Original Article

Recrystallization in an Mg-Nd alloy processed by high-pressure torsion: a calorimetric analysis

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ABSTRACT

Differential scanning calorimetry (DSC) was used to evaluate the recrystallization temperature and activation energy for an Mg-1.43Nd (wt.%) alloy after severe plastic deformation by high-pressure torsion (HPT) at room temperature up to 10 turns. The recrystallization kinetics were determined from DSC analysis. The results show that the recrystallization temperature increases with increasing heating rate and decreases with increasing numbers of HPT turns. Severe plastic deformation by HPT significantly reduces the recrystallization temperature. The estimated activation energy for recrystallization was in the range of ~ 84–89 kJ mol⁻¹.

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1. Introduction

Magnesium and its alloys have increasingly attracted scientific investigations because of potential applications in the aerospace and transportation industries due to their high specific strength and attractive environmental characteristics [1,2]. Nevertheless, magnesium alloys suffer from poor formability at low temperatures because of their hexagonal crystallography and the consequent lack of sufficient numbers of independent slip systems. Several strategies have been proposed to overcome this deficiency. Recent work [3–7] showed that a modification of the chemical composition of Mg alloys with special emphasis on the addition of rare earth (RE) elements may potentially improve the plastic formability. Thus, RE elements may change the deformation mechanisms during plastic deformation by introducing solute drag and thereby changing the relative boundary mobility [8] and/or by enhancing the activation of hard systems such as <001> pyramidal slip [9–11]. In addition, Mg-RE precipitates tend to inhibit grain growth during hot working or annealing and ensure good thermal stability of the refined microstructures [12].
Severe plastic deformation (SPD) techniques, such as equal-channel angular pressing (ECAP) [13] and high-pressure torsion (HPT) [14], were found to significantly enhance the room temperature ductility of a range of magnesium alloys [15–18] and in practice SPD techniques have the potential of producing ultra-fine grained (UFG) materials and alloys characterized by excellent mechanical and physical properties such as high yield strength and superplasticity. These enhanced properties are due to a combination of the UFG microstructure and the introduction of considerable amounts of defects. Nevertheless, the processing of Mg alloys by ECAP is generally restricted to relatively high temperatures [19,20] in order to avoid billet cracking and the segmentation along the gauge section that is often observed after ECAP at room temperature. By contrast, processing by HPT prevents the development of segmentation and cracking due to the large imposed hydrostatic pressure [21] and this provides an opportunity for producing a UFG structure, even in pure magnesium, by processing using HPT at room temperature (RT) [22]. Processing by HPT also has an advantage over ECAP because it leads to both a more refined microstructure [23,24] and a higher fraction of grain boundaries having high angles of misorientation [25].

Post-deformation annealing (PDA) treatments of UFG materials are often used in order to attain a reasonably stable state by recovery and recrystallization [26,27] and therefore it is important to investigate the thermal stability of UFG materials. The recrystallization of Mg-based alloys after conventional deformation processing has been widely investigated [28–32]. However, there are only limited reports of PDA after SPD processing at elevated temperatures [33] and the role of PDA after processing of Mg alloys at RT appears to be restricted to samples that were heavily cold worked but not subjected to new SPD processing [34]. Accordingly, the present investigation was initiated in order to evaluate, using differential scanning calorimetry (DSC), the recrystallization temperature and the kinetics as well as the activation energy in a Mg-1.43Nd (wt.%) alloy after processing by HPT at RT for up to 10 turns.

2. Methods

The material used in this investigation was Mg-1.43Nd (wt.%) with the alloy provided in an as-cast state by the Institut für Metallkunde und Metallphysik (IMM, RWTH), Aachen, Germany.

Discs with thicknesses of 1.5 mm and diameters of 10 mm were partially solutioned in sealed glass tubes by holding at 535 °C for 5 h and then carefully polishing to final thicknesses of ~0.85 mm. The HPT processing was conducted at RT using a rotational speed of 1 rpm and quasi-constrained conditions [35,36] for 1/2, 1, 5 and 10 turns using an imposed pressure of 6.0 GPa.

Small samples of 18–20 mg and with a diameter of 5 mm were cut near the centers of the HPT discs in order to perform a DSC analysis using a 2920 MDSC calorimeter under constant heating rates of 10, 20 and 40 °C/min in a nitrogen atmosphere. The DSC scanning temperature ranged from 80 to 500 °C.

![Fig. 1 – DSC curves of Mg-1.43Nd processed by HPT after 1/2, 1, 5 and 10 turns, scanned at a heating rate of 20 °C/min.](image)

3. Results

Fig. 1 presents the DSC thermograms of the Mg-1.43Nd alloy subjected to 1/2, 1, 5 and 10 HPT turns obtained by continuous heating with a 20 °C/min rate. Other scans corresponding to 5, 10 and 30 °C/min are not included in this report since they exhibit almost identical trends. All of the thermograms show five exothermic peaks which are labeled in Fig. 1 as Rex, β′′, β1, β, and β3, and two endothermic peaks labeled D2 and D3. The first exothermic peak around 180 °C corresponds to the recrystallization event. The results of an investigation of the sequence and a quantitative analysis of the precipitation processes in the Mg-1.43Nd alloy after HPT processing were published in an earlier report [39].

Fig. 2 and 3 show the DSC scans of the recrystallization peaks and the corresponding values of the temperature peaks of recrystallization as a function of the numbers of HPT turns for heating rates of 5, 10, 20 and 30 °C/min, respectively. It is clear from these data that the peak temperature of the recrystallization phenomena increases with increasing heating rate and decreases with increasing numbers of HPT turn. The present results show that the recrystallization temperature is in the range of 138–175 °C. The presence of an exothermic peak below 200 °C belonging to the recrystallization was already reported in Mg-1.44Ce (wt.%) [40] and Mg-0.41Dy (wt.%) alloys [41] after HPT processing at RT up to 10 and 5 turns, respectively.

In order to evaluate the activation energy for recrystallization, the Baswell-Kissinger method was used based on the DSC measurements according to the following equation [42]:

\[
\ln \frac{V}{T_p} = C - \frac{E}{RT_p}
\]

where \( V \) is the heating rate, \( E \) is the activation energy, \( T_p \) is the maximum temperature of the peak, \( R \) is the universal gas constant and \( C \) is a constant.
Fig. 2 – DSC scans of the recrystallization peak at a heating rate of 5, 10, 20 and 30 °C/min in Mg-1.43Nd alloy processed by HPT for up to 10 turns.

Fig. 3 – Evolution of the temperature peak of recrystallization of Mg-1.43Nd as function of number of HPT turns.

Fig. 4 – Boswell plots for Mg-1.43Nd deformed by HPT up to 10 turns for recrystallization peak.

In order to make use of Eq. (1), Fig. 4 shows the evolution of \( \ln(V/T_p) \) as a function of \((1000/T_p \text{ K}^{-1})\) for the recrystallization peaks measured by DSC using the four heating rates of 5, 10, 20 and 30 °C/min after processing the alloy by HPT for up to 10 turns. It is evident that this Boswell-Kissinger plot shows straight lines and the activation energy can be determined directly from the slope of the plot. Thus, the values deduced for the activation energy for recrystallization are given in Fig. 5 as functions of the numbers of HPT turns. It is readily evident that the activation energy decreases significantly between 1/2 and 1 HPT turn but thereafter it only gradually decreases between 1 and 10 turns. With a maximum error of \( \Delta T_p \sim 2 \text{ K} \) and \( \Delta V \sim 0.5 \text{ K/min} \), the relative error of the activation energy deduced from (eq.1) amounts roughly to \( \Delta E/E < 10 \% \).

The kinetics of recrystallization can be determined during the DSC analysis using the following relationship [43]:

\[
F_v = \frac{A\Delta T_p}{A}
\]
Fig. 5 – Evolution of activation energy of recrystallization of Mg-1.43Nd alloy as function of HPT turns.

where \(F_v\) is the recrystallized volume fraction, \(A\) is the total area of the exothermic peak and \(A_T\) is the area between the onset peak and the chosen temperature \(T\), respectively.

Fig. 6 (a–d) shows the recrystallized volume fraction of the Mg-1.43Nd alloy after HPT processing to 1/2, 1, 5 and 10 turns calculated from the DSC analysis at the different heating rates of 5 (a), 10 (b), 20 (c) and 30 °C/min (d). Thus, sigmoidal-shaped curves are obtained and there is a clear shift in the recrystallized fraction to lower temperatures with an increase in the imposed strain introduced by the HPT processing. There is also a clear shift of the curves to higher temperature with increasing heating rate.

4. Discussion

Based on these experimental results, it is possible to rationalize the overall decomposition sequence in the Mg-1.43Nd alloy. It is clear that after the HPT processing of this alloy the recrystallization phenomenon is concomitant with a complex sequence of precipitation reactions. Nevertheless, for all of the samples the DSC analysis exhibits a five-stage precipitation sequence and this is generally in agreement with the sequences proposed in some earlier reports [37,38].

Based on an extensive review of the recrystallization of duplex alloys, it appears that the present observations obey Regime III following the scheme proposed in this earlier review [44]. During regime III, the recrystallization becomes complete before the occurrence of significant precipitation and thus it appears to be influenced only by solute segregation so that precipitation subsequently occurs in the recrystallized material. The use of HPT processing on the Mg-1.43Nd alloy at RT does not seem to significantly affect this sequence. However as shown in Fig. 3, the recrystallization temperature was lowered considerably (138–175 °C) in the current investigation relative to conventional plastic deformation. It was reported that among Mg-RE alloys the Mg-Nd exhibits the highest onset temperature of recrystallization [45]. As an example, for a cold-rolled Mg-2.65Nd (wt. %) alloy the recrystallization temperature was about 300 °C and it is believed that the recrystallization temperature is strongly affected by simultaneous precipitation during the recrystallization process [30,31,35]. By contrast, the present results show that the severe plastic deformation introduced by HPT considerably influences the recrystallization temperature in the absence of any simultaneous precipitation. It was reported in the Mg-1.44Ce (wt%) alloy after HPT processing up to 10 turns that the recrystallization peak temperature was considerably reduced within the range of ~35–171.7 °C [40]. It was found also in the Mg-0.41Dy (wt%) alloy processed by HPT up to 5 turns that the recrystallization process was completed within the range of ~120–200 °C [41] and the recrystallization temperature increased with increasing heating rate.

The activation energies for recrystallization derived from the present investigation ranges from ~84 to ~89 kJ mol\(^{-1}\) for 10 and 1/2 turn of HPT, respectively. It is noted that the activation energy for dislocation release is not dependent upon the strain value, at least for shear strains \(\gamma \leq 15\) [46], but HPT processing is known to result in a very strong equivalent strain of more than 200 after 10 turns. It is apparent that the activation energy is lower than the value for self-diffusion in magnesium (~135 kJ mol\(^{-1}\)) and slightly lower than the value for boundary self-diffusion (~92 kJ mol\(^{-1}\)) [47]. Close values of ~72 to ~87 kJ mol\(^{-1}\) were reported in Mg-Ce alloy processed by HPT at RT [40]. The activation energies of the recrystallization were around ~86 kJ mol\(^{-1}\) in a heavily cold-drawn and annealed AZ31 alloy [34]. Furthermore, there is a report of a similar value of ~69–88 kJ mol\(^{-1}\) [48] in twin-roll cast (TRC) AZ31 magnesium alloy and the activation energy for recrystallization in an Mg-0.42Nd (wt%) alloy was ~120 kJ mol\(^{-1}\) [49]. Thus, the lower values of the activation energy for recrystallization obtained after SPD processing is probably associated with the high density of nucleation sites compared with conventional deformation, including the presence of high-angle grain boundaries and a high concentration of both single/double vacancies and/or vacancy agglomerates [46,50]. Moreover, there is a considerable increase in the density of vacancies during the SPD processing and this substantial increase may be partly due to the special conditions associated with the SPD processing. Both dynamic and static recovery and/or recrystallization processes were recently reported during and after SPD [51]. Furthermore, besides lowering the recrystallization temperature and activation energy, it is evident from Fig. 6 that there is a shift in the recrystallized volume fraction, \(F_v\), to lower temperatures upon increasing the strain by HPT. Unfortunately, at present very little information is available on the nature of the static continuous recrystallization (SRC) kinetics and grain growth in Mg-based alloys in general and especially in Mg-RE alloys [28,30].

It is now well established that dynamic recrystallization (DRX) is commonly observed during conventional hot deformation of magnesium and its alloys [52]. Specifically, DRX in magnesium alloys has been the focus of several studies [53–55]. A model was built for simulating static recrystallization processes that incorporates local effects such as the misorientations between elements and variations in the stored energy [56]. The experimental results obtained in plane strain compression of zinc samples were generally in good agreement with the simulated recrystallization kinetics [56] and both the experimental results and the predictions were
consistent with a mechanism whereby nucleation occurs in highly-deformed domains and controls the recrystallization kinetics.

The values of the onset peak ($T_0$), the offset peak ($T_{max}$), the recrystallization temperature ($T_{rec}$) and the stored energy ($\varepsilon$) obtained from the DSC curves at heating rates of 5°C/min for the Mg-1.43Nd alloy after HPT processing up to $1/2$, 1, 5 and 10 turns are documented in Table 1. The recrystallization temperature is conventionally defined as the temperature at which the material is 50% recrystallized [57]. The stored energy, $\varepsilon$, released during recrystallization in the DSC experiments corresponds to the area under the recrystallization peak in Fig. 2. There is an obvious shift of the recrystallization temperature to lower temperatures in Fig. 2 and 3 with increasing numbers of HPT turns. It is also apparent that the stored energy increases from 1/2 to 1 HPT turn and then decreases between 1 and 10 HPT turns. Such evolution of the stored energy versus strain is consistent with other observations where high purity copper and a ZK60 alloy were subjected to 4 and 8 passes of ECAP, respectively [58,59].

Based on the data presented in Fig. 5 and Table 1, the Mg-1.43Nd alloy exhibits a higher activation energy for recrystallization and lower stored energy after the early stage of HPT at 1/2 turn. Therefore, the recrystallization process is less impeded upon increasing the strain level by the introduction of large amounts of defects and recrystallization sites. In Table 1, it is apparent also that there is an unusual evolution of the stored energy versus the strain level. Thus, there is an obvious shift of the recrystallization temperature to lower temperatures with increasing numbers of HPT turns. Typically, published data reveal a gradual increment of the stored energy up to a certain strain level, and thereafter the stored energy appears to level off to a reasonably saturated value [39,60–62]. The stored energy introduced by SPD is known to increase the driving force for nucleation of new strain-free grains so that nucleation is then achieved at lower temperatures and therefore the activation energy of recrystallization is reduced [63]. Nevertheless, in the material used in this investigation it appears that a mechanism for the formation of new grains through dynamic recrystallization, which thereby decreases the overall stored energy, is the key to attaining a full understanding of the observed behavior.

It is interesting to note that such behavior was already reported in a ZK60 alloy processed by ECAP for up to 4 passes at 250°C [64]. It is reasonable to anticipate that HPT processing at room temperature may effectively cause dynamic recrystallization since it introduces far more defects than processing.

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**Table 1 – Onset peak ($T_0$), offset peak ($T_{max}$), recrystallization temperatures ($T_{rec}$) and stored energy ($\varepsilon$) obtained from the DSC curves at heating rates of 5°C/min for Mg-1.43Nd alloy after HPT processing up to $1/2$, 1, 5 and 10 turns.**

<table>
<thead>
<tr>
<th>HPT turn</th>
<th>$T_0$ (°C)</th>
<th>$T_{max}$ (°C)</th>
<th>$T_{rec}$ (°C)</th>
<th>$\varepsilon$ (J/g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/2</td>
<td>114.2</td>
<td>166.1</td>
<td>144.6</td>
<td>1.64</td>
</tr>
<tr>
<td>1</td>
<td>119.0</td>
<td>169.0</td>
<td>142.8</td>
<td>1.95</td>
</tr>
<tr>
<td>5</td>
<td>121.3</td>
<td>158.0</td>
<td>140.6</td>
<td>1.72</td>
</tr>
<tr>
<td>10</td>
<td>121.8</td>
<td>158.1</td>
<td>138.9</td>
<td>1.33</td>
</tr>
</tbody>
</table>
by ECAP even at warm temperatures and equivalent strains [65]. The proportion of high-angle grain boundaries (HAGBs) increases as recrystallization occurs and this latter is related to the formation and migration of HAGBs driven by the stored energy [64,66]. In order to more fully confirm these suggestions, it will be necessary to conduct a systematic analysis of the microstructural evolution using an EBSD technique.

5. Conclusions

1 High pressure torsion (HPT) was applied to an Mg-1.43Nd alloy up to 10 turns at room temperature and the recrystallization temperatures and activation energies for recrystallization were estimated using differential scanning calorimetry.

2 The results show that the recrystallization phenomenon follows a complex sequence of precipitation reactions. The recrystallization temperature increases with increasing heating rate and decreases with increasing numbers of HPT turns. The activation energy for recrystallization is in the range from \( \sim 80 \) to \( \sim 89 \) kJ mol\(^{-1}\) and decreases with increasing turns.

3 It is shown that processing by severe plastic deformation using HPT lowers the recrystallization temperature and leads to a decreasing stored energy with increasing turns.

Conflict of interest

None.

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