- Title: From ocean sprawl to blue-green infrastructure a UK perspective on an issue of global
   significance
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#### Abstract

25 Artificial structures are proliferating in the marine environment, resulting in 'ocean sprawl'. In light 26 of the potential environmental impacts of this, such as habitat loss and alteration, it is becoming 27 increasingly important to incorporate ecologically-sensitive design into artificial marine structures. The principles of eco-engineering and green infrastructure are embedded in urban planning practice 28 29 for terrestrial and freshwater development projects. In marine planning, however, eco-engineering of 30 *blue-green* infrastructure remains an emerging concept. This note provides a UK perspective on the progress towards uptake of eco-engineering approaches for enhancing biodiversity on artificial marine 31 32 structures. We emphasise that, despite a clear 'policy pull' to incorporate biodiversity enhancements in marine structures, a range of proof-of-concept evidence that it is possible to achieve, and strong 33 34 cross-sectoral stakeholder support, there are still few examples of truly and purposefully-designed 35 blue-green artificial structures in the UK. We discuss the barriers that remain and propose a strategy towards effective implementation. Our strategy outlines a step-wise approach to: (1) strengthening the 36 37 evidence base for what enhancements can be achieved in different scenarios; (2) improving clarity on 38 the predicted benefits and associated costs of enhancements; (3) packaging the evidence in a useful 39 form to support planning and decision-making; and (4) encouraging implementation as routine 40 practice. Given that ocean sprawl is a growing problem globally, the perspective presented here 41 provides valuable insight and lessons for other nations at their various states of progress towards this 42 same goal.

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47 Keywords: Artificial structures; Biodiversity enhancement; Conservation; Ecological engineering;
48 Marine management; Science-policy interface.

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# 50 1 Introduction

# 51 1.1 Ocean sprawl: proliferation and impacts

52 Artificial structures are proliferating in the marine environment globally, in what has been termed "ocean sprawl" (Duarte et al., 2013; see Firth et al., 2016b for review). Coastal defence structures 53 54 (e.g. breakwaters, groynes, seawalls) have become common features along shorelines to retain land 55 and protect expanding urban developments from predicted sea level rise and extreme weather. 56 Structures associated with marine renewable energy generation (e.g. turbine pilings, scour protection, 57 lagoon walls) are also increasingly prevalent as nations attempt to reduce greenhouse gas emissions. 58 Meanwhile, platforms for offshore oil and gas exploration still operate in their thousands worldwide – 59 in some places forming "steel archipelagos" (Villareal et al., 2007). A variety of other residential, 60 commercial and recreational activities also introduce artificial structures to the seabed and water 61 column, such as trestles and enclosures for mariculture, pontoons, docks and buoys for transport and 62 navigation, recreational piers and artificial reefs. Shortage of valuable ocean-front land has led to the 63 construction of entire artificial islands, such as the Palm Islands off the coast of Dubai (Hvidt, 2009) and island projects off Malaysia (Chee et al., 2017). The increasing extent of these types of 64 developments in recent years has been highlighted as one of the top 15 global marine conservation 65 66 issues of our time (Sutherland et al., 2016).

The potential environmental impacts of artificial structures in the marine environment have become 67 an issue of great concern. Aside from the loss of and disturbance to natural habitats and species within 68 69 their physical footprint ("placement loss"; Heery et al., 2017), indirect local- and regional-scale 70 consequences may arise from altered coastal and oceanographic processes and altered connectivity (see Bishop et al., 2017; Firth et al., 2016b; Heery et al., 2017 for reviews). Furthermore, artificial 71 72 habitats are known to support different and often less diverse communities of marine life, compared with natural rocky habitats (Chapman and Bulleri, 2003; Firth et al., 2013b; 2016c; Glasby, 1999; 73 Moschella et al., 2005; Sheehan et al., 2013; Wilhelmsson and Malm, 2008). They have also often 74

been seen to support invasive non-native species and can act as stepping stones for species to spread
into new areas (Airoldi et al., 2015; Bulleri and Airoldi, 2005; Firth et al., 2013a; Mineur et al., 2012;
Sammarco et al., 2004). In light of these potential negative environmental implications of ocean
sprawl, and to satisfy international conservation commitments, it is increasingly important to
incorporate ecologically-sensitive design into marine and coastal developments.

80 The concepts of ecological engineering (or eco-engineering) and green infrastructure are not new 81 (Benedict and McMahon, 2002; Bergen et al., 2001). In terrestrial and freshwater systems, 82 incorporating environmental enhancements and natural capital (i.e. the assets from which ecosystem services are derived) into engineered developments is well established. For example, green roofs 83 84 (Brenneisen, 2006), motorway wildlife passages (Berthinussen and Altringham, 2012; Mata et al., 85 2008), coir rolls on river walls (Hoggart and Francis, 2014) and bird/mammal nest boxes (Arnett and 86 Hayes, 2000) have all been widely implemented, allowing some evaluation of their efficacy in 87 practice. There has also been research into the optimal design of culverts and dams for fish migration 88 (Newbold et al., 2014). Consequently, the principles of eco-engineering and green infrastructure are 89 embedded in urban planning practice for terrestrial and freshwater development projects and 90 restoration initiatives (e.g. Brenneisen, 2006; Williams, 2010). In marine planning, however, eco-91 engineering of *blue-green* infrastructure remains an emerging concept. Although there has been an 92 explosion of interest in applying the concepts of green infrastructure to artificial structures in the 93 marine environment since the early 2000s, especially amongst researchers trialling marine eco-94 engineering techniques (see Strain et al., 2017b), it is not yet implemented as routine practice.

95 In this note, we consider the potential for proliferating ocean sprawl to be eco-engineered into blue-96 green infrastructure. Specifically, we consider this in terms of enhancing biodiversity on artificial 97 marine and coastal structures (such as sea defences, port/harbour walls, energy infrastructure and 98 others listed above). We exclude artificial reefs from our considerations and focus instead on 99 structures that are necessary and appropriate for some primary function other than their ecological 90 effects. We briefly outline the evidence base for enhancing biodiversity on artificial marine structures. 91 We then provide a UK-perspective on this internationally-significant issue, emphasising that, despite a clear policy recommendation and strong cross-sectoral stakeholder support, there are still few
examples of truly and purposefully-designed blue-green infrastructure. We discuss what the barriers
to achieving this are and propose a strategy towards effective implementation, providing valuable
insight to other nations working towards this same goal.

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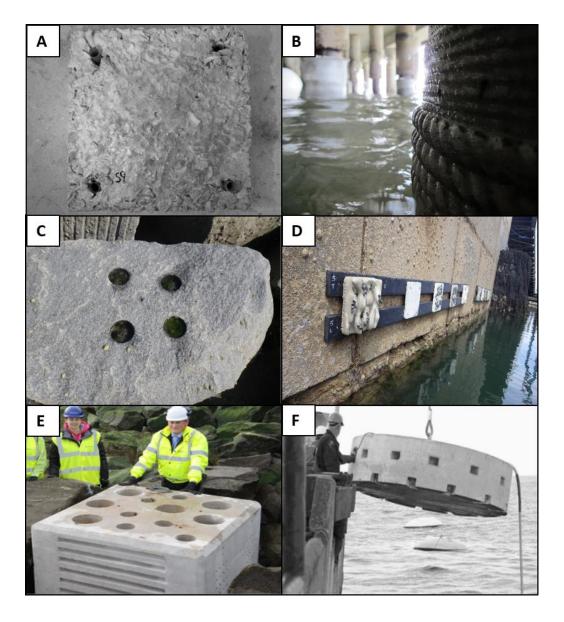
# 1.2 Evidence base for enhancing biodiversity on artificial marine structures

107 Much progress has been made in recent years in identifying potential interventions for enhancing 108 biodiversity and natural capital on artificial structures in the marine environment (see Strain et al., 109 2017a for review). Diversity deficits relative to natural rocky habitats have often been attributed to 110 low topographic complexity of structures (Aguilera et al., 2014; Chapman, 2003; Firth et al., 2013b; 111 2016c; Wilhelmsson and Malm, 2008), particularly a lack of water-retaining features in intertidal structures. Many marine eco-engineering trials have, therefore, attempted to enhance biodiversity on 112 structures through increasing their habitat complexity (see Figure 1 for examples). This has been 113 114 tested at the micro (µm-mm) scale by creating textured surfaces (Coombes et al., 2015; Perkol-Finkel 115 and Sella, 2016; Sella and Perkol-Finkel, 2015), at the small-to-medium (mm-cm) scale by adding 116 artificial pits, crevices and pools (Browne and Chapman, 2014; Chapman and Blockley, 2009; Evans et al., 2016; Firth et al., 2014; 2016a; Hall et al., 2018; Martins et al., 2010; Morris et al., 2017), and 117 at the macro (cm-m) scale by incorporating pre-cast habitat units into structure designs (Firth et al., 118 119 2014; Langhamer and Wilhelmsson, 2009; Perkol-Finkel et al., 2017; Perkol-Finkel and Sella, 2016; Scyphers et al., 2015; Sella and Perkol-Finkel, 2015). Researchers have also investigated alternative 120 construction materials to improve the habitat quality of structures and/or to reduce their environmental 121 footprints (Collins et al., 2015; Cuadrado et al., 2015; Dennis et al., 2017; McManus et al., 2017; 122 123 Perkol-Finkel and Sella, 2014; Sella and Perkol-Finkel, 2015). Others have trialled transplanting 124 target species directly onto structures to support threatened populations (Ng et al., 2015; Perkol-Finkel et al., 2012). 125

126 The enhancements that can be achieved through the design modifications described above include127 increased biodiversity (Browne and Chapman, 2014; Chapman and Blockley, 2009; Dennis et al.,

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128 2017; Evans et al., 2016; Firth et al., 2014; Loke and Todd, 2016; Perkol-Finkel and Sella, 2016; Sella and Perkol-Finkel, 2015) and/or increased abundances of target species (Langhamer and 129 Wilhelmsson, 2009; Martins et al., 2010; Ng et al., 2015; Perkol-Finkel et al., 2012; Strain et al., 130 2017a) on artificial structures. It is important to point out that such increases should only be 131 132 considered as enhancements of the ecological condition of the structures themselves, when evaluated against the condition of those same structures without any design modification. It would be incorrect 133 to consider these as net enhancements in the context of the wider environment; the effect of 134 enhancements on the wider environment (i.e. spillover effects) would be difficult to measure and has 135 136 rarely been assessed (but see Morris et al., 2017; Toft et al., 2013). In most cases, the net impact of 137 introducing artificial structures to the natural environment – enhanced or not – would still likely be 138 negative (see discussion of impacts above). Such enhancements can, nevertheless, support myriad 139 ecosystem services (see Table 2 in Firth et al., 2016b for summary of services supported by 140 biodiversity associated with artificial marine structures). For example, increasing abundances of 141 macroalgae and corals could increase primary and secondary production (Mann, 2009). Promoting 142 high abundances of filter-feeders could improve local water quality (Hawkins et al., 1999; Layman et 143 al., 2014). Environmental improvements can, in turn, lead to societal and economic benefits. For 144 example, through increased food provision, fisheries yield and stock sustainability (Langhamer and 145 Wilhelmsson, 2009; Martins et al., 2010; Scyphers et al., 2015; Toft et al., 2013; Wehkamp and Fischer, 2013), or through enhanced tourism and recreation (Airoldi et al., 2005; Firth et al., 2013a; 146 Lamberti and Zanuttigh, 2005). Improvements in public health are also possible – both as a knock-on 147 effect from environmental and social improvements, and on account of the wellbeing associated with 148 direct contact with nature and knowing that the natural environment is in a healthy, well-managed 149 condition (Clark et al., 2014). 150



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Figure 1 Examples of tried-and-tested ecological enhancement interventions for artificial marine 152 structures: A] Textured concrete settlement tile (photo: Harry Dennis); B] ECOncrete® pier piling 153 154 encasement in New York, USA (photo: Shimrit Perkol-Finkel); C] Drill-cored rock pools on a breakwater in Wales, UK (photo: Ally Evans); D] World Harbour Project mussel-seeded tiles on a 155 156 seawall in Plymouth, UK (photo: Kathryn O'Shaughnessy); E] BIOBLOCK unit in a groyne in Wales, UK (photo: David Roberts); F] Perforated wave power foundation in Lysekil, Sweden (photo: 157 Olivia Langhamer). Each of these designs has been shown experimentally to enhance biodiversity on 158 artificial structures, i.e. there is 'proof-of-concept' evidence that they can work (see Section 1.2 for 159 160 summary of the evidence base). More thorough testing is needed, however, to be able to predict their 161 performance in wider implementation (see Section 2 for assessment of the evidence gaps).

#### 162 **1.3 A UK perspective on this internationally-significant issue**

### 163 **1.3.1** The legislative landscape and 'policy pull' in the UK

164 The 2010 review of the Convention on Biological Diversity (CBD) (UNEP, 2011) recognised that 165 there has been broad international failure to meet biodiversity targets. Post-2010 targets reflect the 166 need for urgent and proactive action to halt biodiversity loss and secure essential ecosystem services 167 (www.cbd.int/sp/targets). In Europe, these targets have been translated into strong policy drivers to 168 support incorporation of biodiversity enhancements in marine plans and projects. These were 169 summarised by Naylor et al. in 2012. The EU Biodiversity Strategy (2011), for example, lays out 170 requirements for member states to not only protect, but also to value and restore biodiversity and its 171 associated natural capital. Targeted actions include more use of green infrastructure (Target 2, Action 172 6) and the No Net Loss biodiversity initiative, which champions restoration or "functional recreation" of lost or degraded habitats (Target 2, Action 7). At the domestic level, EU member states 173 174 have been required to define national targets (www.cbd.int/nbsap/targets) and develop national 175 policies and initiatives to implement the strategy. In the UK, national targets promote a more 176 proactive approach to planning, which is reflected in tangible policy guidance. For example, the UK's CBD targets include encouraging greener construction designs to enable development projects to 177 enhance natural networks (Priority action 3.4). The UK Marine Policy Statement (2011) followed, 178 179 advising that new marine developments should not only minimise environmental impacts, but may also provide "opportunities for building-in beneficial features for marine ecology [and] biodiversity 180 [...] as part of good design; for example, incorporating use of shelter for juvenile fish alongside 181 proposals for structures in the sea" (Section 2.6.1.4). More recently, translation of this policy into 182 183 regional planning guidelines has been even more specific. The Draft Welsh National Marine Plan 184 (2017), for example, states that "proposals should demonstrate how they contribute to the protection, restoration and/or enhancement of marine ecosystems". It specifically recommends that "small 185 186 changes to intertidal structures that allow the formation of crevices in walls or pools at low tide [...] can provide additional environment for [...] species that would otherwise be unable to exist there.". 187 Although not prescribing definitive obligations, these policy documents clearly advocate multi-188

189 functional marine and coastal structures that are engineered to support enhanced biodiversity (i.e.190 blue-green infrastructure).

191 Countries all over the world are facing similar challenges with regard to marine urbanisation, and 192 many have national policies that advocate protecting and enhancing the natural environment (see 193 recent review by Dafforn et al., 2015b). Specific policies to encourage implementation of blue-green 194 infrastructure, however, are lacking outside of Europe (discussed by Dafforn et al., 2015a). There is a 195 duty on the UK, therefore, to utilise this 'policy pull' to pioneer the transition from research-driven 196 experimentation of biodiversity enhancements into routine practice in marine planning.

# 197 **1.3.2 Stakeholder support in the UK**

198 In the absence of clear management objectives from authorities in the past, there has been uncertainty 199 regarding *whether*, and if so, *what type of* multi-functional design enhancements would be considered desirable for marine developments (discussed by Chapman and Underwood, 2011; Firth et al., 2013a; 200 201 Moschella et al., 2005). Evans et al. (2017) investigated UK stakeholder opinions regarding multifunctional design of coastal defences in 2014. In general, participants felt that the most desirable 202 203 secondary benefits that could be built-in to coastal structures were ecological – prioritised over social, 204 economic and technical ones. Specifically, provision of habitat for natural rocky shore communities, 205 species of conservation interest, and commercially-exploited species (through provision of refuge for 206 population conservation, rather than for fisheries benefit). There was also consensus, however, that it is more important to avoid or minimise negative impacts than it is to create and maximise positive 207 208 ones. As previously discussed by Bulleri and Chapman (2010) in an international context, UK 209 stakeholders further strongly believed that any built-in secondary benefits must be designed and evaluated in the context of the local environment and communities in question, and be tailored to the 210 requirements of the specific target species or services desired. Nevertheless, Evans et al. (2017) found 211 unanimous support across a number of sector groups, including academics, ecologists, engineers, 212 213 local authorities, statutory bodies, conservationists and members of the public, for implementing

multi-functional engineered structures (i.e. blue-green infrastructure) in place of traditional single-purpose ones.

# 216 2 Barriers and strategy towards blue-green infrastructure in the UK and beyond

Despite a wealth of proof-of-concept evidence, a clear policy pull and cross-sectoral support (all 217 discussed in 1.2 and 1.3 above), there have been few examples of non-research-driven implementation 218 of blue-green artificial structures in the UK (but see Naylor et al., 2017b), or indeed globally (but see 219 220 Harris, 2003; Perkol-Finkel and Sella, 2016; Scyphers et al., 2015; Toft et al., 2013). So what are the 221 barriers that remain? Evans et al. (2017) discussed some of the issues that stakeholders in the UK 222 perceived to be barriers to ecologically-sensitive design of coastal defence structures in 2014. These 223 barriers included cost and funding priorities, lack of evidence that biodiversity enhancements could be 224 achieved (but see 1.2 above), lack of policy drive and legislative support (but see 1.3 above), and poor communication between sectors during planning. Based on this information, they proposed a step-225 226 wise approach to wide-scale and effective implementation of multi-functional coastal defences. We 227 build on their suggestions here, taking a slightly wider scope to include hard artificial marine 228 structures more generally (i.e. including port/harbour walls, energy infrastructure, recreational piers, etc., as well as coastal defences), with new insights gained through discussions with key UK 229 stakeholders. We outline the progress that has already been made to overcoming some of the barriers 230 231 identified, highlight the barriers that remain, and present a strategy to drive wider implementation of blue-green marine structures, both in the UK and globally (Figure 2). Unless otherwise stated, 232 information presented in this section has derived from targeted discussions between 2012 and 2018 233 with a variety of UK policy-makers, regulators, practitioners and engineers involved in planning and 234 235 decision-making for marine and coastal development projects.

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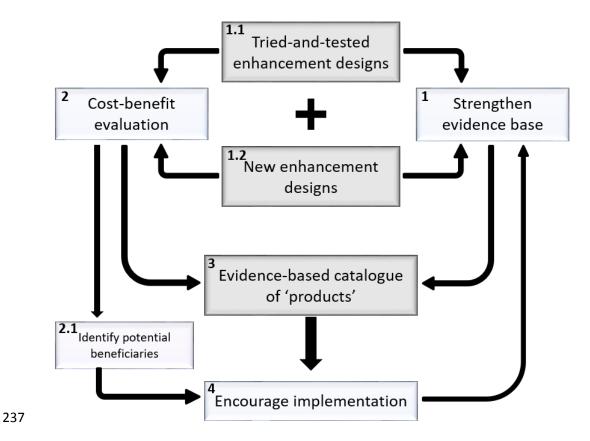


Figure 2 Schematic diagram illustrating necessary steps to effective implementation of blue-green
 infrastructure to maximise natural capital of artificial marine structures through design or engineering
 intervention. Importantly, stakeholder feedback should be sought and incorporated at each stage of the
 process.

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# 243 Step 1: Further experimental trials to strengthen the evidence base

Although there is a wealth of proof-of-concept evidence to support methods of enhancing artificial marine structures for environmental, social and economic benefit (discussed in **1.2** above), Evans et al. (2017) found that UK stakeholders perceived a lack of evidence to be a key barrier to implementation. It appears, therefore, that there is limited awareness of and/or confidence in the available evidence amongst practitioners. We suggest it is both of these things.

Awareness of the evidence base for enhancing artificial structures is certainly growing amongst practitioners, policy-makers and regulators in the UK. This has been the product of concerted efforts by researchers to raise its profile through targeted discussions and events – facilitated by key 252 individuals in the different sectors. As the evidence base grows, however, this approach is likely to 253 become unsustainable and knowledge will need to be transferred in more passive ways. This does not 254 mean reverting to the "loading dock approach" (Cash et al., 2006), however - i.e. simply publishing 255 research in journal articles and expecting it to be used as intended. Holmes and Clark (2008) highlighted the importance of transferring scientific knowledge in a "useful form" to make it visible to 256 and usable by practitioners (see also McNie, 2007; Weichselgartner and Kasperson, 2010). A number 257 258 of industry/practice-facing documents have been produced in recent years that do translate some of 259 the marine eco-engineering evidence base in a useful form, both from the UK (e.g. CIRIA, 2015; Navlor et al., 2017a) and elsewhere (e.g. Adams, 2002; Dyson and Yocom, 2015; NSW Government, 260 2012). These tend to be broad and general in scope, however, with more of a focus on eco-261 262 engineering in estuarine and vegetated systems than hard artificial marine structures. There is not yet 263 a comprehensive detailed resource specifically to support evidence-based decision-making for 264 enhancing biodiversity on artificial marine structures. This is discussed further in *Step 3* below.

Confidence in the evidence base for enhancing artificial structures appears to be a key barrier in the 265 266 UK. Researchers have been careful not to oversell their evidence in an effort to avoid it being misused to facilitate or 'green-wash' potentially harmful developments – and rightly so. Many interventions in 267 268 the literature have only been trialled experimentally in a single location at a single point in time (e.g. 269 Chapman and Blockley, 2009; Firth et al., 2014; Perkol-Finkel and Sella, 2016). At present, therefore, 270 there is limited confidence in the predicted effects of these interventions when applied to different 271 development projects and environmental contexts. Even when interventions have been trialled more 272 than once, variation in experiment design, context and observed effects means there is still uncertainty 273 about how they would perform in different scenarios. For example, in the UK small drilled pits have 274 been trialled several times as a way of increasing microhabitat availability in intertidal structures, with 275 consistently positive effects on intertidal communities (Firth et al., 2014; Hall et al., 2018; Naylor et al., 2011). In different experiments, however, different effects were observed. Drilled pits (25 mm 276 277 depth x 14 and 22 mm diameter, spaced 100 mm apart) installed in an offshore breakwater in the 278 southwest of England supported 33 intertidal species, whereas pits (25 mm depth x 25 mm diameter,

279 spacing not reported) installed in a sheltered seawall in the same region supported only 5 (Firth et al., 280 2014). Pits (20 mm depth x 16 mm diameter, spaced 70 mm apart) installed in coastal rock armour in the northeast of England supported 8 species, whereas the same pits in similar rock armour in the 281 south of England supported 19 (Hall et al., 2018). The magnitudes of differences between treatments 282 283 (i.e. with pits) and controls (i.e. no pits) in each case were also different. Given the variation in experimental designs and contexts of each trial, it is not possible to know whether depth, diameter, 284 spacing, context and/or local species pool could have been responsible for the different effects 285 observed. It would, therefore, be difficult to predict the effects of installing drilled pits in any given 286 287 structure in any given location in the UK, let alone in different biogeographical regions (e.g. see Martins et al., 2010; 2016). Furthermore, the length of time after installation that different 288 289 interventions have been monitored in the literature varies - from less than a year (e.g. Browne and 290 Chapman, 2014; Strain et al., 2017a) to over two years (e.g. Firth et al., 2016a; Martins et al., 2016). 291 The timing and duration of monitoring will almost certainly affect the evaluation of intervention 292 success (e.g. see Firth et al., 2016a). Monitoring surveys can, in most cases, only provide snapshots 293 along non-linear successional trajectories. Although there is no correct length of time over which 294 interventions should be monitored, it is important that their effects are evaluated over timeframes 295 appropriate to the envelope of natural variability of the system in which they are installed.

296 Unlike ecologists who are accustomed to working with uncertainty and variability in natural systems, 297 developers, engineers and decision-makers want to balance costs and benefits with some level of 298 confidence that predicted outcomes will be realised (Evans et al., 2017; Knights et al., 2014). It will 299 always be difficult to predict the precise ecological outcomes of an intervention in any given 300 development, but the more trials that are undertaken and reported (whether successful or not, e.g. see 301 Firth et al., 2016a), the greater our understanding of their potential. There is, therefore, a need for far 302 more thorough and controlled testing of existing interventions – to refine physical design parameters 303 and trial them more extensively, over longer timeframes and in a variety of biogeographic and 304 environmental contexts (Figure 2: Step 1.1; see discussion in Chapman et al., 2017). An effective way 305 of achieving this would be for researchers to collaborate by testing the same designs in reciprocal

locations – an approach the World Harbour Project (<u>www.worldharbourproject.org</u>) has pioneered,
replicating seawall enhancement trials across 15 cities around the world. We are working to
encourage this collaborative approach in the UK and Ireland through the newly-established BioMAS
(Biodiversity of Marine Artificial Structures) network.

310 In addition to further testing of existing interventions, there also remains a need for development and testing of new enhancement designs (Figure 2: Step 1.2). Most interventions for intertidal structures 311 have focused on providing suitable habitat for rocky shore communities, especially refuge habitat 312 during the tide-out phase. There may be many alternative designs, yet to be tested, that can achieve 313 314 this same goal more effectively and/or more economically in different situations. There may also be 315 further opportunities to incorporate suitable habitat for target species during the tide-in phase (e.g. 316 Morris, 2016; Toft et al., 2013), and to create space for sedimentary habitats, such as mudflats and saltmarsh, to develop amongst engineered structures (e.g. Bilkovic and Mitchell, 2013; Chapman and 317 318 Underwood, 2011). There are far fewer existing tried-and-tested designs for subtidal developments than there are for intertidal ones - this is another key knowledge gap (but see Langhamer and 319 320 Wilhelmsson, 2009; Perkol-Finkel and Sella, 2016; 2017; Sella and Perkol-Finkel, 2015). Techniques that work in the intertidal may not apply in the subtidal where different processes and stresses prevail. 321 322 New enhancement interventions may be possible on scour protection, cable mattressing, jetty pilings 323 and other subtidal structures that are becoming common features of the seabed and water column.

### 324 Step 2: Cost-benefit evaluation

Ultimately, existing and new evidence will need to be translated into an evolving catalogue of enhancement options (or 'products'; see *Step 3* below) to enable planners to incorporate ecologicallysensitive design in artificial marine structures. This catalogue would ideally include some evaluation of the costs and intended benefits of implementing each design (Figure 2: Step 2). Yet a considerable amount of further research is necessary to reliably assess the cost-benefits of tried-and-tested enhancement designs. To date, enhancements have been trialled primarily for experimental purposes – small-scale pilot projects, mostly designed, manufactured, installed and funded on a bespoke basis by researchers and their contracted industry partners. This has made it difficult to make direct comparisons of the costs and benefits of different enhancements, and furthermore, to predict their implementation costs and benefits when scaled-up in practice.

Costs of enhancements are not always reported in the literature, and when they are, they are not often 335 reported in consistent comparable ways. Costs have been reported in terms of people time and 336 equipment for DIY installation (Firth et al., 2014; Hall et al., 2018), costs charged by a 337 338 contractor/manufacturer (Firth et al., 2014; Naylor et al., 2017a), percentage of overall scheme costs (Naylor et al., 2011), and additional cost compared to "business as usual" (Naylor et al., 2017a). All 339 are useful metrics but none are directly comparable, nor can they be directly extrapolated for scaled-340 up implementation in practice, since economies of scale would be likely when designs are 341 342 manufactured industrially. We encourage more researchers to report as much information as possible 343 on the costs associated with their experimental trials. The costs of enhancements will become clearer 344 as experimental designs are commercialised into products (see *Step 3* below).

345 There is also limited understanding of the value of potential *benefits* of enhancements, particularly 346 non-use value such as the provision of habitat for species of conservation importance (Nunes and Van den Bergh, 2001). A number of valuation tools have been developed to quantify the benefits of 347 biodiversity and green infrastructure (summarised in Natural England, 2013). These ideas have very 348 349 recently been applied to artificial coastal and marine structures (Naylor et al., 2018). It was suggested by stakeholders in the UK that there may be opportunities to attract partnership funding to pay for 350 interventions, if beneficiaries of enhancement outcomes could be identified (Evans et al., 2017; see 351 also the 'Payment for Ecosystem Services' (PES) approach described by Forest Trends and The 352 353 Katoomba Group, 2010) (Figure 2: Step 2.1). But again, although beneficiaries of interventions with 354 clear socio-economic benefits (such as enhanced fisheries yield) may be readily identified, 355 beneficiaries of non-use enhancement outcomes would be less obvious and potentially harder to 356 attract (see barriers to the PES approach in Defra, 2011). We encourage researchers to go beyond 357 reporting the effects of enhancement trials in terms of changes in biodiversity, to measure effects on ecosystem function and the services they support. This may lead to more effective evaluation of 358

enhancement interventions. This is something we are aiming to do in the UK and Ireland as part of the
EU-funded Ecostructure Project (www.ecostructureproject.eu).

361 When balancing the cost-benefit of enhancement options it is also necessary to consider the key question of how much enhancement is enough? This is a question we have been asked time and again 362 by developers and regulators considering ecological enhancement of artificial structures. It will be 363 critical to understand density-dependent effects (e.g. Martins et al., 2010) of interventions when built-364 365 in to different types of structures, in order to ensure enhancements are proportionate to the scale of developments. There may be several alternative ways of defining what constitutes adequate and 366 appropriate enhancement in different scenarios. For example, when installing artificial habitat units 367 (such as artificial rock pools) it may be a reasonable aim to mimic the density of that feature in nearby 368 369 natural rocky habitats. If the objective was to promote target species, however, then it may be more 370 appropriate to consider scale in terms of population size and reproductive viability. This is another 371 major knowledge gap which needs to be addressed through carefully-designed experiments that can effectively assess the scale of enhancement effects in relation to the structure being tested on. 372

# 373 Step 3: Translation from experimental designs into a catalogue of products

We suggested in *Steps 1* and 2 that the evidence base for enhancing biodiversity on artificial marine structures would be usefully communicated to end-users through an evolving evidence-based catalogue of off-the-shelf enhancement products (Figure 2: Step 3). Such a tool would not only raise and sustain awareness of the growing evidence base into the future; it would also greatly support evidence-based decision-making. Products could be selected and evaluated for implementation on the basis of their predicted effects on biodiversity, their scope of application, their cost, and an indication of confidence that intended benefits would be realised.

Lessons can be learned from the enterprise and product development in terrestrial and freshwater systems. Tried-and-tested enhancements, such as insect, bird and mammal boxes, have progressed from the research and development stage to become commercialised products. These can be purchased as integrated habitat units (e.g. see www.habibat.co.uk) and built-in to developments to 385 fulfil certain planning or licencing requirements and provide space for nature. The existing evidence base for marine enhancement interventions summarised above appears to be no less convincing than 386 387 the evidence for such terrestrial and freshwater equivalents (e.g. see synopses at www.conservationevidence.com). For example, bat gantries have been widely installed in the UK to 388 389 help bats cross roads safely, despite there being little evidence that they will work in all scenarios 390 (Berthinussen and Altringham, 2012). There appears to be more caution in implementing tried-and-391 tested marine enhancements in the UK based on the existing evidence, which we wholly support on 392 account of the knowledge gaps that remain (see discussion in *Steps 1* and 2 above). We stand by our 393 call for the evidence base to be strengthened through further experimentation. Nonetheless, translating 394 marine enhancement designs into commercialised products would enable more efficient and cost-395 effective implementation – both for scaled-up experimentation and for implementation in practice. It 396 would also provide a more realistic evaluation of their cost (see Step 2 above). There is a growing 397 number of companies that can and do provide off-the-shelf enhancement products for marine 398 structures, as well as bespoke designs, both in the UK (e.g. Artecology <u>www.artecology.space</u>, ARC 399 Marine www.arcmarine.co.uk, Salix www.salixrw.com) and internationally (e.g. ECOncrete® 400 www.econcretetech.com, Reef Design Lab www.reefdesignlab.com). This is a positive step towards 401 cost-effective implementation, as long as there is adequate transparency regarding the evidence base 402 underpinning products. There are numerous ways of creating artificial rock pool products for 403 intertidal structures, for example, with different materials, colours, textures, shapes and sizes, 404 incorporating cost, aesthetic and educational concerns as well as their functionality (e.g. Sydney 405 Harbour's flowerpots: Browne and Chapman, 2014; Artecology's Vertipools: Hall, 2017; 406 ECOncrete®'s Tide Pools: Perkol-Finkel and Sella, 2016; or a drill-coring service: Evans et al., 2016). An evidence-based catalogue would need to evidence how variation in physical design 407 parameters would be expected to affect their ecological performance in a given context. It would also 408 need to contain evidence of how the number, configuration and timing of installation of rock pool 409 habitat, more generally, would be expected to affect ecological outcomes. In some scenarios, cost, 410 411 aesthetics and/or educational concerns may be as or more important than ecological effects; there

should nevertheless be transparency regarding the strength of evidence for what the ecological effectsare likely to be if implemented in the name of biodiversity enhancement.

414 Through discussions with practitioners and policy-makers in the UK, we gathered some suggestions on how an evidence-based catalogue of enhancement products might look. They told us that to be 415 effective and useful, a catalogue should be a streamlined, user-friendly (e.g. drop-down boxes and 416 filters) online resource, which is maintained to ensure content is up-to-date and complete. Information 417 418 would be layered, with high-level philosophies of interventions at the initial stage of browsing -419 perhaps making use of a "TripAdvisor"-style scoring system to indicate effectiveness, confidence and 420 peer-review rating. Then by clicking through layers, users may access medium-level information 421 about the principles and objectives, via brief synopses and bullet points. Full detailed evidence, with 422 links to publications and researcher contact details, would be available at the deepest catalogue layer. 423 Although practitioners may not wish to (or have time to) read the primary evidence underpinning 424 products, knowledge that it exists and is accessible if needed is important and instils confidence in using higher-level information. Based on this description, we suggest that the Conservation Evidence 425 426 project, administered by the University of Cambridge (www.conservationevidence.com), provides an 427 existing template that is fit-for-purpose. The project follows a rigorous peer-reviewed protocol for 428 collating and translating evidence of the efficacy of conservation interventions into printed and online 429 synopses to support decision-making by practitioners (Sutherland et al., 2018). Conservation 430 Evidence synopses are already available for a number of terrestrial and freshwater species and 431 habitats, and are used by practitioners working in terrestrial and freshwater conservation in the UK. We suggest this would be an effective way of translating experimental evidence for biodiversity 432 433 enhancement options on marine structures (outlined in Section 1.2) into an evidence-based catalogue 434 of products for blue-green engineering solutions, which would be relevant to practice in the UK and 435 globally.

436 Step 4: Encouraging implementation in practice

437 The support that Evans et al. (2017) found amongst UK stakeholders for implementing blue-green 438 infrastructure in 2014 persists today. We are beginning to see the start of a gradual shift from research-driven experimentation to practice-driven implementation. Naylor et al. (2017b) report an 439 440 example of practice-driven implementation of ecologically-sensitive design in a coastal defence 441 scheme in the northeast of England. The implementation was driven by the local authority and regulators, who sought advice from the researchers. Although a positive step forwards, there were 442 443 some limitations in terms of the enhancements delivered in the scheme, apparently on account of some of the barriers described above. "Passive" enhancement measures (i.e. "smart" positioning of 444 445 rock armour units to maximise function of existing surface complexity) were eventually implemented in the rock revetment over "active" measures that were proposed (i.e. using alternative construction 446 447 materials and installing retrofit rock pools). This was reportedly based on cost implications (Naylor et 448 al., 2017b). Further examples of the shift from research-driven trials to practice-lead implementation 449 in the UK have stemmed from experiments undertaken by Hall (2017) and Hall et al. (2018). They 450 undertook experimental trials of rock pool units installed on a seawall in the south of England (Hall, 451 2017) and drilled pits and grooves in coastal armouring in the northeast of England (Hall et al., 2018). 452 These trials provided location- and context-specific evidence needed by the developers -a ferry port 453 and a local authority, respectively – to predict the likely effect of these enhancements if scaled-up in 454 practice (A. Hall, pers. comms.). As a result, both enhancement designs have been implemented by the developers in practice in subsequent projects. Furthermore, the local authority was able to attract 455 456 funding from The Environment Agency (a national public body) to implement and monitor the scaled-457 up enhancement under their commitment to create intertidal habitat as part of the government's 25 Year Environment Plan (Defra, 2018). Another local authority has subsequently approached Hall for 458 459 advice with the aim of following the same approach in a large capital project in their region (A. Hall, pers. comms.). Government advisors and private developers in Wales have similarly approached 460 Evans, Moore and Ironside about incorporating enhancements in a number of coastal and offshore 461 development projects. Yet the majority of these discussions to date have not resulted in 462 implementation - again because of the various barriers outlined in this paper. During these 463 464 discussions, a new barrier has emerged that will need to be overcome in order to encourage wider

465 implementation in practice. We have found that developers and asset owners are generally willing to 466 facilitate research-driven enhancement trials on marine structures under their responsibility. In many 467 cases, they are eager, even, to be part of this progressive movement. When it comes to implementing 468 enhancements as part of their own practice, however, a recurring concern has arisen regarding liability 469 of interventions post-construction.

470 Liability could relate to structural integrity (e.g. if enhancement units affect the stability of the 471 structure or if the units themselves require repair/replacement), public safety (e.g. children climbing 472 on units attached to seawalls), or protected species (e.g. implications for maintenance regimes if a species of conservation concern colonises a structure). The recent "Greening the Grey" report by 473 474 Naylor et al. (2017a) goes some way to reassure people regarding potential impacts on structural 475 integrity, having been reviewed by an independent engineering expert whose opinion was that the 476 eco-engineering designs described within would be unlikely to have any effect. Nevertheless, the 477 effect of designs on structural integrity have not been tested experimentally to find the critical 478 size/amount of modification that could be supported by different structures without risk. There are 479 also many other designs that were not assessed as part of this exercise. We recommend that as well as 480 strengthening the evidence base for the ecological effects of enhancement designs (Step 1), 481 experimentally testing their effect on engineering integrity would increase confidence amongst asset 482 owners and engineers to implement them in their structures. The latter two liability issues (public 483 safety and protected species) are legal matters that need to be clarified by regulators to give 484 developers confidence to engage with the potential for building biodiversity enhancements into their 485 plans.

It is important that researchers continue to take a pro-active role in communicating and encouraging implementation of current and future enhancement options to end-users (Figure 2: Step 4). We suggested above (*Step 1*) that continuous knowledge transfer through direct discussions and events may be unsustainable as the evidence base grows. We suggested, instead, that an evolving catalogue of enhancement options/products as described in *Step 3* would support more sustainable knowledge transfer ongoing. But this resource would still need to be promoted to end-users as it evolves to ensure 492 it remains fit-for-purpose and used in practice. Amplifier organisations (also referred to as 493 'knowledge brokers': Naylor et al., 2012, 'interpreters': Holmes and Clark, 2008, and 'boundary 494 organisations': McNie, 2007) have an extremely important role in connecting researchers with 495 industry, environmental managers and policy-makers. In the UK, the independent non-profit body 496 CIRIA (the Construction Industry Research and Information Association, www.ciria.org) has emerged as an effective intermediary group in the field of eco-engineering and green infrastructure. Their 497 Coastal and Marine Environmental Site Guide (CIRIA, 2015), outlining best practice guidelines for 498 499 marine and coastal construction work, includes a case study of an experimental trial of artificial rock pools for marine structures (Evans et al., 2016). This promotion and endorsement has generated 500 interest for implementation from developers and statutory bodies in the UK and internationally. 501 CIRIA is based in the UK but operates more widely. We recommend that researchers and 502 503 practitioners involved in implementing blue-green infrastructure around the world engage with them 504 and other amplifier organisations.

### 505 3 Concluding remarks

506 Despite a growing evidence base, a clear policy steer, and broad cross-sectoral support, there are few examples in the UK of truly blue-green infrastructure, designed to deliver ecological and/or socio-507 economic secondary benefits. We are starting to witness the beginning of a gradual shift from 508 509 research-driven trials to practice-driven implementation of biodiversity enhancements in artificial marine structures. Yet a number of barriers to wider routine implementation remain, most 510 importantly: a lack of confidence in the evidence base for the likely effect of enhancements in 511 different scenarios; the ability to balance predicted benefits with associated costs; a lack of a 512 513 comprehensive evidence-based catalogue of enhancement products; and clarity regarding post-514 installation liability. We have presented here a strategy towards: (1) strengthening the evidence base; 515 (2) improving clarity on the predicted costs and benefits; (3) packaging the evidence in a useful form 516 to support evidence-based planning and decision-making; and (4) encouraging implementation as 517 routine practice. Although we present this as a 4-step process, it is important to note that this is not a linear process and we are not starting from the beginning of Step 1. Recent reviews highlight the 518

519 wealth of proof-of-concept evidence that already exists to support methods of enhancing marine 520 structures for biodiversity (Firth et al., 2016b; Strain et al., 2017b). There is also a lot of work already happening to translate evidence in useful practice-facing documents (e.g. CIRIA, 2015; Naylor et al., 521 2017a), to make products available commercially and to encourage implementation (all discussed in 522 523 Section 2). Crucially, researchers must focus on strengthening the evidence base to provide a broader tool kit of eco-engineering solutions and increase our confidence in predicting their effects in any 524 525 given development. Specific evidence gaps are highlighted in our strategy, including: understanding 526 the effects of enhancements under different biogeographic and environmental contexts; understanding 527 the density-dependent effects of enhancements at the structure scale (i.e. how much enhancement is 528 enough?); understanding enhancement options for subtidal structures; understanding the effects of 529 enhancements on ecosystem functioning and services; and understanding the effects of enhancements 530 on structure integrity. Generating this comprehensive and rigorous evidence base will not be easy. 531 Scaled-up experimentation is expensive and replicate structures are not always available for experimental control at the structure scale. Collaboration between researchers to maximise research 532 budgets and trial enhancements in reciprocal locations will help towards this goal. Ultimately, we 533 534 recommend that the Conservation Evidence project provides a best-practice template for collating existing and new evidence into an evidence-based catalogue of options to support decision-making in 535 536 practice.

537 Given the rapid proliferation of ocean sprawl globally, and the associated impacts on the natural 538 environment (Firth et al., 2016), it is critical that ecologically-sensitive engineering designs are widely, but appropriately, incorporated into both new and existing marine developments. It is also 539 540 important, however, to recognise that ecological enhancements that can be built-in to engineered 541 structures do not constitute mitigation or compensation for the loss of natural habitats and species. 542 They must not be used to 'green-wash' potentially harmful developments. The provision of biodiversity enhancements from multi-functional structures, therefore, should not be prioritised over 543 more sustainable and less invasive marine planning options. Where hard structures are considered 544

appropriate and necessary, however, opportunities should be taken to maximise natural capital as wellas to minimise environmental impacts.

We hope the strategy presented here provides some much-needed clarity on what can be done to maximise the natural capital of burgeoning ocean sprawl – in the UK and elsewhere. We finally encourage researchers and practitioners from other parts of the world to publish their own perspectives on this internationally-significant issue, to share best practice and lessons learned, and to support our collective global efforts and commitments under the Convention of Biological Diversity.

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