A RANDOMISED CONTROLLED TRIAL OF ADJUNCTIVE INSPIRATORY MUSCLE TRAINING FOR PATIENTS WITH COPD

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ABSTRACT

Background This study aimed to investigate whether adjunctive inspiratory muscle training (IMT) can enhance the well-established benefits of pulmonary rehabilitation (PR) in COPD patients.

Methods 219 COPD patients (FEV₁: 42±16% predicted) with inspiratory muscle weakness (PImax: 51±15 cmH₂O) were randomised into an intervention group (IMT + PR; n=110) or a control group (Sham-IMT + PR; n=109) in this double blind, multicentre RCT between February 2012 and October 2016 (ClinicalTrials.gov NCT01397396). Improvement in six-minute walking distance (6MWD) was a priori defined as the primary outcome. Pre-specified secondary outcomes included respiratory muscle function and endurance cycling time.

Findings No significant differences between the intervention group (n=89) and the control group (n=85) in improvements in 6MWD were observed (0.3m, 95%CI: -13 to 14; p=0.967). Patients who completed assessments in the intervention group achieved larger gains in inspiratory muscle strength (effect size: 1.07, p<0.001) and endurance (effect size: 0.79, p<0.001) than patients in the control group. 75 seconds additional improvement in endurance cycling time (95%CI: 1 to 149, p=0.048) and significant reductions in Borg dyspnoea score at iso-time during the cycling test (95%CI: -1.5 to -0.01; p=0.049) were observed in the intervention group.

Interpretation Improvements in respiratory muscle function after adjunctive IMT did not translate into additional improvements in 6MWD (primary outcome). Additional gains in endurance time and reductions in symptoms of dyspnea were observed during an endurance-cycling test (secondary outcome).

Key words: chronic obstructive pulmonary disease, respiratory muscles, inspiratory muscle training, exercise capacity, dyspnoea

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KEY MESSAGES

• What is the key question?

Can adjunctive inspiratory muscle training (IMT) enhance the well-established benefits of pulmonary rehabilitation (PR) in selected COPD patients with inspiratory muscle weakness?

• What is the bottom line?

Improvements in respiratory muscle function beyond those induced by general exercise training did not result in additional improvements in 6-minute walking distance (primary outcome).

• Why read on?

The observed between group difference in endurance cycling time could serve as a basis for sample size calculations using endurance tests as primary outcomes in future studies of adjunct interventions.
INTRODUCTION

Chronic Obstructive Pulmonary Disease (COPD) is a major cause of chronic morbidity and mortality worldwide.[1] Respiratory muscle dysfunction, which is frequently observed in COPD patients,[2] plays a key role in the perception of dyspnea[3, 4] and contributes to exercise intolerance in these patients.[5, 6]

Pulmonary rehabilitation (PR) is standard care for COPD patients and results in statistically significant and clinically relevant improvements in exercise capacity and health-related quality of life.[7, 8]

Inspiratory muscle training (IMT) has been extensively studied in the last decades in patients with COPD.[9] However, due to differing interpretations of the existing evidence, in combination with limited resources and time constraints during PR programme, this adjunctive therapy is at this moment not included in about 50% of these programmes.[9-14] IMT as a standalone therapy improves inspiratory muscle function (strength and endurance), decreases symptoms of dyspnoea, and improves exercise capacity.[9] The value of adjunctive IMT during PR is less clear. While adjunctive IMT in combination with GET resulted in significant additional improvements in respiratory muscle function beyond the effects of GET alone, its additional effects on outcomes such as exercise capacity and quality of life are insufficiently supported by data so far.[7, 9] Based on subgroup analyses in meta-analyses and general physiological considerations it has been recommended that future studies of adjunctive IMT in patients with COPD should focus specifically on patients with exercise induced dyspnea and inspiratory muscle weakness.[7, 9, 15]

The present study examined the effects of adding a largely unsupervised, but well-controlled adjunctive IMT programme in the context of an adequately powered, multicentre, double-blind, randomised controlled trial design, in selected COPD patients with inspiratory muscle weakness. We wanted to answer the question whether IMT can be a useful adjunctive therapy during pulmonary rehabilitation for patients with COPD. We hypothesised that adjunctive IMT would result in additional
improvements in functional exercise capacity (primary outcome) and inspiratory muscle function compared to rehabilitation alone.

METHODS

The study was approved centrally by the University Hospital Leuven’s Institutional Review Board (Approval Number ML7489) as well as from all other relevant local centre committees and was registered in an international trial registry database (clinicaltrials.gov: NCT01397396). Clinically stable COPD patients with reduced maximal inspiratory mouth pressure (Plmax <60 cmH2O or <50% of the predicted normal value) participated in the study between February 2012 and October 2016 [9].

More information about study procedures can be found in an extended MATERIAL AND METHODS section and in a video tutorial (see supplementary video files 1, 2, 3, and 4), as well as in a previously published study protocol.[16]

Interventions. Both groups performed an identical general exercise training (GET) programme. The training volume ranged from 20 sessions (Germany), to 36 sessions (other centres). Training frequency ranged from three to five sessions per week. Duration of training sessions was around 60 minutes. Patients performed endurance training or interval training at moderate to high intensities. Training intensities during GET were progressively increased during the course of the programme based on symptom scores. Training intensities corresponded to Borg CR-10 Scale ratings of 4–6 on dyspnoea sensation.[16] Physiotherapists providing this intervention were blinded to group allocation of patients. All participants (intervention and control group) were led to believe that they followed an active adjunctive IMT intervention during the GET intervention. This was done in order to improve adherence with the intervention in the control group and to ensure placebo treatment effects in both groups.[17] IMT in both groups was performed daily, using the PowerBreathe KHP2 device (POWERbreathe®KHP2, HaB International Ltd., Southam, UK) according to previously described methods.[18] The training intensity (average external load (cmH2O)) and training quality (average
mean power per breath and total work of breathing during one session of 30 breaths) were registered and stored during all training sessions. During weekly supervised sessions (7% of all training sessions), data on training quality during the unsupervised sessions were reviewed and patients received instructions and feedback on how to optimize their home training efforts. Training intensity in the intervention group was set initially at a load of approximately 50% of patients’ maximal inspiratory mouth pressure (PImax). This initial load was then continuously and gradually increased to the highest tolerable intensity during each of the supervised sessions.[18] Training intensity in the control group was set at 10% baseline PImax and was not modified throughout the intervention period.

**Outcome measurements.** The primary outcome six-minute walking distance (6MWD) was assessed according to a standardized published protocol and related to reference values.[19] Secondary outcomes included respiratory muscle function (strength and endurance), maximal and endurance cycling exercise capacity, pulmonary function, limb muscle forces, and objectively measured physical activity (DynaPort Minimod, McRoberts BV, The Hague, The Netherlands). All outcome assessors were blinded to group allocation.

**Sample size calculation.** To detect a minimally clinically important difference between groups of 26m in the 6-minute walking distance (6MWD),[20] assuming a standard deviation of the within group differences in the 6MWD at the end of the intervention period of 60 m in both groups with a degree of certainty (statistical power) of 80% and a risk for a type I error (α) < 5%, minimally 85 patients were calculated to be included in each group, given an anticipated dropout rate of 30%.

**Statistical analysis.** All data were analyzed using a modified intention to treat approach [SPSS Version 24 (IBM, Chicago, IL, USA) and SAS version 9.4 (SAS Institute, Cary, NC, USA)]. This means that we did not consider patients who had missing outcome data due to loss to follow up in the analysis. Consequently no imputation for missing data was performed and a so called ‘complete / available case analysis’ was performed.[21] The missing data was interpreted as ‘missing at random’. Differences between groups after the intervention were compared, adjusting for values of the respective
outcomes at baseline, using analysis of covariance (ANCOVA).[22] Because randomisation was performed by centre, the adjustment by centre was tested. Additional exploratory analyses were performed to study 1) the impact of IMT characteristics on improvements in PImax and 2) the relationship between improvements in PImax and GET outcomes. More details on analyses by ‘centre’ and exploratory analyses are provided in the online data supplement. To account for the potential impact of differences in training volume between GET programme offered in the different centres on outcomes we also tested the effect of ‘centre’ on treatment effects using centre*intervention effect. In post-hoc tests we subsequently compared the effects in the centre offering 20 sessions GET intervention with the other centres offering 36 sessions.

RESULTS

Between February 2012 and October 2016, 998 patients who entered the rehabilitation programme in the different centres were screened for study participation. A diagram summarizing the flow of participants through the study is presented in Figure 1. The most frequent reason for non-eligibility was not meeting the PImax inclusion criteria (73% of excluded subjects). At the end of the study, data were available for the primary outcome in 85% of subjects from the intervention group and 83% of participants in the control group. Baseline characteristics of included patients were not significantly different between the 2 groups (Table 1). Comparisons between completers and patients who were lost to follow-up are summarized in Table E1 in the online supplement.

Changes in exercise capacity and GET progression. Results of different tests for exercise related outcomes are presented in Table 2. No statistically significant between-group differences in improvements in 6MWD (primary outcome) were observed. Endurance cycling time (secondary outcome) improvement was significantly greater in the intervention group with significant reductions in dyspnoea symptoms at iso-time during the test (Table 2). Both cycling and treadmill training intensities during GET sessions were slightly higher in the intervention group without reaching
statistical significance (p=0.212 and p=0.657 for cycling and treadmill walking respectively; see Figure E1 in the online data supplement).

**IMT progression.** The intervention group completed 79±4% of prescribed IMT sessions while the control group completed 81±4% of prescribed sessions. The intervention group increased their training load from 47±2% of their baseline PImax in the first week of training, to 84±4% of their baseline PImax in week 12 (see Figure E2 in the online data supplement). Data on the weekly sum of total work performed during all IMT sessions (based on pressure and volume data recorded and stored by the training devices) is presented in Figure 2. The average Borg CR-10 scale inspiratory effort scores for breathing during the final minute of the supervised IMT sessions were 3.5±0.3 and 2.6±0.3 for intervention and control group, respectively.

**Changes in respiratory muscle function.** Patients in the intervention group exhibited significantly larger improvements in inspiratory, but not expiratory muscle strength in comparison to the control group (Table 3). During the endurance breathing test, the magnitude of improvement in time to the limit of tolerance, average mean power per breath, and total work were also significantly larger in the intervention group (Table 3).

**Changes in pulmonary function, quality of life and other secondary outcomes.** The intervention group exhibited a significantly larger increase in forced vital capacity (FVC), both in comparison to baseline and to the control group (p<0.001 and p=0.028, respectively). There were no statistically significant changes in other pulmonary function variables between the two groups (Table E2). While both groups exhibited statistically significant and clinically relevant improvements within groups, there were no statistically significant differences between groups in all domains of the CRQ (Table E3). Quadriceps and handgrip strength were also both significantly improved within both groups after training with no statistically significant between-group differences. There was no statistically significant difference in the change in the number of steps per day within or between the two groups after the intervention.
**Impact of centre on outcomes.** Interactions between centres and between-group post-treatment differences in PImax (p=0.007), progression of training intensity during GET (p=0.200), endurance cycling time (p=0.007) and 6MWD (p=0.040) were observed. One of the centres offering a 36 session programme (32% of total inclusions) consistently exceeded between group differences in the centre offering 20 sessions (36% of total inclusions). In the other centres offering 36 sessions (combined 32% of total inclusions) between group differences in these outcomes were consistently smaller than in the centre offering a lower training volume (see Table E4 in the online data supplement).

**Correlates of improvements in inspiratory muscle strength.** Both training quality indices registered during IMT sessions (i.e. total inspiratory work performed per session and average peak power per session) and progression in training intensity were significantly related to the magnitude of improvements achieved in PImax (Figure 3A-3C). Conversely, average training volume (the number of sessions stored by the TFRL devices) was not significantly associated with changes in PImax (p-for-trend=0.129). In a stepwise multiple regression analyses total work performed during IMT sessions (partial r-square: 0.24), baseline PImax (partial r-square: 0.08) and training compliance (partial r-square: 0.01) were significantly related to the improvements in PImax after training (F(3, 139) = 22.9, p<0.001, R²=0.33). More detailed results of the regression analyses are provided in Table E5 in the online data supplement. Subsequently, improvements in PImax were related to the progression in cycling training intensity during the GET programme, as well as to increases in 6MWD and endurance cycling time after the training period (Figures 4A-4C).

**DISCUSSION**

This study is the first adequately powered RCT that investigated the effects of adjunctive inspiratory muscle training on outcomes beyond respiratory muscle function in patients with COPD who had been selected based on the presence of inspiratory muscle weakness. There was no statistically significant difference between groups for improvements in 6MWD (primary outcome). Patients in the
intervention group however achieved larger gains in respiratory muscle function and also endurance exercise capacity (pre-specified secondary outcome) increased significantly more in the intervention group. Significant reductions in dyspnoea symptoms at iso-time during the cycling test were also observed in favor of the intervention group.

Contrary to our initial hypothesis, larger gains in respiratory muscle function in the intervention group did not translate into further improvements in 6MWD compared to the control group. Changes in the intervention group and the control group were both equally large and fall within the range of a minimum clinically important difference (MCID) of 25-35m.[23] These findings are in line with results from a recent systematic review of RCT’s studying adjunctive interventions during pulmonary rehabilitation. In this meta-analysis none of the studied adjunctive interventions (e.g. leg muscle strength training, inspiratory muscle training, non-invasive ventilation, or nutritional supplementation) were able to induce statistically significant additional improvements in 6MWD.[24] In contrast to the results of the 6MWD, significantly larger improvements in cycling endurance time and significantly greater reductions in dyspnoea symptoms at iso-time were observed in the intervention group. The average additional improvement in endurance cycling time of 75 seconds falls within a range of 46 to 105 seconds that has recently been defined as a MCID for constant-load cycle endurance time improvements in response to pharmacological interventions.[25] The superior responsiveness of endurance exercise tests in comparison with the 6 minute walking test is in line with several other recent reports comparing responses in these outcome measures after both pharmacological and non-pharmacological interventions in patients with COPD.[26-28] These differences are probably related to the different characteristics of physiological responses elicited by the two tests.[26, 29] In hindsight we believe that our expected between group difference in 6MWD of 26m did not represent a realistic magnitude of between group differences in 6MWD in studies of adjunct interventions to PR. Our data rather indicate that a more sensitive outcome (i.e. endurance walking or cycling test) might be more useful as a primary outcome. In the absence of a clinically meaningful difference for adjunct
interventions the magnitude of our observed between group differences in both in endurance cycling time and symptoms at isotime could serve as a basis for sample size calculations using endurance tests as primary outcomes in future studies of adjunct interventions. It is unlikely that the type of analysis (intention to treat based on ‘complete / available case analysis’) had a major impact on the results since the ‘completers’ of the study did not differ significantly from ‘non-completers’ with regard to baseline characteristics that were identified as confounding variables (see Tables E1 and E6 in online supplement).

In the current study we found 23 cmH₂O or 42% improvement of Plmax in the intervention group compared to 9 cmH₂O or 17% in the control group. In a recent meta-analysis the improvements were 18 cmH₂O (29%) in the intervention group and only 5 cmH₂O (8%) in the control group.[9] This resulted in Plmax effect sizes of 1.07 in the current study compared to 0.73 in the meta-analysis. The larger improvements in the control group (17% in our study vs 8% in the meta-analysis) might be explained by the fact that not all control groups in the meta-analysis performed a sham training intervention. Moreover in the current study all patients (intervention and control group) were maximally familiarized with the Plmax measurements which were performed every week during the intervention period. The larger improvements in the intervention group (42% vs 29%) might be related to the well-controlled nature of the IMT programme (despite the fact that it was not performed fully supervised).[18] The magnitude of improvements in Plmax is comparable to previous studies involving this IMT protocol in patients with COPD.[18, 30] The large effect size in endurance breathing time of 0.79 was somewhat smaller in comparison with the results of the meta-analysis (effect size: 1.05).[9] This might again be partly explained by the fact that all patients in our study (in contrast to the studies included in the meta-analysis) participated in a sham control training. This sham intervention (i.e. performing full vital capacity inspirations while generating high inspiratory flow rates) might even have constituted an endurance type training stimulus for these patients in addition to the endurance type training stimulus provided by the general exercise training sessions itself.
Beyond additional improvements in exercise capacity and symptoms during the endurance cycling test and respiratory muscle function we did not observe any further improvements in quality of life domains (including dyspnoea subscales). It might be that the substantial improvements in quality of life scores in the control group in response to the comprehensive rehabilitation intervention were already approaching the ceiling effect for improvement. In analogy with the absence of additional improvements in the 6MWD this might be related to a limited sensitivity of the applied questionnaire (CRQ) to pick up additional improvements on top of an already very significant and clinically important effect. This might be improved in future studies by using other potentially more sensitive dyspnea scales. One option would be to use scales that are specifically designed to detect different gradations of change like the transitional dyspnea index.[31] Another excellent emerging option is the multidimensional dyspnea profile which can be specifically focused to assess symptoms during predefined periods (e.g. at standardized times during an exercise test).[32]

With regard to improvements in daily physical activity we observed similar improvements in both groups. We know that physical activity is a complex behaviour that is determined by multiple factors. A systematic review demonstrated that large improvements in exercise capacity and symptoms after rehabilitation interventions only result in relatively modest increases in physical activity in comparison with control groups in patients with COPD.[33] We therefore believe that it would be unrealistic to expect that the relatively small additional improvements in endurance exercise capacity and dyspnea symptoms after adjunctive IMT (in comparison to effects of PR vs control groups) would easily translate into greater participation in daily physical activity.

**Correlates of improvements in respiratory muscle function and impact of ‘centre’ on outcomes.** A significant relationship was observed between the improvements in PImax and both training quality during IMT (i.e. total inspiratory work performed/session, average peak power/session) as well as progression of training intensity. Our results emphasize that external work and power are important training quality parameters of the training stimulus that is delivered to the inspiratory muscles.
Training volume or compliance (number of completed training sessions) was not significantly related with the magnitude of changes in Plmax. Interestingly, we found in a multiple regression model that from all training variables the total work performed (reflecting pressure and volume responses during individual sessions in combination with the number of training sessions) was the strongest predictor of improvements in Plmax (see Table E5 in the online data supplement). This underlines that monitoring the quality of the training (total work performed) during IMT is of utmost importance to ensure meaningful training adaptations in response to the intervention.

One participating centre that contributed to 36% of all inclusions offered a 20 session GET programme while all other centres offered a 36 session programme. A priori, we had hypothesised that adjunctive effects in the centre offering fewer training sessions would be smaller. We concluded this since we expected that it would take some weeks before the reductions in exertional dyspnoea induced by IMT would result in higher training intensities during bicycle and treadmill endurance exercises that to be tolerated by the participants of the PR program. Unexpectedly, compared with the centre that offered 20 sessions, those offering more sessions achieved both consistently larger, and consistently smaller between group differences in several relevant outcomes (i.e. increases in Plmax, bicycle exercise intensity during PR sessions, 6MWD and endurance cycling time see Table E4 in the online data supplement) compared with the centre that offered 20 sessions. The uniformity of these data (i.e. differences in these variables were either consistently larger or smaller) is in line with the presented relationships between improvements achieved in Plmax on the one hand and the ability to increase exercise intensity during GET and resulting improvements in exercise capacity on the other hand (Figure 4). From these observations, we conclude that factors other than the number of sessions offered (such as contrasts in symptom based training intensity during general exercise training sessions between groups) probably contributed to the interaction between centre and training outcomes.

**Strengths and Limitations.** One of the major advantages of the IMT intervention in comparison to previous trials was the ability to record and store training parameters with the training device. The
information of recorded data from IMT sessions performed in the home setting was used to provide patients with feedback on their performance during supervised sessions. It also allowed us to reduce the total volume of supervised sessions to only 7% of all training sessions, thereby reducing burden on health care providers offering the intervention. Both groups had a high and similar IMT training compliance (training volume). Good compliance in the control group was probably partly related to the fact that all patients were led to believe that they were participating in an active intervention. Fifty-five percent of patients in the intervention group met the target training intensity (inspiratory load of \( \geq 50\% \text{PImax} \)) already from the 2\(^{nd} \) week of training and increased their training intensity to an average of 84% of their baseline PImax by the end of the IMT intervention (see Figure E2 in the online data supplement). Moreover, patients in the intervention group performed the IMT sessions at this high training intensity while reporting only moderate (3.5±0.3) perceived inspiratory effort scores. The relatively low effort scores at high training intensities might be related to the loading characteristics of the device, providing an adequate volume reward with every inspiratory effort. These factors taken together probably contributed to the good compliance with the training protocol in the intervention group and are in line with a previous study using a similar training protocol.[18] The proportion of patients who discontinued the intervention due to lack of motivation was similarly small in both groups (4% and 5% in intervention and control group, respectively). Collectively these observations support the clinical feasibility of implementing this controlled, high intensity adjunctive IMT protocol during a rehabilitation programme in these patients.

Another strength of the study was that the physiotherapists or exercise specialists who supervised the GET were blinded to group allocation. Training intensity during GET was gradually increased during the course of the programme based on highest tolerable symptoms assessed with Borg CR-10 symptom scales.[34] The fact that we observed slightly higher (though not significantly different) training intensities especially on the bicycle in the intervention group are another indirect indication that participation in the IMT intervention probably impacted on activity related symptoms. Another
strength of the study is that patient selection, study design, training methods, and selected outcomes in the present study followed recent recommendations for this type of intervention.[7, 9, 15, 24] A limitation of this approach is that the results are only applicable to a selective group of COPD patients who have inspiratory muscle weakness. This is a minority of patients since only 22% of all COPD patients met the criteria to participate in the study. This limits the external validity of our findings to this specific subgroup of patients.

This was also the main reason that the recruitment period had to be extended from two to four years. Given the absence of any contrast between groups on the primary outcome we do not believe that the study was underpowered for the primary outcome and that a larger sample might have been necessary to detect an effect on 6MWD. We rather believe that different outcomes (i.e. endurance cycling or walking test) should be chosen as a primary outcome for future trials of adjunctive interventions during PR.[28] We do also not believe that a non-inferiority trial would be valuable in this specific setting given the initial hypothesis of the study. Our main research question was whether adjunctive treatments can enhance the effectiveness of PR in selected patients for functional outcomes. The effectiveness of our ‘control intervention’ (PR) on 6MWD and quality of life has already repeatedly been demonstrated.[8] Clinical relevance for an individual patient will eventually depend on how the achieved benefits are valued in comparison to both the efforts that had to be invested, as well as the potential discomfort associated with the intervention. Another limitation of the study is that satisfaction of patients with the adjunctive intervention was not directly assessed. Finally we did not perform serial IC measurements during exercise. This would have made it possible to evaluate whether 1) these severely hyperinflated patients did dynamically hyperinflate during exercise breathing and whether this might be a patient characteristic influencing the intervention effect; and 2) whether the combined intervention was able to modify breathing pattern and the degree of dynamic hyperinflation during exercise hyperpnoea. These measurements could be performed in future studies and might facilitate identification of patients who are more or less likely to respond to the adjunct intervention.
Clinical implications and general conclusion

The results of this study do not support the addition of IMT to PR to further enhance the 6MWD. At the same time we believe that the improvements in our secondary outcome (constant workrate endurance cycling) were not negligible (50% greater than rehabilitation alone). We believe that this is an important finding, which could guide researchers towards identifying more appropriate primary outcomes for future studies of adjunctive interventions to pulmonary rehabilitation. The multidimensional dyspnea profile [32] might be an interesting option to assess differences in symptoms at standardized times during an exercise test. The overall good training compliance indicates that it was feasible to implement this adjunctive IMT intervention on a relatively large scale. Across the different centres it was furthermore feasible to implement simple and sensitive clinical assessments of respiratory muscle function (both strength and endurance). The study also highlights the benefits of closely monitoring and controlling IMT sessions since both quality and intensity of training sessions were strongly associated with improvements in respiratory muscle function. Additionally, improvements in Plmax were related to the progression in cycling training intensity during the GET programme, as well as to increases in 6MWD and endurance cycling time after the training period.

We also believe that (in addition to using endurance exercise tests as primary outcomes) patient satisfaction with adjunctive interventions should be included as an additional important outcome in similar studies in the future.
Acknowledgements:

Authors’ contributions: RG, DL, and NC had full access to all of the data in the study, and take responsibility for the integrity of the data, and the accuracy of the data analysis. DL, NC, RG, MD, and AKM contributed substantially to the study design. DL, NC, HD, FM, DS, SV, HH, LG, TS and RGl provided data collection. DL, RG, NC, and HD contributed to the data analysis, and interpretation. DL, RG, and NC drafted the report, and all authors then critically reviewed it for important intellectual content.

Other contributions: On behalf of IMTCO investigators, the authors would like to acknowledge the physiotherapists from all centres for performing the pulmonary rehabilitation programme, and all measurements of included patients as blinded outcome assessors. The authors also would like to acknowledge HaB International Ltd (Southam, UK) and McRoberts BV (The Hague, The Netherlands) for providing the equipment for the testing and training in this study. The sponsors had no role in the design of the study, the collection, and analysis of the data, or the preparation of the manuscript.

Competing interests: Dr McConnell acknowledges a previous (now expired) beneficial interest in the POWERbreathe® inspiratory muscle trainers in the form of a share of royalty income to the University of Birmingham, and a potential share of royalty income to Brunel University. In the past, she has also provided consultancy services to POWERbreathe International Ltd., but no longer does so. She is named on two patents relating to POWERbreathe products, including the device used in the present study, as well as being the author of two books on inspiratory muscle training. Dr Maltais reports research support from Boehringer Ingelheim, GlaxoSmithKline, AstraZeneca, Grifols and Novartis, advisory board participation for Boehringer Ingelheim and GlaxoSmithKline, and speaking engagements for Boehringer Ingelheim, GlaxoSmithKline, AstraZeneca, Grifols and Novartis.

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References


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### Tables

**Table 1 Baseline characteristics of patients**

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</tr>
<tr>
<td>Peak VO₂ (%predicted)</td>
<td>66±28</td>
<td>63±26</td>
</tr>
<tr>
<td>Peak work rate (%predicted)</td>
<td>45±25</td>
<td>45±22</td>
</tr>
<tr>
<td>Endurance cycle time (sec)</td>
<td>262±124</td>
<td>287±159</td>
</tr>
<tr>
<td>6MWD (m)</td>
<td>346±118</td>
<td>355±104</td>
</tr>
<tr>
<td>6MWD (%predicted)</td>
<td>56±20</td>
<td>57±18</td>
</tr>
<tr>
<td>Quadriceps strength (%predicted)</td>
<td>70±23</td>
<td>81±36</td>
</tr>
<tr>
<td>Hand grip strength (%predicted)</td>
<td>87±24</td>
<td>88±25</td>
</tr>
<tr>
<td>PA (steps/day)</td>
<td>3679±2234</td>
<td>4238±1853</td>
</tr>
<tr>
<td>CRQ dyspnoea</td>
<td>15±6</td>
<td>15±5</td>
</tr>
<tr>
<td>mMRC</td>
<td>2.5±1.2</td>
<td>2.6±1.0</td>
</tr>
</tbody>
</table>

Data are presented as mean ± SD. Abbreviations: BMI = body mass index; FEV₁ = forced expiratory volume in one second; FVC = forced vital capacity; TLC= total lung capacity; FRC = functional residual capacity; RV = residual volume; DLCO = diffusing capacity of the lungs for carbon monoxide; Plmax = maximal inspiratory mouth pressure; Peak VO₂ = peak oxygen uptake; Peak work rate = peak power output during a maximal incremental cycle ergometry test; 6MWD = six minute walking distance; PA = physical activity; CRQ = chronic respiratory questionnaire; mMRC = modified Medical Research Council dyspnoea; %pred = percentage of the predicted value
### Table 2 Changes in exercise-related outcomes

<table>
<thead>
<tr>
<th>Variables</th>
<th>Pre-training</th>
<th>Post-training</th>
<th>Pre-training</th>
<th>Post-training</th>
<th>Adjusted difference (95% CI) at Post-training</th>
<th>p-value*</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Functional exercise capacity</strong></td>
<td>Intervention group</td>
<td>Control group</td>
<td>Intervention group</td>
<td>Control group</td>
<td><strong>3</strong></td>
<td><strong>0.3</strong> (-1.3 to 1.4)</td>
</tr>
<tr>
<td>6MWD (m)</td>
<td>353 (116)</td>
<td>388 (113)^a</td>
<td>374 (102)</td>
<td>407 (105)^a</td>
<td>-0.3 (-1.3 to 1.4)</td>
<td>0.967</td>
</tr>
<tr>
<td>Dyspnoea post 6MWD</td>
<td>5.4 (2.2)</td>
<td>5.7 (2.3)</td>
<td>5.5 (2.2)</td>
<td>5.4 (2.1)</td>
<td>0.2 (-0.3 to 0.8)</td>
<td>0.400</td>
</tr>
<tr>
<td>Leg effort post 6MWD</td>
<td>4.2 (2.3)</td>
<td>4.3 (2.0)</td>
<td>4.3 (2.4)</td>
<td>4.4 (2.0)</td>
<td>-0.1 (-0.7 to 0.4)</td>
<td>0.630</td>
</tr>
<tr>
<td><strong>Maximal exercise capacity</strong></td>
<td>Intervention group</td>
<td>Control group</td>
<td>Intervention group</td>
<td>Control group</td>
<td><strong>3</strong></td>
<td><strong>5.2</strong> (-0.4 to 10.8)</td>
</tr>
<tr>
<td>Peak work rate (W)</td>
<td>54 (21)</td>
<td>64 (26)^a</td>
<td>54 (20)</td>
<td>59 (22)^a</td>
<td>5.2 (-0.4 to 10.8)</td>
<td>0.069</td>
</tr>
<tr>
<td>Peak VO₂ (mL/min)</td>
<td>1,009 (310)</td>
<td>1,048 (313)</td>
<td>909 (275)</td>
<td>966 (323)</td>
<td>0.01 (-0.09 to 0.11)</td>
<td>0.881</td>
</tr>
<tr>
<td>Peak Vₑ (L/min)</td>
<td>36 (11)</td>
<td>37 (11)</td>
<td>38 (12)</td>
<td>39 (15)</td>
<td>-0.5 (-3.4 to 2.3)</td>
<td>0.703</td>
</tr>
<tr>
<td>Dyspnoea post CPET</td>
<td>6.7 (2.6)</td>
<td>6.3 (2.7)</td>
<td>5.9 (2.3)</td>
<td>6.4 (2.1)</td>
<td>-0.5 (-1.4 to 0.5)</td>
<td>0.324</td>
</tr>
<tr>
<td>Leg effort post CPET</td>
<td>5.8 (2.9)</td>
<td>6.0 (2.4)</td>
<td>5.9 (2.2)</td>
<td>6.1 (2.1)</td>
<td>-0.1 (-1.0 to 0.8)</td>
<td>0.836</td>
</tr>
<tr>
<td><strong>Endurance exercise capacity</strong></td>
<td>Intervention group</td>
<td>Control group</td>
<td>Intervention group</td>
<td>Control group</td>
<td><strong>3</strong></td>
<td><strong>75</strong> (1 to 149)</td>
</tr>
<tr>
<td>Work rate (W)</td>
<td>42 (16)</td>
<td>44 (18)</td>
<td>75 (1 to 149)</td>
<td>75 (1 to 149)</td>
<td>75 (1 to 149)</td>
<td>0.048</td>
</tr>
<tr>
<td>Endurance cycle time (sec)</td>
<td>271 (126)</td>
<td>496 (309)^a</td>
<td>303 (163)</td>
<td>466 (292)^a</td>
<td>75 (1 to 149)</td>
<td>0.048</td>
</tr>
<tr>
<td>Dyspnoea post cycle test</td>
<td>6.1 (2.2)</td>
<td>6.0 (2.1)</td>
<td>6.1 (2.2)</td>
<td>5.9 (2.3)</td>
<td>-0.3 (-1.0 to 0.4)</td>
<td>0.405</td>
</tr>
<tr>
<td>Leg effort post cycle test</td>
<td>6.0 (1.9)</td>
<td>5.2 (2.1)^a</td>
<td>5.5 (2.3)</td>
<td>5.5 (2.3)</td>
<td>-0.4 (-1.2 to 0.3)</td>
<td>0.216</td>
</tr>
<tr>
<td>Dyspnoea score at isotime</td>
<td>6.1 (2.2)</td>
<td>3.7 (1.3)^a</td>
<td>5.9 (2.0)</td>
<td>4.4 (1.9)^a</td>
<td>-0.7 (-1.5 to -0.01)</td>
<td>0.049</td>
</tr>
<tr>
<td>Leg effort score at isotime</td>
<td>6.1 (1.9)</td>
<td>4.2 (1.7)^a</td>
<td>4.9 (2.3)</td>
<td>4.5 (2.2)</td>
<td>-0.9 (-1.7 to 0.01)</td>
<td>0.052</td>
</tr>
</tbody>
</table>

Data are presented as mean (SD) and mean (95% CI). Abbreviations: 6MWD = six minute walking distance; Peak work rate = peak power output; W = watts; Peak VO₂ = peak oxygen uptake; Peak Vₑ = peak pulmonary ventilation; CPET = cardiopulmonary exercise test. Dyspnoea and leg effort scores were evaluated with the modified CR-10 Borg scale. Analyses are based on 169 (6MWD, 97% of completers), 92 (peak work rate, 53% of completers), and 139 (endurance cycle time, 80% of completers) patients. ^a indicates a statistically significant difference within groups (p<0.05), *p-values are reported for between group comparisons (ANCOVA of post-training values adjusted for baseline values as covariates).
Table 3 Changes in respiratory muscle function

<table>
<thead>
<tr>
<th>Variables</th>
<th>Intervention group</th>
<th>Control group</th>
<th>Adjusted difference (95% CI) at post training</th>
<th>P-value(^*)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Pre-training</td>
<td>Post-training</td>
<td>Pre-training</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PImax (cmH(_2)O)</td>
<td>53 (14)</td>
<td>75 (19)(^a)</td>
<td>52 (11)</td>
<td>61 (13)(^a)</td>
</tr>
<tr>
<td>PEmax (cmH(_2)O)</td>
<td>110 (40)</td>
<td>127 (52)(^a)</td>
<td>104 (30)</td>
<td>117 (35)(^a)</td>
</tr>
<tr>
<td>Endurance breathing (sec)</td>
<td>240 (108)</td>
<td>593 (270)(^a)</td>
<td>251 (96)</td>
<td>413 (236)(^a)</td>
</tr>
<tr>
<td>Ti/Ttot (%)</td>
<td>31 (17)</td>
<td>31 (17)(^a)</td>
<td>34 (19)</td>
<td>28 (17)(^a)</td>
</tr>
<tr>
<td>Total work (J)</td>
<td>78 (83)</td>
<td>258 (153)(^a)</td>
<td>79 (97)</td>
<td>159 (162)(^a)</td>
</tr>
<tr>
<td>Average power (W)</td>
<td>1.8 (1.4)</td>
<td>3.1 (1.7)(^a)</td>
<td>1.5 (1.5)</td>
<td>2.1 (1.6)(^a)</td>
</tr>
</tbody>
</table>

Data are presented as mean (SD) and mean (95% CI). Abbreviations: PImax = maximal inspiratory mouth pressure; PEmax = maximal expiratory mouth pressure; Ti/Ttot = inspiratory duty cycle; J = joules; W = watts. Analyses are based on 174 (PImax, 100% of completers) patients. \(^a\)indicates a statistically significant within-group difference (p<0.05), \(^*\)p-values are reported for between group comparisons (ANCOVA of post-training values adjusted for baseline values as covariates).
Figure legends

Figure 1
A diagram summarizing the flow of participants through the study.

Figure 2
Progression of total work performed during the inspiratory muscle training programme in the intervention and control group, * p< 0.05 between groups based on a two-way ANOVA analysis with Bonferroni corrections of post-hoc tests, values represented as mean±SEM.

Figure 3
Relationships of training quality indices (total work performed per IMT session, average peak power per IMT session; panels A-B), and training intensity (defined as inspiratory load (PI) during the final IMT sessions expressed as % of baseline Plmax; panel C), with improvements in Plmax. Tertiles based on improvements in Plmax were defined as follows: 1st tertile: ΔPlmax ≤ 7 cmH₂O (n=56; 29% intervention group and 71% control group), 2nd tertile ΔPlmax 8-20 cmH₂O (n=60; 48% intervention group and 52% control group), 3rd tertile: ΔPlmax ≥ 21 cmH₂O (n=55; 78% intervention group and 22% control group). Data are presented as mean±SEM.

Figure 4
Relationships between improvements in Plmax and cycling training load during the last week of general exercise training (panel A), 6MWD (panel B), and endurance cycling time after training (panel C). Tertiles based on improvements in Plmax were defined as follows: 1st tertile: ΔPlmax ≤ 7 cmH₂O (n=56; 29% intervention group and 71% control group), 2nd tertile ΔPlmax 8-20 cmH₂O (n=60; 48% intervention group and 52% control group), 3rd tertile: ΔPlmax ≥ 21 cmH₂O (n=55; 78% intervention group and 22% control group). Data are presented as mean±SEM.
COPD patients in pulmonary rehabilitation program assessed for eligibility in five centers (n=938)

Excluded (n=779)
- Not meeting inclusion criteria
  - PImax > 60 cmH2O (n=580)
- Exclusion criteria (n=119)
  - Cognitive or psychiatric problems (n=39)
  - Musculoskeletal problem (n=57)
  - Previous rehabilitation program inclusion < 1 yr (n=25)
  - Other reasons (n=40)
  - Declined to participate (n=40)

Randomized (n=219)

Allocated to intervention group (n=110)
- Lost to follow-up (dropout from rehabilitation program) (n=21)
- Discontinued intervention (lack of motivation to continue IMT) (n=4)

Allocated to control group (n=109)
- Lost to follow-up (dropout from rehabilitation program) (n=24)
- Discontinued intervention (lack of motivation to continue IMT) (n=5)

Follow-Up

Analysis

Analysed (n=89)
Analysed (n=85)
Total inspiratory work performed / training week (J)

Intervention group
Control group

Mean±SEM

Training week

* = p<0.05
Two-Way ANOVA analysis with Bonferroni corrections
A

\( p \text{ for trend} < 0.001 \)

Tertiles \( \Delta P_{\text{max}} \)

Total work / IMT session (J)

B

\( p \text{ for trend} < 0.001 \)

Tertiles \( \Delta P_{\text{max}} \)

Avg peak power / IMT session (W)

C

\( p \text{ for trend} < 0.001 \)

Tertiles \( \Delta P_{\text{max}} \)

PI / Plmax (baseline) (%)