation is obviously very small scale, devices operat-  
40 ing in this range can access a greater number of hydropower resources, and  
41 should be relatively cheap to install and maintain. They may therefore be  
42 of particular use in remote, developing, or rural areas where there is little  
43 capacity or need for larger, more complex infrastructure [6, 7]. Thanks to  
44 the relatively predictable and consistent nature of hydropower, these devices  
45 could also be of some use in micro-grids. These feature a variety of small

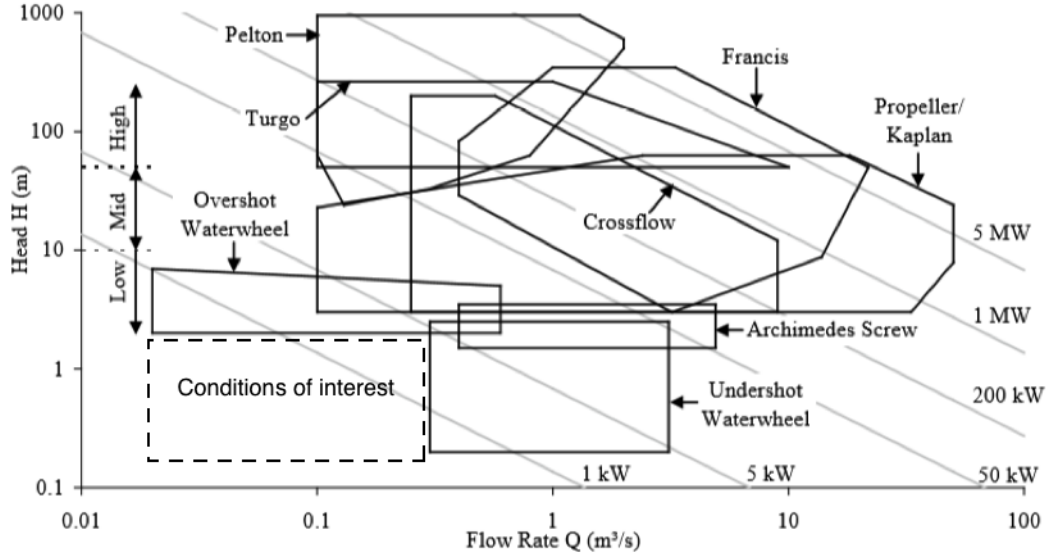


Figure 1: Application ranges of various hydropower devices. The highlighted area shows the conditions of interest that resulted in this work. Adapted from [5].

46 generation units instead of a large central plant, which provides some re-  
 47 silience against variable supplies. Since local resources can be accessed more  
 48 readily, transmission losses can also be reduced, leading to improvements in  
 49 efficiency and sustainability [8, 9].

50 The motivation underlying this research is to develop a cost-effective and  
 51 reliable pico hydropower device, bearing in mind the potential uses and ben-  
 52 efits it may bring in certain areas. The novel system presented here is an  
 53 idea formulated during this work, and the concept may be of interest to those  
 54 developing pico hydro schemes and technology, using hydraulic ram pumps,  
 55 or designing piping systems.

## 56 1.2. Power from the Water Hammer

57 The water hammer occurs when a fluid is subjected to a sudden change  
58 in momentum, and is a form of unsteady flow characterised by sharp rises in  
59 pressure [10]. It commonly occurs in pipelines during valve operations, where  
60 it can cause problems such as noise, cavitation, and even total pipe failure  
61 in extreme cases [11]. The water hammer is therefore typically regarded as  
62 problematic, and most modern pipe systems employ surge tanks, slow closing  
63 valves, or other safety features to minimise its magnitude [12].

64 For an incompressible fluid where the valve closes slowly, rigid column  
65 theory provides a basic relation between the pressure surge  $\Delta p$  to the fluid  
66 density  $\rho$ , the pipe length  $l$ , and the rate of change of fluid speed  $\frac{dv}{dt}$  [13]:

$$\Delta p = -\rho l \frac{dv}{dt} \quad (1)$$

67 If the valve closes rapidly, the compressibility of the fluid and pipe should  
68 be considered. In this case, the Joukowsky Equation [14] may be used to  
69 estimate  $\Delta p$ , which will be dependent upon the change in flow speed  $\Delta v$ , as  
70 well as the fluid density and sound speed  $c$ :

$$\Delta p = -\rho c \Delta v \quad (2)$$

71 In the UK, the terms water hammer and pressure surge are used inter-  
72 changeably to describe both the compressible and incompressible phenomena.  
73 In North America the definitions are more strict: the term water hammer  
74 purely applies to flows that exhibit compressibility effects, while pressure  
75 surge does not, instead applying to unsteady flow caused by slower valve  
76 closures. The definition of slow or rapid valve closure is dependent upon the

77 time  $t$  required by the pressure surge to propagate up the pipe, given by  
78  $t = l/c$ .

### 79 1.2.1. *Hydraulic Ram Pumps*

80 Despite its potentially damaging effects, it is possible to harness the excess  
81 pressure of the water hammer for useful work. This is demonstrated by  
82 hydraulic ram pumps, which use the pressure generated by a periodically  
83 closing valve to pump water without an external fuel supply [15]. Hydraulic  
84 rams produce no greenhouse gas emissions during operation, are cheap to  
85 run, and are capable of operating passively for prolonged periods of time.  
86 This – combined with the reliability provided by possessing a limited number  
87 of moving parts (the valves themselves) – means that ram pumps are still  
88 employed in rural and developing regions, even though the principles of their  
89 operation have changed little since the 18th century [16].

90 An overview of the basic operation of a ram pump is provided in Figure  
91 2. Water enters the drive pipe at the inlet (1) and flows through to the waste  
92 valve (4). The valve eventually slams shut due to the force of the water upon  
93 it (2), causing a rapid change in the momentum of the water within the  
94 drive pipe. The resulting excess pressure opens a delivery valve (5), where  
95 it is contained within a pressure chamber (6). This features a bleed valve to  
96 ensure there is a cushion of air within, which acts as a spring to force water  
97 up the delivery pipe to where it is required (3). Some of the pressure also  
98 propagates up the drive pipe to the inlet, causing the flow into the system to  
99 reverse due to the creation of a negative pressure gradient. This ultimately  
100 reduces the pressure within the system to such an extent that the delivery  
101 valve can close and the waste valve reopen, enabling the process to begin

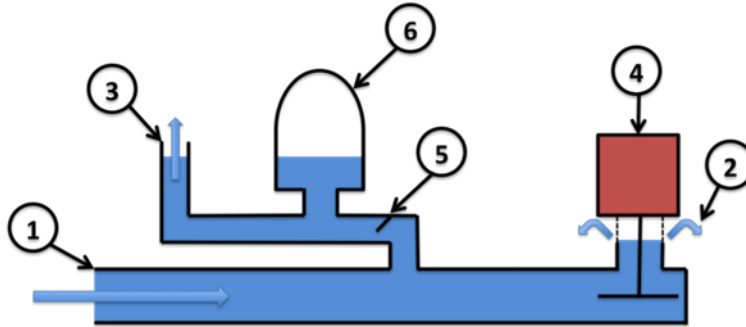


Figure 2: A basic overview of a hydraulic ram pump. (1) inlet, (2) valve outflow, (3) delivery pipe, (4) check valve, (5) delivery valve, (6) pressure chamber.

again.

### 1.2.2. The Water Hammer Energy System

Many water pumps are capable of operating as turbines for power generation [17]. With suitable modification, ram pumps are no exception. By replacing the delivery pipe and pressure vessel with an open standpipe – effectively creating a simple surge tank upstream of a periodically closing valve – it is possible to use the idea behind a ram to capture any excess pressure at the chamber [18]. The bulk of this pressure will be provided by the water hammer itself, however the level of the water in the chamber will also oscillate with the surge due to conservation of mass. This process is outlined in Figure 3. Several methods, as illustrated in Figure 4, could be used to extract energy from the chamber. These are a bi-directional Wells turbine (creating a system similar to an oscillating water column [19]), a linear alternator, or a mechanical linkage such as a piston-crank mechanism.

Given how similar this device is to a ram, it is reasonable to suppose that it may be capable of generating power in similar conditions. Whether

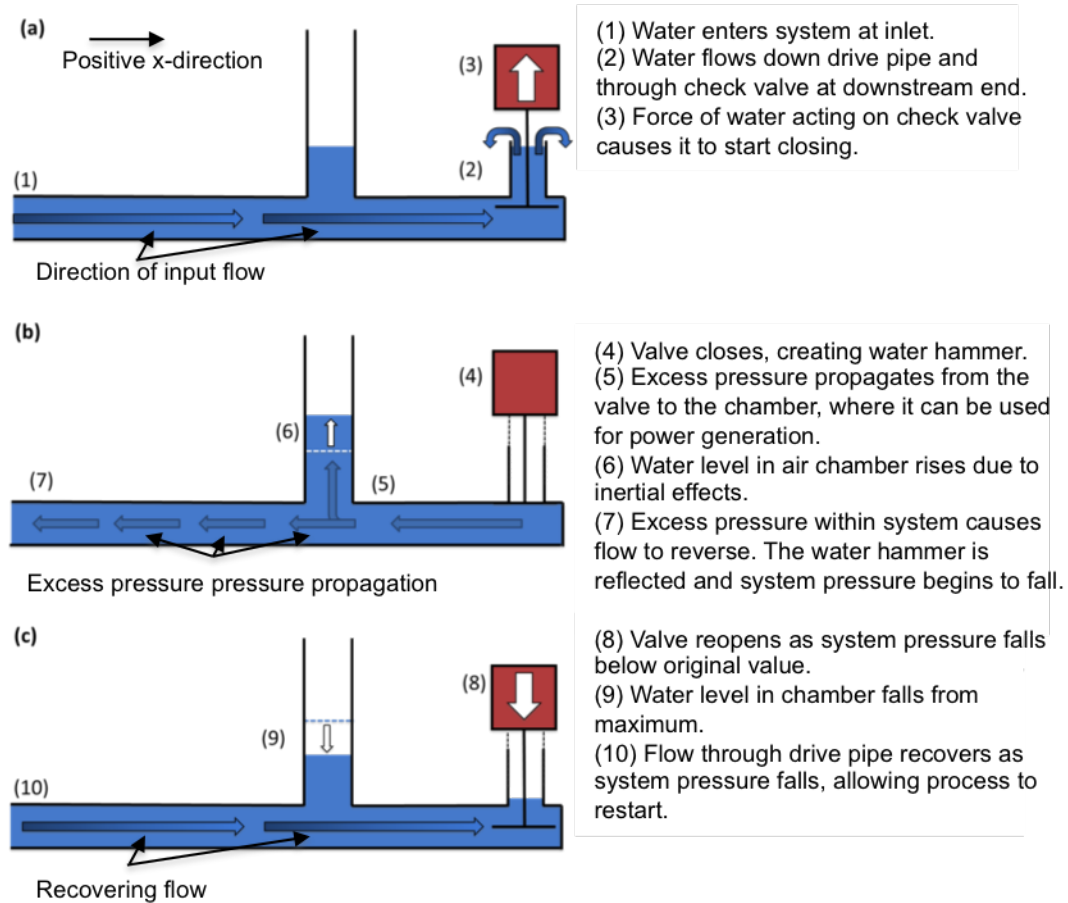


Figure 3: Overview of a water hammer energy system, neglecting the power take-off. The valve shown is for illustrative purposes only.

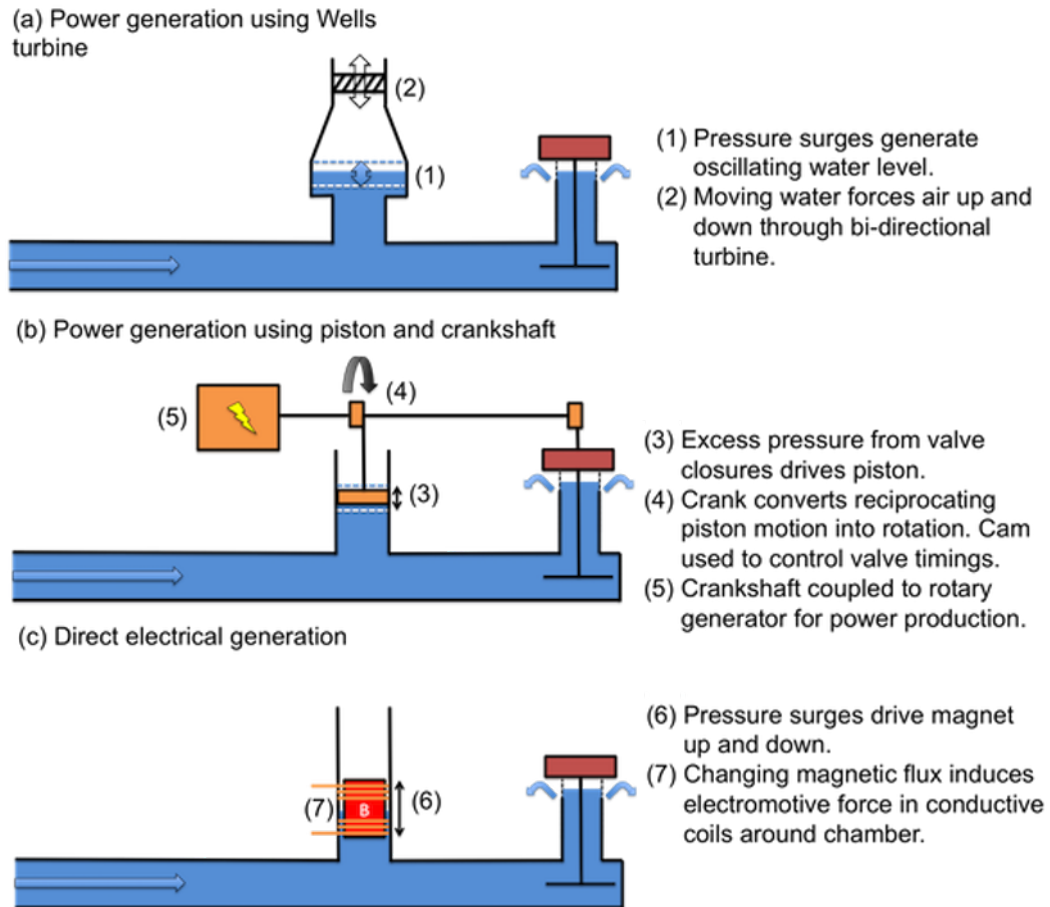


Figure 4: Suggested methods for generating power from a water hammer energy system:  
 (a) Wells turbine, (b) piston-crank mechanism, (c) linear alternator.



118 or not doing this is worthwhile will depend upon the amount of energy it  
119 can provide, the reliability of the system as a whole, and how cost-effective  
120 it is (including how it fits in with any other utility systems) in comparison  
121 to other technologies. The first and third of these points will depend upon  
122 its efficiency, which will be governed by the magnitude of the pressure surges  
123 produced by each valve closure. Equations 1 and 2 show that this will be  
124 governed by the rate of change of momentum experienced by the fluid. This  
125 will depend not only on the available flow speed, but also upon the cross-  
126 sectional area and the length of the drive pipe. The length of the drive pipe  
127 is a crucial factor in the design of hydraulic ram pumps, with longer lengths  
128 providing greater pressure at the delivery outlet [20]. This suggests that a  
129 suitably designed water hammer energy system may be effective in relatively  
130 weak input conditions, as the flow rate will limit – but not directly govern –  
131 the amount of pressure the system can generate. An efficient water hammer  
132 system could therefore be an effective option for pico scale hydropower.

133 The remainder of this article presents the methods and results of an ex-  
134 perimental study using a scale model water hammer energy system. The  
135 experiment consisted of measuring the performance of a piston-crank mecha-  
136 nism driven by a scale model test rig, with the aim of quantifying its efficiency  
137 to gain an initial indication of how effective such a system might be in a real  
138 world scenario. Some potential applications for the concept are then elabo-  
139 rated upon in the context of the experimental results.

## 140 2. Materials and Methods

### 141 2.1. Experimental test-rig

142 A rendered schematic of the experimental rig used in this study is pro-  
143 vided in Figure 5.

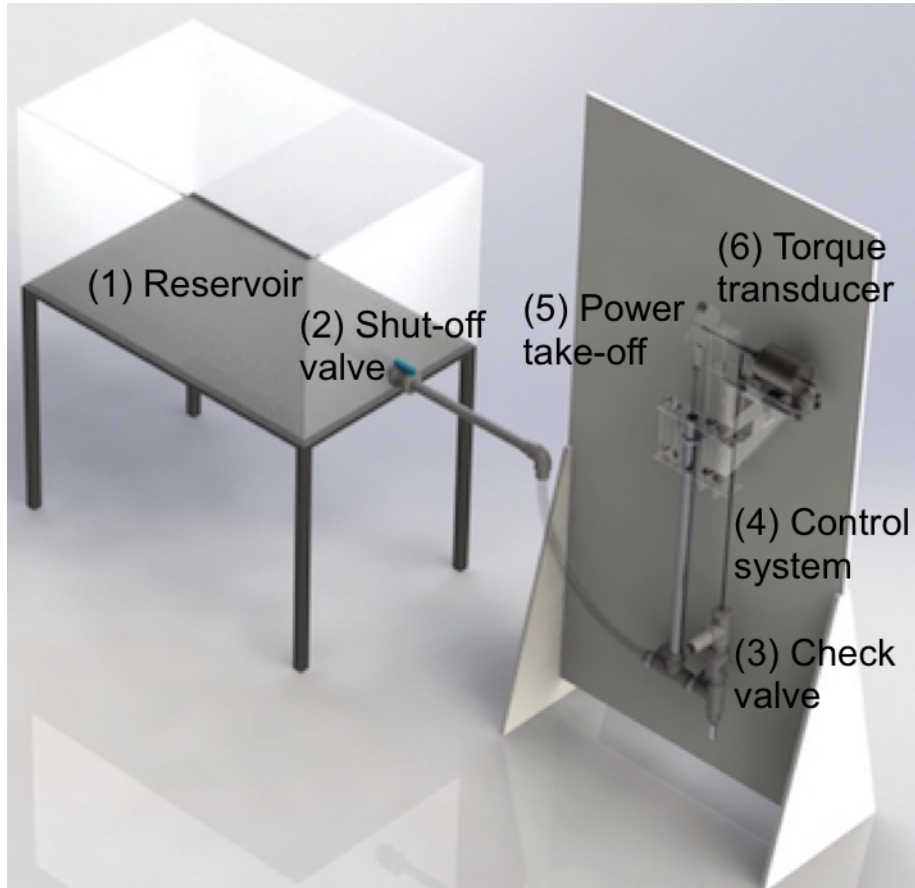


Figure 5: Render of the experimental test rig.

144 To facilitate easy modification, the test-rig was constructed from British  
145 Standard threaded sections of 20 mm diameter PVC pipe, which had a bore  
146 of 16 mm. The rig was driven by a reservoir of water with a maximum

147 capacity of  $0.084 \text{ m}^3$ . This was located  $0.35 \text{ m}$  above the level of the 19  
148 mm brass swing check valve that was used to generate the pressure surges,  
149 and was typically filled with around  $0.20 \text{ m}$  of water, providing a total input  
150 head of around  $0.55 \text{ m}$ , although this varied over the period of a test run for  
151 reasons described at the end of Section 2.2.

152 Given the flow rates that were measured during the experiment, this  
153 corresponds to an average input power of  $0.75 - 1.60 \text{ W}$ . The scale of the  
154 model and the input conditions were selected through a process of trial-and-  
155 error to reach a set-up that operated reliably. In comparison, the typical  
156 bore of commercial hydraulic ram pumps may vary from  $50$  to  $150 \text{ mm}$  with  
157 much larger designs also possible [21].

158 The check valve was actuated by a combination of the water flow and  
159 a push rod driven by a snail-drop cam connected to the crankshaft, which  
160 ensured that the valve closed at the correct crank angle. A schematic of this  
161 control system is shown in Figure 6, which highlights the return spring that  
162 was used to compensate for the weight of the push rod.

163 Unlike the rest of the rig, the vertical chamber was machined from an alu-  
164 minium tube to ensure a tight fitting piston within. The piston itself was cut  
165 from acetyl to reduce any friction with the chamber walls. A superstructure  
166 made from laser-cut acrylic (for easy fabrication and consistent accuracy)  
167 was fitted onto the upper portion of the chamber, where it supported the  
168 crankshaft using Acetyl bushings. The crankshaft itself was made from  $4$   
169 mm diameter stainless steel, while  $4 \text{ mm}$  diameter aluminium was used for  
170 the piston rod to reduce weight and minimise any issues with corrosion.

171 The crank, connecting rod, and cam were made from  $3 \text{ mm}$  thick laser-

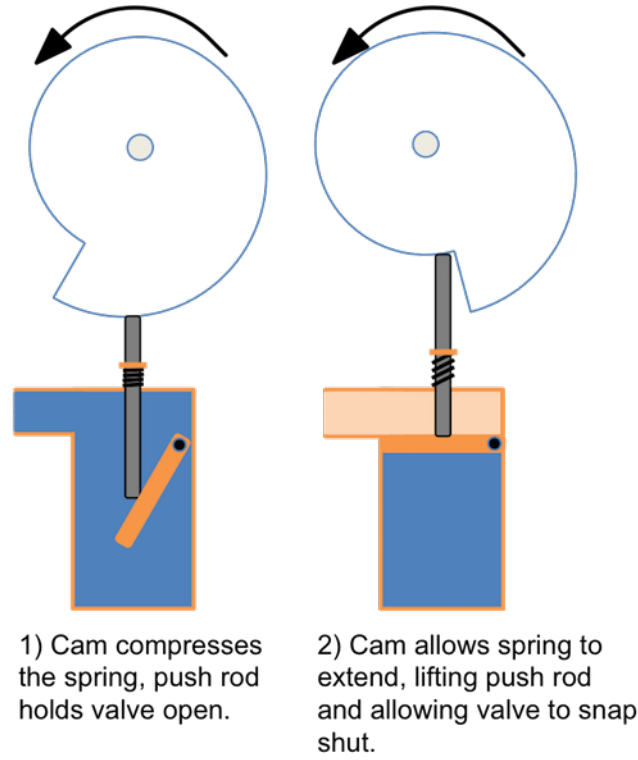


Figure 6: Schematic of the valve control system. The cam is located on the crankshaft. The size of the components is not to scale.

cut acrylic, again to enable rapid and accurate construction. The crank diameter of 75 mm was chosen according to the results of previous studies into the oscillatory amplitude of a piston within the chamber [22]. A 0.02 kg counterweight was attached to the crank opposite the piston rod fixing for balancing and additional inertia.

## 2.2. Instrumentation

A Sensor Technology ORT-241D Torque Transducer [23] was flexibly coupled to the crankshaft to measure the mechanical power generated by the crank. This sensor is capable of measuring up to 100 mNm of dynamic

181 torque, and can handle a maximum shaft speed of  $3 \times 10^4$  RPM. The sen-  
182 sor was connected via an RS232 cable to a laptop computer, which served  
183 as a data logger. A photograph of the test rig with the torque transducer  
184 attached is provided in Figure 7.

185 The transducer was activated several minutes before each set of experi-  
186 ments, so that the temperature of the instrumentation could stabilise. The  
187 transducer was coupled to a 3 V, 6:1 ratio gearbox motor, which was used  
188 to absorb the power generated by the crank. This was not used to control  
189 the shaft speed, since the noise of the power supplied by the motor masked  
190 that produced by the system. Instead, the system was allowed to behave  
191 according to the input conditions alone, with the unpowered motor acting as  
192 an additional load on the crankshaft.

193 To quantify the efficiency of the system, the power available from the  
194 reservoir was determined using four half-bridge load cells that were positioned  
195 underneath it. These were connected to a laptop computer via a 24-bit  
196 HX711 analogue to digital signal convertor and a 10-bit micro-controller.  
197 Following calibration, this enabled the mass of the reservoir to be measured  
198 as a function of time, enabling the variation in head and outflow rate – and  
199 by extension available power – to be determined. A drawback of this method  
200 was that the reservoir head diminished over the course of each test run.

### 201 *2.3. Data analysis*

202 The instantaneous power on the crank-shaft is computed as the prod-  
203 uct of the instantaneous torque  $\tau$  and angular velocity  $\omega$  measured by the  
204 transducer:

$$P(t) = \tau(t)\omega(t) \quad (3)$$

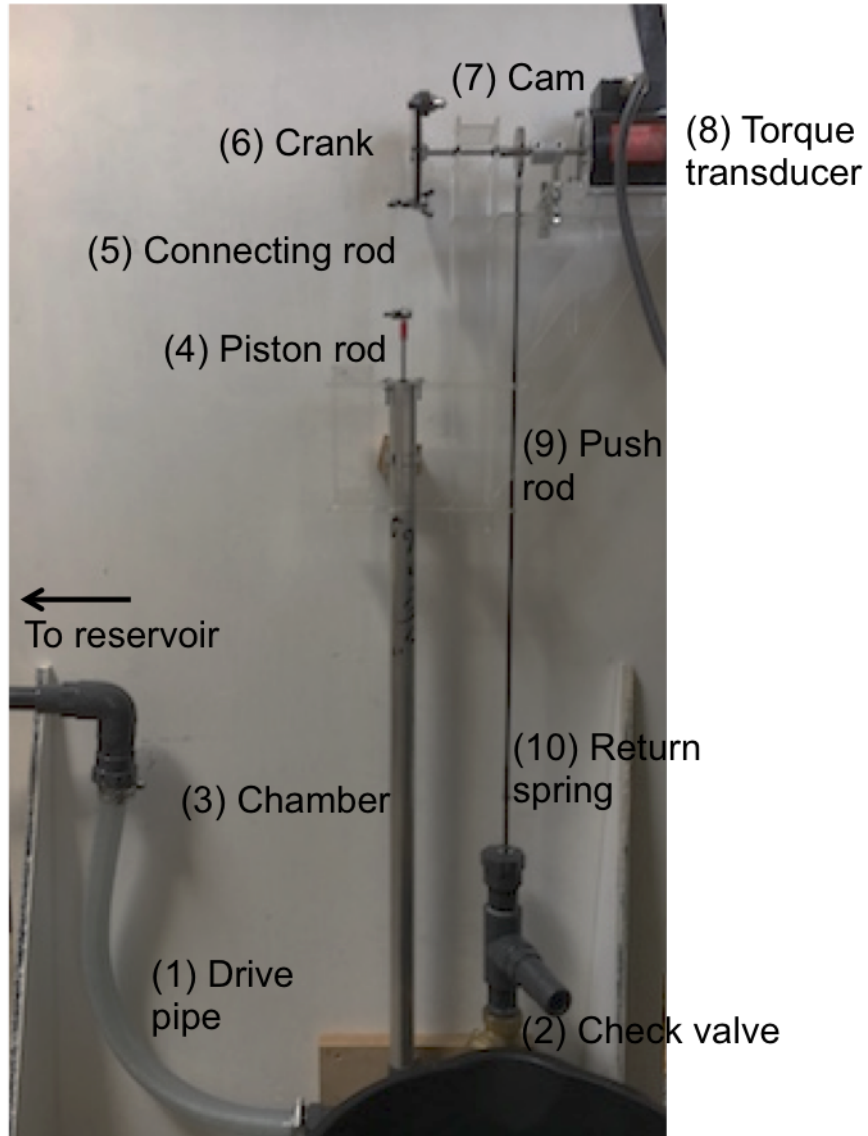


Figure 7: Photograph of the test-rig, including the chamber, valve control system, and torque sensor.

205 The average power available to the rig over a given period  $\bar{P}_a$  is defined  
 206 according to the mean reservoir head  $\bar{h}$  and the mean mass flow rate  $\bar{\dot{m}}$  over

207 that period:

$$\bar{P}_a = \bar{m}g\bar{h} \quad (4)$$

208 The mean efficiency of the system  $\bar{\varepsilon}$  is defined as the percentage of the  
209 mean generated power  $\bar{P}$  relative to the mean available power:

$$\bar{\varepsilon} = 100 \left( \frac{\bar{P}}{\bar{P}_a} \right) \quad (5)$$

210 In this study,  $\bar{\varepsilon}$  provides an indication of how effective the system is  
211 at converting the power available in the flow into mechanical power on the  
212 crankshaft. If the system were being used to drive an electrical generator,  
213 further efficiency losses in the transmission and generator systems would need  
214 to be considered.

215 Due to the input head drop, each test was run for a period of 30 s,  
216 with the frequency of the valve closures varying according to the available  
217 input head and flow rate. To account for the diminishing reservoir head and  
218 subsequent variation in  $P_a$ , the data from each test run were subdivided into  
219 bins of approximately 4 s. The mean mass flow rate was computed for each  
220 of these periods by numerically differentiating the reservoir mass-time data  
221 and averaging the result, allowing time-averaged values of efficiency to be  
222 calculated.

223 Least squares fitting was used in attempt to quantify the correlation be-  
224 tween certain variables. The curves that were fitted take the form of a power  
225 law, i.e.  $y = ax^b$ . For fitting this type of function to a series of  $n$  data points,  
226 the coefficients  $a$  and  $b$  can be calculated as follows:

$$a = \frac{\sum_{i=1}^n (\ln y_i) - b \sum_{i=1}^n (\ln x_i)}{n} \quad (6)$$

227

$$b = \frac{n \sum_{i=1}^n (\ln x_i \ln y_i) - \sum_{i=1}^n (\ln x_i) \sum_{i=1}^n (\ln y_i)}{n \sum_{i=1}^n (\ln x_i)^2 - (\sum_{i=1}^n (\ln x_i))^2} \quad (7)$$

228 The  $R^2$  parameter, known as the coefficient of determination, is used to  
 229 describe the validity of these curves:

$$R^2 = 1 - \frac{(\sum_{i=1}^n y_i - \hat{y}_i)^2}{(\sum_{i=1}^n y_i - \bar{y}_i)^2} \quad (8)$$

230 Here,  $\hat{y}$  represents the value of  $y$  predicted by a model (i.e. the  $y$  value  
 231 given by the curve) while  $\bar{y}$  is the mean. An  $R^2$  value of 1 indicates that the  
 232 model perfectly predicts measured behaviour, while smaller values indicate  
 233 that it is less accurate.

### 234 3. Experiment Results

#### 235 3.1. Instantaneous data

236 Instantaneous values of torque and shaft RPM, as recorded by the torque  
 237 transducer, are presented in Figure 8a and b, respectively. The data were  
 238 recorded at a sample rate of 60 Hz, and were used to calculate the power  
 239 curve that is shown in Figure 8c via Equation 3.

240 The measurement period shown includes three valve closure events. The  
 241 water hammer generated by these closures is the cause of the abrupt spikes  
 242 that are visible in all three curves; the excess pressure serving to kick the  
 243 piston upwards and turn the crank over. This generates the bulk of the  
 244 torque on the crank, however the water hammer are very short-lived, as they  
 245 quickly dissipate through the system. As a result, although the mean peak  
 246 torque on the shaft for the data shown in Figure 8a is  $16.2 \pm 2.11$  mNm,  
 247 the time-averaged torque over the period shown is much smaller at  $0.45 \pm$



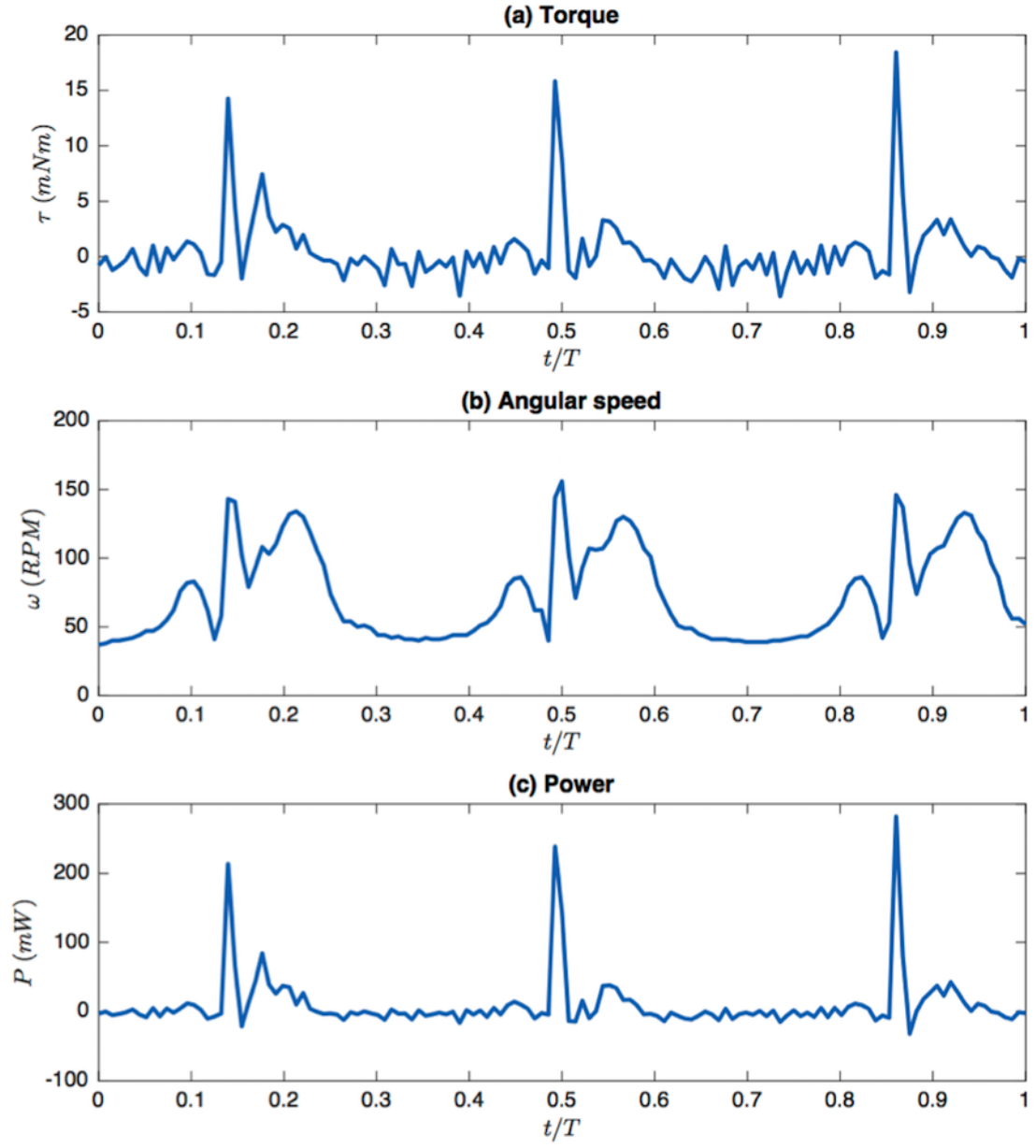


Figure 8: Example (a) torque (b) RPM and (c) power measurements across three valve closures.

248 3.04 mNm. The smaller, secondary peaks that occur after the main torque  
249 peaks in Figure 8a correspond to the remains of reflected pressure waves  
250 propagating through the system and acting on the piston, as well as the  
251 oscillating water level within the chamber due to the surges.

252 The torque pulses serve to accelerate the shaft to an angular speed that  
253 is significantly greater than the mean over a cycle. For Figure 8b, the mean  
254 peak shaft speed is  $148 \pm 6.81$  RPM, compared to a time-averaged speed of  
255  $73.3 \pm 33.0$  RPM. Meanwhile, the lack of torque produced by the system on  
256 the downstroke, combined with the friction between the cam and the push  
257 rod actuating the valve, served to decelerate the shaft to a minimum speed  
258 of  $38.6 \pm 1.53$  RPM.

259 The variable nature of the torque and shaft speed translates into large  
260 fluctuations into the power generated by the system. From Figure 8c, the  
261 peak power is generated when the water hammer is acting on the piston,  
262 which corresponds to when the torque and RPM are at their maximum. On  
263 average, the peak power is  $244 \pm 35.6$  mW, a value that is 24 times greater  
264 than the mean value of  $10.1 \pm 41.3$  mW. For this particular case, given the  
265 mean input head and mass flow rate of 0.57 m and 0.17 kg/s, the mean  
266 efficiency calculated via Equation 5 is 1.06 %. The mean peak efficiency,  
267 calculated by dividing the mean of the power peaks by the mean available  
268 power, was 25.7 %. This suggests that the system needs a more balanced  
269 power delivery, which could be achieved by adding additional cylinders or  
270 increasing the valve closure frequency.

### 271 3.2. Time-averaged data

272 Figure 9 shows the relationship between the mean power and the mean  
273 RPM of the shaft. The individual points represent time-averages of the  
274 instantaneous data taken over 4 s periods. This time span was chosen to bal-  
275 ance the number of values used in the averages with the diminishing input  
276 head affecting device performance. The 27 data points consequently repre-  
277 sent the number of complete, individual 4 s time periods available from the  
278 test runs conducted.

279 It can be seen that the time-averaged power increases with RPM. The  
280 fitted curve was computed using the least squares method described in Sec-  
281 tion 1.2. The trend shown in Figure 9 is ascribed to the more frequent valve  
282 closures that occur at higher RPMs, which increases the number of torque  
283 and power spikes in the instantaneous data over the period of the average.  
284 The valve closure frequency is dependent upon the balance of forces acting  
285 upon it – reducing the valve weight (or conversely increasing the force acting  
286 to close it) will enable it to close more frequently [18].

287 Figure 9 also shows that the average angular speed of the shaft ranged  
288 between 55 – 90 RPM over the course of the various test runs. Since the motor  
289 was not controlling the shaft speed, this variation is due to the changing  
290 speed and pressure of the water as it flowed through the check valve. This is  
291 highlighted more clearly in Figure 10, which shows the relationship between  
292 the RPM of the system and the input flow rate.

293 When the mean flow rate is slower, the amount of water within the cham-  
294 ber, as well as the forces acting on the check valve, will be lower [22]. This  
295 means the system has to do less work to hold the valve open and push the pis-

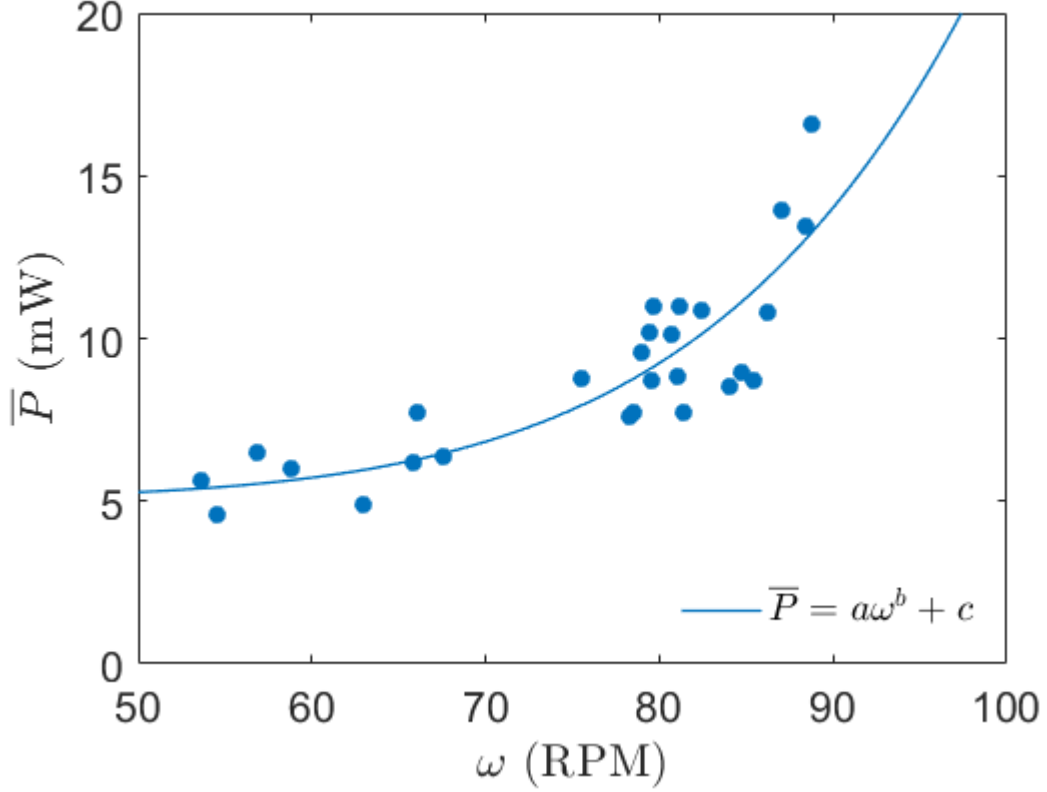


Figure 9: Relationship between mean cycle power and mean shaft RPM. The equation coefficients for the curve are  $a = 1.88 \times 10^{-15}$ ,  $b = 6.49$ , and  $c = 0.0051$ . The  $R^2$  value is 0.74.

296 ton down to bottom dead centre, allowing it to reach higher angular speeds.  
 297 The fact that the valve is closing more frequently will also serve to choke  
 298 the outflow rate [22], which will further reduce the resistance to the system  
 299 completing a revolution.

300 The relationship between the mean efficiency of the system and the mean  
 301 available power from the head and flow rate (calculated using Equation 4) is  
 302 shown in Figure 11. Although the mean efficiency is low, it can be seen that

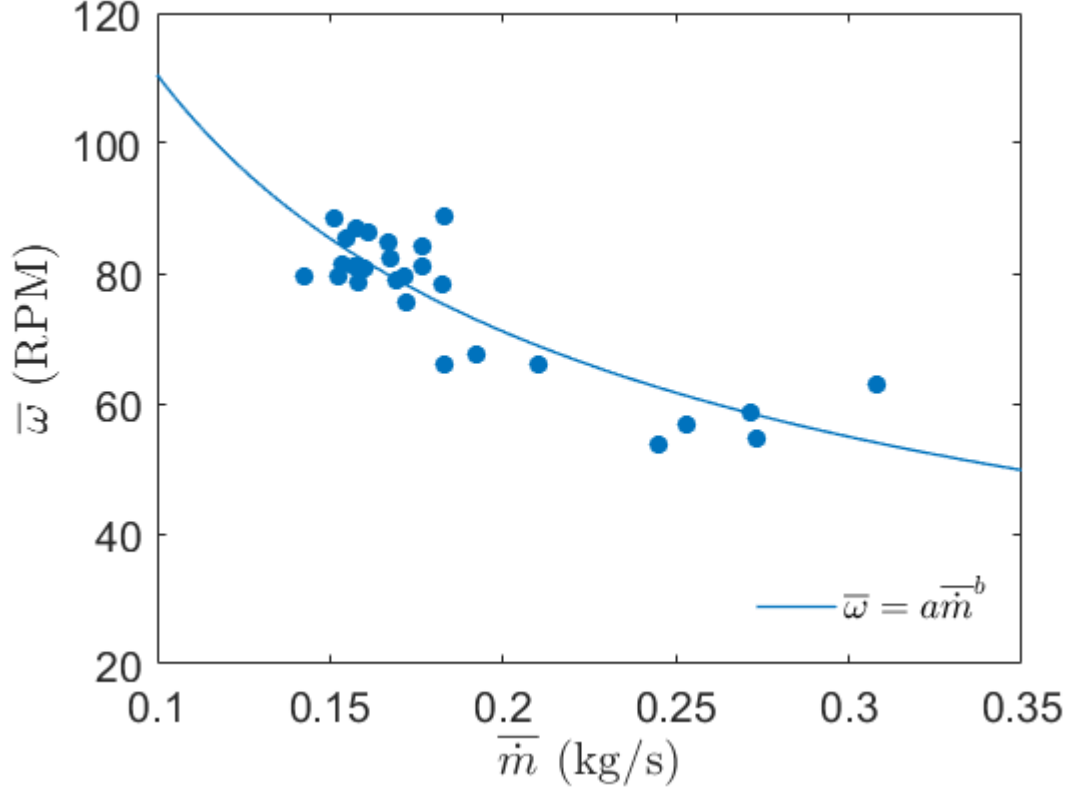


Figure 10: Relationship between input flow rate and system RPM. The equation coefficients for the curve are  $a = 25.50$  and  $b = -0.64$ . The  $R^2$  value is 0.74.

the system is at its most effective when less power is available.

The reason for this is again due to its mode of operation – in higher flow rates, when the valve is closing less frequently, more water is discharged through the valve between each closure, with the power available from this water being wasted. Conversely, when the valve is closing more frequently, less waste water is discharged between closures and more pressure and power spikes are generated over a given time. This again suggests that a higher frequency system may be optimal, although there will be a balance between

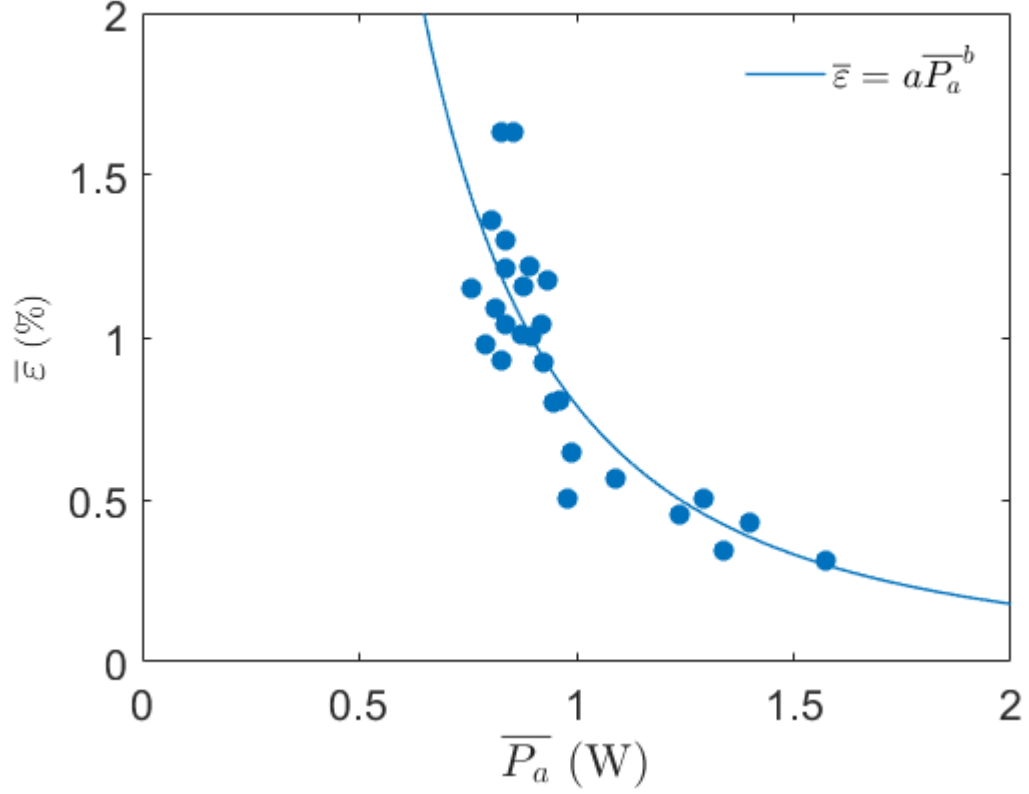


Figure 11: Relationship between mean system efficiency and available input power. The equation coefficients for the curve are  $a = 0.79$  and  $b = -2.15$ . The  $R^2$  value is 0.75.

311 the frequency of valve closures and the momentum change experienced by  
 312 the water per closure event. Trade-offs such as this, as well as means to  
 313 potentially improve the efficiency of the device, are discussed in the next  
 314 section alongside potential applications.

## 315 4. Discussion of Potential Applications

### 316 4.1. Pico hydropower

317 Hydraulic ram pumps are chiefly employed in rural and remote areas.  
318 Given the similarities it has with these pumps, the water hammer energy  
319 system proposed in this article may be best employed in a similar manner –  
320 namely as a pico scale (i.e.  $< 5$  kW) renewable generator for isolated or off-  
321 grid locations. Although the performance of the device could be improved in  
322 a number of ways (several of which are discussed below) the results presented  
323 in Section 3 indicate that this system is at best likely to operate at the low  
324 end of the pico-scale (i.e.  $< 1$  kW). This is not only because of the relatively  
325 low power output of the scale model, but also because the results suggest  
326 that the most efficient system is likely to be operating at a high valve closure  
327 frequency in relatively weak input conditions.

328 Although the system as a whole is unproven, based on the performance  
329 of hydraulic rams, the underlying mechanism (i.e. the periodically closing  
330 valve) would at least be reliable. With this in mind, it could potentially  
331 be deployed in a wide variety of locations where low amounts of power are  
332 needed. In a similar vein to a conventional ram pump, the main requirement  
333 for its operation would be an available fall of water. Figure 12 provides an  
334 illustration of an envisaged set-up, with the water hammer energy system  
335 connected to a water source via a drive pipe. Such a system could be con-  
336 ceived for run-of-the-river generation or using water stored in a reservoir,  
337 using low input heads where other systems may be less suited.

338 The length of the drive pipe and the hydraulic head available to the  
339 system would be selected according to the requirements and specifics of an

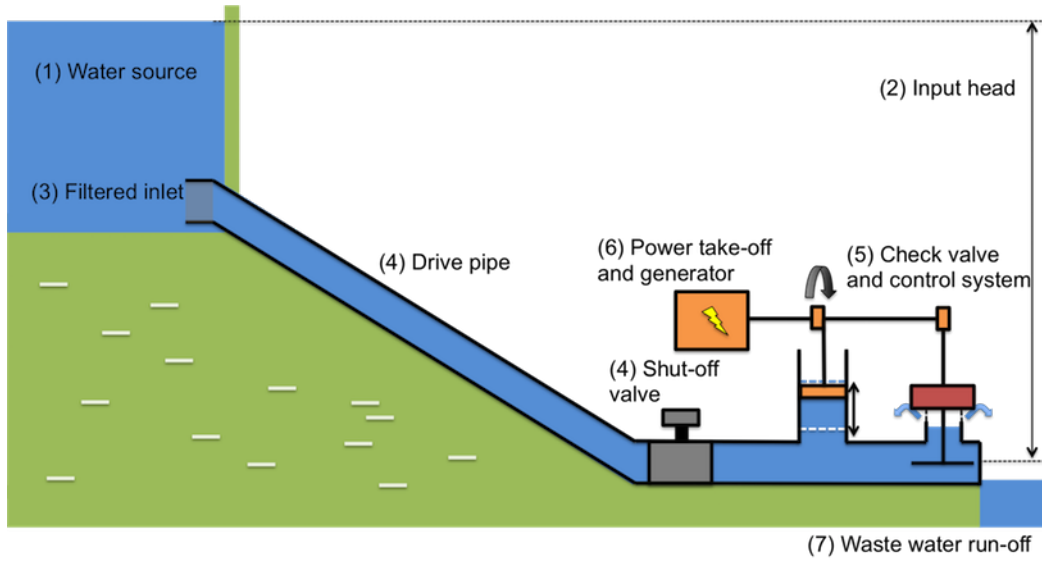


Figure 12: Envisaged set-up of water hammer energy system operating as a pico scale generator.

individual site. These would govern the power available from the device alongside the design (in terms of its size and valve behaviour). Provided there is enough water available, the energy system could operate alongside a conventional ram pump to provide both power and water storage. In this case, it may be desirable to use the generator to help purify the pumped water by powering an ultraviolet light in a purification system. A set-up along these lines could be highly beneficial in developing regions, and would help reduce the need for noisy, polluting, and expensive fossil fuel generators [24].

For this idea to be feasible however, higher values of efficiency and power output (compared to those of the scale model tested in this article) would need to be achieved. Depending on design and input conditions, hydraulic



352 ram pumps can exhibit a wide range of efficiencies. For example, the designs  
353 described in [25] reached efficiencies of 13 - 15 % with a 1.8 m supply head,  
354 [26] reported an efficiency of 57 % with a 1.5 m supply head, [16] 44 % with  
355 a supply head of 9 m, and [20] 59.5 % in a 1.5 m supply head.

356 Whether these values are achievable for an energy system will be de-  
357 pendent upon input conditions, valve closure frequency, valve outflow rates,  
358 drive pipe length, and cross-sectional area. There will be trade-offs between  
359 maximising the valve closure frequency and the pressure available per surge.  
360 If the valve closes too frequently, then it may effectively start to choke the  
361 flow. This would reduce the momentum change that happens from one clo-  
362 sure to the next, diminishing the pressure and power available. If it closes  
363 too infrequently however, then water will be wasted between each closure,  
364 and while the pressure from each surge may be maximised, the time-averaged  
365 power and efficiency will fall.

366 The control mechanism and the time required for the valve to shut will be  
367 a crucial factor in optimising this balance. The scale model tested here used  
368 a basic swing check valve, whereas commercial ram pumps use deformable  
369 rubber valves that are purposely designed to close as rapidly possible [27].  
370 Altering this aspect of the design would likely enable more power to be  
371 generated per surge. Changing the material of the system, from the flexible  
372 PVC used in the scale-model shown here to something more rigid, would also  
373 allow more of the pressure generated by each valve closure to be captured,  
374 as less energy would be dissipated into the pipe walls.

375 Increasing the momentum of the water being stopped by the valve would  
376 also allow more power to be generated. This could be achieved by increasing

the cross-section of the device and the length of the drive pipe. Doing this would also affect the balance of forces on the valve: a greater weight of water would help the valve close more quickly, however it would also increase the amount of work required for the timing system to ensure it reopened. All of these parameters should be assessed through numerical modelling involving the solution of the dynamic water hammer equations, which have not been presented here. There would certainly be trade-offs between the valve used and the momentum of the water flowing through the device.

A final option to increase the power output would be to improve the design of the power take-off itself. For the mechanical system demonstrated here, multiple pistons and a flywheel could be connected to a single crankshaft, much like an internal combustion engine. This would help smooth out power delivery by generating more torque pulses per crank revolution. In practice, other options may be more reliable, particularly the linear alternator. Direct electrical generation would reduce the number of moving parts and therefore the amount of maintenance required to keep a device operating effectively.

#### *4.2. Energy capture in surge tanks*

Another potential use for the idea behind this device may lie in conventional hydropower systems. As stated in Section 1.2, without its power take-off the water hammer energy system is effectively a simple surge tank, i.e. an open standpipe upstream of a valve. These are already used in a wide array of conventional piping systems to minimise and mitigate the effects of water hammer events. Figure 13 shows a schematic of a typical conventional hydropower set-up, based on that presented in [10], with the surge tank being used as an additional generator to capture some of the power in any pressure

402 waves generated at the turbine.

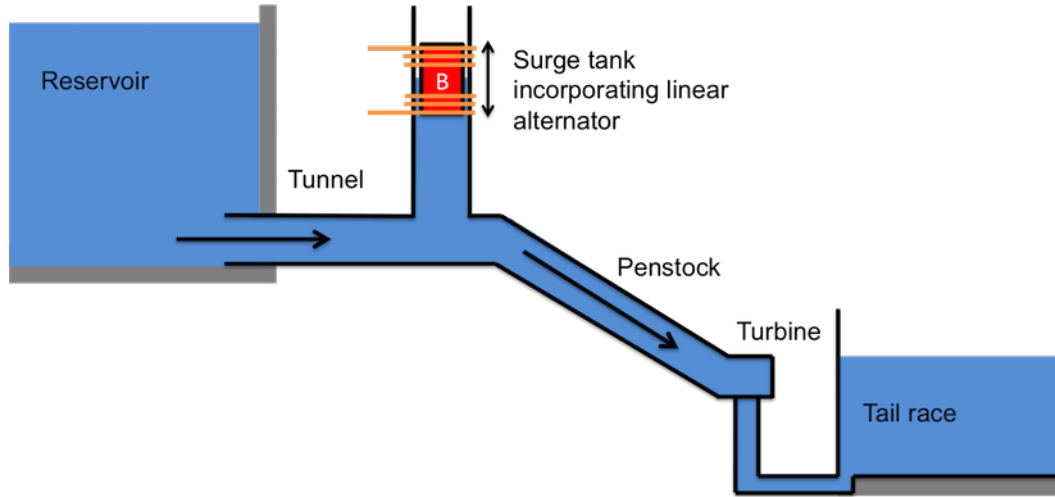


Figure 13: Schematic showing a surge tank combined with a linear alternator in a conventional hydro plant.

403        Given the potentially variable nature of the amplitude and frequency of  
404 water hammer events in this scenario, the mechanical power take-off that is  
405 the focus of this work may not be particularly appropriate. A linear alter-  
406 nator could be more suitable, since it would not require a consistent stroke  
407 length and may be more reliable. Proof that this concept is capable of gener-  
408 ating electricity has previously been demonstrated in [22] for a system with a  
409 periodically closing valve. Numerical modelling work, via the solution of the  
410 momentum and mass equations that predict surge tank behaviour, should be  
411 conducted to assess the feasibility of this application.

## 412 5. Conclusions

413 This article has presented a method for generating mechanical power from  
414 the water hammer. By positioning a piston-crank mechanism upstream of  
415 a periodically closing valve, and using a cam to ensure the valve closes at  
416 the correct crank angle, it is possible to generate sufficient torque to turn  
417 over a crankshaft, providing a renewable source of mechanical power. Some  
418 potential applications for this system have been proposed, for example pico  
419 hydropower generation in remote or developing areas, however there would  
420 need to be considerable refinement of the current design to increase efficiency  
421 to a point where it could be viable.

422 The methods and results of a scale-model experiment into the effective-  
423 ness of a micro-hydropower system have also been discussed. Although the  
424 mean efficiency of the tested system was found to be low – ranging from  
425 between 0.3 to 1.7 % – the peak efficiency of the system was much larger  
426 at around 25 %. The peak efficiency value occurs immediately after a valve  
427 closure, when the water hammer kicks the piston upwards, providing a sharp  
428 burst of torque on the crank. Increasing the frequency of the valve closures  
429 – and hence the frequency of the water hammer – was found to increase the  
430 mean efficiency of the system. Since the closure frequency of the valve was  
431 governed by the input conditions, the available head and flow rate to the  
432 scale model also affected efficiency. Weaker input provided a greater mean  
433 efficiency, which suggests that such a system may be capable of operating in  
434 a wide range of conditions and locations if an efficient design can be devel-  
435 oped.

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