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14

15 **Assessing past water availability using phytoliths from the C₄ plant *Sorghum bicolor*: an**
16 **experimental approach**

17

18 **Keywords:** Phytoliths; *Sorghum bicolor*; C₄ plants; Irrigation; Water Availability; Jordan

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20 **Highlights:**

- 21 • Sensitive:fixed phytolith ratios in sorghum were affected by water availability
- 22 • Sensitive:fixed phytolith ratios were greatest in sorghum husks
- 23 • Sensitive:fixed phytolith ratios in sorghum were higher than in C₃ plant husks

24 **Abstract**

25 Water availability and water management systems were critical for the success of past
26 agricultural societies. One way to determine past water availability is through phytolith ratios

27 as demonstrated by research conducted on modern C₃ plants. In order to determine if
28 phytolith ratios in C₄ plants are similarly affected by plant water availability, the C₄ plant
29 *Sorghum bicolor* was experimentally grown at three different crop growing stations over a
30 two year period in Jordan. The husks, leaves and stems of the plants grown under the 0% and
31 100% irrigation regimes were processed and analysed for their sensitive to fixed form
32 phytolith ratio. These results were then compared to results of those conducted using C₃
33 plants. Our results showed that while there were differences in ratios between growing years
34 and the crop growing site, the greatest difference in the ratios was in irrigation regime. Our
35 results also showed, however, that the ratio of sensitive to fixed forms for the samples taken
36 from the husks was far higher than the ratios found in the leaves and stems and far higher
37 than those found in previous studies on C₃ grasses. We suggest that if this method is to be
38 used to interpret archaeological phytolith assemblages, an assessment of the likely taxa and
39 plant part composition of the assemblage should first be undertaken through phytolith and
40 macro-botanical analysis.

41

42 **1. Introduction**

43 Water availability and water management systems were critical for the success of early
44 agriculture and the development of complex societies. Crop failure due to insufficient rainfall
45 and flooding (both too little and too much) would have been a problem in many global regions
46 and as such some form of irrigation and water management, including drainage systems, must
47 have been employed to safeguard against this. These water management features are,
48 however, difficult if not impossible to recognise in the archaeological record, particularly from
49 prehistoric periods, when many of these would have been ephemeral and as such would not
50 have survived. Even the larger more elaborate features such as ridged fields and dams may no
51 longer be visible in the archaeological record due to their erosion through time. This leaves a
52 critical lack of understanding in our knowledge of how our ancestors managed to successfully
53 transition from hunter-gatherers to farmers and, in later times sustain large populations in
54 complex societies, often against the backdrop of challenging climates and environmental
55 conditions (see Mithen 2012 for a discussion of past water management).

56 One of the most effective ways to determine if water management systems were used to grow
57 crops is by looking directly at the botanical remains themselves. This way if other
58 environmental proxies suggest that environmental conditions were arid or semi-arid during
59 the period of occupation, but the botanical remains indicate that the crops received adequate
60 amounts of water, then it is possible to infer deliberate water management rather than
61 favourable environmental conditions.

62 It was initially suggested that grain size could be used to assess past crop water availability.
63 Helbaeck (1960) used the size of charred flax seeds to determine how much water the flax
64 received during growth while Mabry et al. (1996) used wheat grains from Tell Handaqq to
65 infer irrigation. This method can be problematic, however, because taphonomic processes
66 such as charring can distort the size and shape of seeds (Märkle and Rösch 2008). Another
67 method which uses macro-botanical remains directly is the FIBS (Functional Interpretation of
68 Botanical Surveys) approach. This relies on the analysis of the accompanying crop weeds to
69 infer past water availability (e.g. Jones et al. 1995; Charles et al. 2003). Another more recent
70 approach which also relies on macro-botanical remains is the use of carbon isotope stable
71 discrimination ($\Delta 13C$). This method was pioneered by Araus et al. (Araus and Buxó 1993;
72 Araus et al. 1997; Araus et al. 2003; Araus et al. 2007) and has been used effectively by other
73 researchers-for example: Caracuta et al. (2015); Ferrio et al. (2005), Fiorentino et al. (2008);
74 Masi et al. (2014); Mora-González et al. (2018); Riehl et al. (2008); Roberts et al. (2011); Flohr
75 et al. (2019). While looking directly at the macro-botanical remains from archaeological sites
76 may be the most direct to establish how much water the crop received during growth, macro-
77 botanical remains require specific preservation conditions in the archaeological record (e.g.
78 charring, water-logging, desiccation) which does not always occur. As a result, macro-
79 botanical assemblages can often be scarce on archaeological sites, particularly on early
80 archaeological sites or in sites with unfavourable preservation conditions.

81 One method for identifying the level of past crop water availability that does not rely on the
82 preservation of macro-botanical remains is the phytolith water availability index (Madella et
83 al. 2009; Jenkins et al. 2011; Weisskopf et al. 2015; Jenkins et al. 2016). Phytoliths are
84 microscopic structures which are mainly composed of silicon dioxide, also known as silica
85 (SiO_2), which is absorbed by the plant in a soluble state from the ground water during
86 transpiration (Piperno 2006). In solution, silica usually exists as monosilicic acid (H_4SiO_4) which

87 is transported upwards through the vascular system of the plant. This then gels, solidifies and
88 forms into solid opaline silica ($\text{SiO}_2 \cdot n\text{H}_2\text{O}$) bodies (phytoliths) which form in the cell wall, the
89 cell lumen and the intercellular spaces (Sangster et al. 2001). Silicon (Si) uptake and deposition
90 in plants is not well understood with the first Si transporters being identified in rice (*Oryza*
91 *sativa*) which is a hyper-Si accumulator (Ma 2006). Currently, three main different types of Si
92 transporters are known in rice: Low Silicon 1 (LSi1) which allows the passive transport of Si
93 across the plasma membrane between the apoplast and the plant cells; Low Silicon 2 (LSi2)
94 which facilitates the active transport of Si out of the plant cells (Ma 2006; Tubuna and
95 Heckman, 2015); and Si transporter (Lsi6) which is responsible for xylem unloading (Yamaji et
96 al. 2008). Research is on-going to identify similar Si transporters in other Graminae species
97 for example barley (*Hordeum vulgare*) (Chiba et al. 2009; Yamaji et al. 2012); maize (*Zea mays*)
98 (Mitani et al. 2009); wheat (*Triticum aestivum*) (Montpetit et al. 2012); and sorghum
99 (*Sorghum bicolor*) (Markovich et al. 2015).

100 Because they are made of silica, phytoliths are inorganic and preserve well in the
101 archaeological record. They provide useful information about paleoecology (e.g. Coe et al.
102 2014), past diet, ritual practices (e.g. Power et al. 2014), craft activities (e.g. Wendrich and
103 Ryan 2013) and, as stated above, past water availability in plants (Rosen and Weiner 1994;
104 Madella 2009; Jenkins et al. 2011; 2016; Weisskopf 2015).

105 This latter approach of using phytoliths as an indicator of past water availability was initially
106 proposed by Rosen and Weiner (1994) who found through an experimental study that the
107 number of conjoined cells in multi-celled phytoliths from emmer wheat (*Triticum turgidum*
108 subsp. *dicoccum*) and bread wheat (*T. aestivum*) grown in arid and semi-arid conditions
109 increased with water availability. While this study was pioneering, it was subsequently
110 discovered that taphonomic processes can break down multi-celled phytoliths and reduce the
111 number of conjoined forms thereby questioning the efficacy of this method for phytolith
112 assemblages with unknown taphonomic histories (Jenkins 2009; Shillito 2011a).

113

114 An alternative method for assessing past water availability using phytoliths was later explored
115 with promising results (Madella et al. 2009; Jenkins et al. 2011; Weisskopf et al. 2015). This
116 method involved grouping phytoliths from grasses into forms whose production is genetically

117 controlled, known as *fixed* forms and into forms whose production is environmentally
118 controlled known as *sensitive* forms (Madella et al. 2009; Jenkins et al. 2011; Weisskopf et al.
119 2015). Fixed forms include the short cells such as rondels, saddles, bilobates and crosses and
120 because silica deposition in these cells is genetically controlled they will form phytoliths
121 regardless of how much water is available in the growing medium. In contrast, are the
122 sensitive forms which comprise mainly long cells and the stomata; their silicification is
123 governed by environmental variables a major one being water availability (Blackman and Parry
124 1968, 1969; Kaufman et al. 1970, 1981; Piperno 2006).

125 Madella et al. (2009) first suggested using phytolith ratios as a method for identifying past
126 water availability. In this study, five cereals were grown under two different climatic
127 regimes: Middle Eastern and Northern European. Middle Eastern conditions were simulated
128 using a growing chamber with controlled light, heat and day length while the Northern
129 European climatic conditions were represented by open fields in Cambridge (Madella et al.
130 2009). The cereals used in this experiment were: bread wheat (*T. aestivum*), emmer wheat
131 (*T. dicoccum*), spelt wheat (*T. spelta*), two row barley (*H. vulgare*) and six row barley (*H.*
132 *distichon*). These were grown under two different irrigation regimes wet and dry. Phytoliths
133 from the leaves of all plants were analysed, while phytoliths from the stems were also
134 analysed for emmer and spelt wheat (Madella et al. 2009). The results showed that there
135 was a slight increase in the ratio of sensitive to fixed forms under the wet regime compared
136 to the dry regime in the samples studied with the exception of the leaves of the six-row
137 barley (Madella et al. 2009; see Table 1 this study for the means of the sensitive to fixed
138 forms from Madella et al. 2009).

139 Another experimental study involved growing native land races of durum wheat (*T. durum*)
140 and six-row barley (*H. vulgare*) in three different crop growing sites in Jordan for a three year
141 period. The crops were subjected to different irrigation regimes: (1) no irrigation- 0% of crop
142 water requirements (CWR); (2) under irrigated – 80% of CWR; (3) irrigated – 100% of CWR;
143 and (4) over irrigated – 120% of CWR; a fifth regime, 40% of CWR, was added in the second
144 and third growing season (Jenkins et al. 2011; 2016). Rainfall, pan-evaporation, soil conditions,
145 and plant available Si were monitored and phytoliths from the husks (inflorescence bracts
146 comprising glumes, lemmas and paleas) were analysed for sensitive to fixed phytolith ratios.
147 These results showed that that when rainfall was between 100 millimetres and 250

148 millimetres per annum a ratio of sensitive to fixed forms of >1 meant that irrigation could be
149 predicted with 80% confidence. When rainfall was less than 100mm, the explanatory power
150 was greater with a ratio of >0.5 meaning, irrigation could be predicted from the phytolith
151 ratios with 99% confidence (Jenkins et al. 2016).

152 Weisskopf et al. (2015) used this method to identify the growing conditions of rice during
153 the Neolithic in the Lower Yangtze valley, China. They took soils samples for phytolith
154 analysis from modern rice fields in India which comprised the phytoliths not just from the
155 rice but also from the accompanying arable weeds. These fields were categorised according
156 to water availability as: 1) dry, rain-fed, and margin of wetland; 2) very wet, in standing
157 water throughout most of the growing season; or 3) intermediate (Weisskopf et al. 2015).
158 They found that the percent of sensitive to fixed forms could be used to monitor wetter and
159 drier growing conditions in the modern assemblages. They then applied this method to
160 archaeological sites in China and were able to identify changes in rice cultivation from
161 flooded and drained fields to intensively irrigated paddies (Weisskopf et al. 2015).

162 While these studies highlight the efficacy of using the phytolith water availability index on
163 assemblages from C₃ plants- wheat, barley and rice, aside from any C₄ arable weeds that
164 may have become incorporated into the soil samples from the fields analysed by Weisskopf
165 et al. (2015), there has been no analysis conducted on C₄ plants directly. Generally, plants
166 can be classified according to their carbon fixation pathway. The C₃, Calvin-Benson, pathway
167 is so-called because the first molecule produced in the cycle is a 3-carbon molecule. This
168 pathway is typical of temperate species including most trees and shrubs, many fruits and
169 vegetables and a large proportion of the cereals of economic importance such as wheat,
170 barley, oat, rye and rice (Boutton 1991; Sage et al.1999; 1999, Sealy 2001). The C₄, or Hatch-
171 Slack, photosynthetic pathway is so –called because the first step of photosynthesis in this
172 pathway involves fixation of Carbon dioxide (CO₂) in the mesophyll cells to form a 4-carbon
173 acid. This pathway is mainly comprised of plants adapted to warm and (semi-) arid
174 environments (Boutton 1991a) although they are also found in wet conditions provided that
175 there is ample warmth and light. C₄ plants which are of economic importance include maize,
176 millet and sorghum (Sage et al. 1999).

177 It is unclear how results from the application of this index to C₄ plants would compare to
178 results obtained from C₃ plants. This is unfortunate because, as stated above, there are C₄
179 species of economic importance and, moreover, archaeobotanical assemblages can be
180 comprised of mixed C₃/C₄ plant assemblages so assessing the validity of this approach in C₄
181 plants is vital if this method is to be applied to archaeological assemblages with confidence.

182 In order to address this problem, we conducted a two year crop growing experiment using
183 *Sorghum bicolor* (sorghum) to determine if phytoliths from a C₄ plant can be used to assess
184 past water availability and how these results compare to those from C₃ plants. *S. bicolor* is in
185 the subfamily Panicoideae of the Poaceae family, and is believed to have been domesticated
186 in Eastern Sudan around 3000 BC (Beldados et al. 2015; Fuller and Stevens 2018). From here
187 it spread to south Asia at around 2000 BC and to the Niger Basin in West Africa sometime
188 after 1000 BC. Along with pearl millet, sorghum is one of the two main cereal crops, and the
189 most productive rain fed cereal crop, to originate in Africa (Fuller and Stevens 2018). As such
190 this species was chosen for this experiment due to its great economic importance.

191

192 **2. Materials and method**

193 **2.1 Crop Growing**

194 *S. bicolor* was experimentally grown as part of the University of Reading's *Water, Life, and*
195 *Civilisation project* in collaboration with NCARE (National Centre for Agricultural Research and
196 Extension, Jordan). This crop growing experiment was a follow on project to the experimental
197 growing of wheat and barley reported in Jenkins et al. (2011, 2016). The sorghum was
198 purchased at an Amman market and was grown at three different crop growing stations, two
199 of which were the same as those used in the previous study: Deir 'Alla (DA) which is in the
200 Jordan valley and is 200 m below sea-level and Ramtha (RA) which is located in the north of
201 Jordan on the Jordanian plateau at an altitude of 510 m. The third site used was a farm near
202 Salt (SF) which is also located on the Jordanian plateau at an altitude of c 820 m (Figure 1).
203 This latter site was used instead of Kherbet as-Samra which was the third crop-growing site
204 included in the study reported in Jenkins et al., (2011, 2016). This was because Kherbet as-
205 Samra was being discontinued as an NCARE crop growing site at this time.

206 *S. bicolor* was grown over two years from 2009 to 2010 and was sown in April and harvested
207 in September/October. In the first year there were not enough grains and so new seeds had
208 to be acquired the following year which appeared to belong to a different, taller variety of *S.*
209 *bicolor* (Flohr, 2012; Flohr et al. 2019). The crops were grown in 5 x 5 m plots, with 1.5 m in
210 between each plot. Different irrigation regimes were employed: (1) no irrigation-0% of Crop
211 Water Requirements (CWR); (2) under-irrigated-80% of CWR; (3) irrigated-100% of CWR; (4)
212 over-irrigated-120% of CWR. The calculation for irrigation levels was based on knowledge of
213 crop water requirements estimated by using Class A – Pan Evaporation readings (Allen et al.
214 1998).

215 Water was implemented by a drip irrigation system with a 60 cm spacing between water pipes
216 and a 40 cm spacing between the drippers on each pipe (Jenkins et al. 2011). Each irrigation
217 plot had eight lines. The water used for irrigation was treated wastewater at Ramtha, a
218 mixture of treated wastewater and fresh water at Deir ‘Alla and fresh water at Salt. No
219 additional fertilisers or pesticides were applied. There was no rainfall at any of the sites over
220 the three years because the crops were grown over the summer months. Crops were
221 harvested in 50 cm intervals diagonally across the plot from the outside corner to the middle
222 of the plot at 0-50 cm, 50-100 cm, 100-150 cm, 150-200 cm, 200-250 cm and 250-300 cm
223 spacings. This was done to avoid edge effect (where plants on the edge of plots receive more
224 water and nutrients from the ground because of the decreased competition from other
225 plants). After harvesting, the crops were stored in paper bags and exported to the UK for
226 analysis.

227

228 **2.2 Phytolith Processing and Counting**

229 All phytolith processing was undertaken at Bournemouth University, UK. Plants from the 0%
230 and 100% irrigated plots only were analysed with the other irrigation regimes being omitted.
231 This decision was made because it was deemed to be more beneficial to analyse all plant parts
232 (husks, leaves and stems) than to include the 80% and 120% irrigation samples and time
233 constraints did not allow us to do both. Where possible, 15 samples were taken from across
234 each of the different plots (each year, site and irrigation regime): five from the husks, five
235 from the stems and five from the leaves. While all of the 100% irrigated samples had husks

236 only the 0% irrigated plants from Deir 'Alla had developed inflorescences and therefore only
237 the husks from 'Deir Alla were analysed. Phytolith extraction followed the dry ashing method
238 (Table 2) and weight percent of phytoliths was calculated by expressing the weight of
239 phytoliths to original plant matter processed (phytolith weight % = weight of
240 phytoliths/weight of plant matter processed x 100).

241 Slides were counted using a Meiji MT4300 Infinity polarising microscope at x400
242 magnification with an attached Canon camera. Phytoliths were grouped according to the
243 mechanism of silification in their production:

- 244 • *Fixed forms* (short cells): silification is under genetic control (saddle, bilobate,
245 polylobate, cross)
- 246 • *Sensitive forms* (long cells and stomata cells): silification is under environmental
247 control (elongate smooth, elongate sinuate, elongate dentate, elongate dendritic,
248 stomata) (Madella et al. 2009; Weisskopf et al. 2015).

249 Four hundred phytoliths were counted per slide equalling a total of 57,200 phytoliths. Figure
250 2 shows images of the phytolith forms found in this analysis.

251

252 **2.3 Statistical Analyses**

253 All statistical analyses was conducted using R. The sensitive to fixed phytolith ratio was
254 calculated and a log₁₀ transformation was used as a dependent variable in the analysis. The
255 log₁₀ transformation allowed the data to meet the assumptions of parametric statistics
256 through examination of residual and normality plots as per Zuur et al. (2009). A three-way
257 ANOVA design with all interactions was conducted using 'Year', 'Site' and 'Irrigation' as fixed
258 factors in the analysis. Tukey tests were also employed to find out which site means (Deir
259 'Alla, Ramtha, Salt) (compared with each other) were significantly different.

260

261 **3. Results**

262 Our results show that there were significant differences in the mean ratio of sensitive to
263 fixed phytolith forms between crop growing years (explaining 2.73% of variability in the
264 data) and between crop growing sites (explaining 5.22% of variability in the data - Figure 3;
265 Table 3). Tukey tests indicated that Salt is significantly different to Deir 'Alla ($p<.0.05$), that
266 Ramtha is borderline significantly different to Deir 'Alla ($p=0.072$) and that Ramtha is not
267 necessarily different to Salt. Our results also show, however, that these differences are far
268 less important than the difference between the irrigated and unirrigated plants (explaining
269 34.95% of variability in the data - Figure 4; Table 3). Figure 4a compares the mean of the
270 sensitive to fixed forms for the unirrigated and irrigated samples for all plant parts
271 combined. From this it is apparent that the irrigated samples have a much higher mean ratio
272 (4.5) than the unirrigated samples (0.6) but it also clear that when the data are analysed by
273 individual plant part; i.e. husk, leaf and stem, that the husks have a much higher ratio of
274 sensitive to fixed forms for both the irrigated and the unirrigated samples than the leaves
275 and stems (Figure 4b-d). This is in-line with the results for the weight percent of phytoliths
276 to original plant matter which demonstrates that in both 2009 and 2010 the weight percent
277 for the husks was higher than for the leaves and stems suggesting that the uptake of silica
278 into the husks is greater than in the leaves and stems (Figure 5A and B). When this,
279 however, is compared to the *T. durum* which was experimentally grown at Kherbet as Samra
280 from 2004 to 2005 as part of the experiments outlined in Jenkins et al. (2011; 2016) we see
281 that the results are not in accord (Figure 4C). From this figure it is clear that for wheat it is
282 the leaves that have the highest weight percent not husks. Overall, our results show that *S.*
283 *bicolor* phytolith assemblages with a mean ratio of sensitive to fixed forms >1 are likely to
284 have been irrigated while those with ratios <0.5 are unlikely to have been irrigated. Our
285 results, however, also show that the plant part in which the phytoliths formed is critical and
286 greatly affects results.

287 Table 1 compares these results to results from previous studies of phytolith ratios in
288 irrigated and unirrigated plants (Madella et al. 2009; Jenkins et al. 2011, 2016; Weisskopf et
289 al. 2015). From this it is clear that the ratio of fixed to sensitive forms for *S. bicolor* is higher
290 than the ratios found in the husks for *H. vulgare* and *T. durum* for both the irrigated and
291 unirrigated plants. In fact, the ratio of sensitive to fixed forms from the husks of the irrigated
292 *H. vulgare* (3.33) is comparable to the ratio found in the husks from the unirrigated *S.*

293 *bicolor* (3.86). It also demonstrates that there is much variability in the range of ratios with
294 the ratio of sensitive to fixed forms from the husks of the unirrigated *T. durum* (1.25) being
295 similar to the irrigated ratio from the leaves of *S. bicolor* (1.37) (Table 1). Furthermore, it is
296 apparent from this table that while the results from the *S. bicolor*, those taken from Jenkins
297 et al. (2016) and in Weisskopf et al. (2015) are all broadly comparable the results reported in
298 the Madella et al. (2009) study are, with the exception of the *H. distichon* leaf, lower than
299 those found in the other studies (Table 1).

300

301 **4. Discussion**

302 Our results found that while 'site' and 'year' had some effect on phytolith ratios 'irrigation'
303 was the variable with by far the strongest explanatory power, indicating that water availability
304 is the most important factor in determining the ratio of sensitive to fixed forms in *S. bicolor*.
305 It is not clear what causes the inter-site differences observed but it is likely due to an
306 interaction of different environmental factors. All three sites have different micro-climates,
307 soil chemistry, soil and water salinity levels, humidity levels, and evaporation rates, all of
308 which could have affected water uptake and phytolith production. The inter-play of such
309 variables is difficult to untangle in the experimentally grown crops as demonstrated in earlier
310 studies (Jenkins et al. 2011, 2016) and would be impossible to assess in archaeological
311 assemblages.

312 Similarly, the inter-annual variation in the mean between the two growing years could have
313 been caused by a combination of genetic and environmental factors. Two different varieties
314 of *S. bicolor* were sown in the two years, with the one in 2010 belonging to a taller variety
315 than the one in 2009. The 2009 variety could have been more easily affected by differences
316 in temperature between the sites or the 2010 variety could have grown larger roots, thereby
317 accessing water from neighbouring plots, leading to less water stress than experienced by the
318 2009 variety (Flohr 2012).

319 The higher proportion of sensitive forms in the husks is interesting and could partly be related
320 to Si uptake into the sorghum husks as suggested by the greater weight percent of phytoliths
321 found in the husks (Figure 4). This is in accord with what is currently understood about Si

322 uptake in the husks of some species. Hutton and Norrish (1974) found that Si is concentrated
323 in the husks in wheat and that they contain about one-third of the total Si in the plant while
324 Handreck and Jones (1968) found that 40% of the Si weight of the wheat they studied is found
325 in the husks. Similarly, Handreck and Jones (1968) showed that, of all plant parts in oat, the
326 husks contained the highest concentration of Si. In rice and barley, it has been suggested that
327 Si is deposited in the husks at the reproductive stage and that a high accumulation of Si in the
328 husk is important for grain fertility. It has been proposed that this is because Si decreases
329 water loss and prevents against pathogens and that Si may be actively redirected to the husks
330 by Si-mediated transporters (Ma and Yamaji 2014). Analysis of wheat grown as part of a
331 previous crop growing experiment that we conducted in Jordan found contradictory results
332 (Jenkins et al. 2011 and 2016). In this experiment we found that in *T. durum* the highest weight
333 percent of phytolith to original plant matter was in the leaves (Figure 4).

334 Previous research suggests that sorghum, and Panicoideae grasses (a C₄ sub-family), in
335 general are more prolific producers of elongate dendritics (sensitive forms) than the C₃
336 grasses (Novello and Barboni (2015). Novello and Barboni (2015) conducted a study
337 evaluating how effective various different African grass species are at producing elongate
338 dendritics. They processed 67 African grass species and their results from the husks of the
339 plants showed that *Sorghum purpureo-sericeum* was the second most prolific producer of
340 elongate dendritics with 52% of the assemblage comprising this form. The most prolific
341 producer, with 77% elongate dendritics, was also a Panicoideae grass- *Sorghastrum stipoides*.
342 In contrast, these species was found to produce few rondels (a fixed form) with c5% or less of
343 the assemblage consisting of this form (estimated from Novello and Barboni 2015, Figure 4).
344 In contrast Albert et al. (2008) found that in domesticated wheat, barley and oat, all C₃
345 grasses, elongate dendritics comprised 7 to 8% of all morphotypes present.

346 The large range in ratios of sensitive to fixed forms inevitably has an impact on the use of this
347 method to identify past plant water availability in archaeological assemblages. There are,
348 however, ways to mitigate the extent of this impact. For some sites and regions one can be
349 geographically and temporally informed of the plants likely to be available. For example if one
350 were analysing a Neolithic southwest Asian site one would not expect to find sorghum which
351 was likely introduced to this region in the Islamic period based on written evidence (Decker,
352 2009; Watson 1983). Analysis of the phytolith assemblage and ideally the macro-botanical

353 assemblage, can provide information about the different taxa in the assemblage and the
354 ratios in which they are found which can be used to conduct informed analysis using the
355 method outlined here. Similarly, the phytolith assemblage could be analysed to determine if
356 all plant parts are present or if crop processing was likely to have taken place off site resulting
357 in a higher proportion of husks compared to leaves and stems (Harvey and Fuller 2005).
358 Furthermore, information about the micro-context and taphonomic processes that have
359 affected the phytolith assemblage and potentially impacted the phytolith record can be
360 obtained from targeted micromorphological analysis of the archaeological contexts from
361 which the phytolith samples were taken (Cabanès et al. 2011; Shillito 2011b). These analyses
362 could then be used to inform interpretation of the ratios of sensitive to fixed forms. In general,
363 it is clear from this and previous studies that the ratio of sensitive to fixed phytolith form
364 ratios does increase with increased water availability and further work is now needed to
365 determine how the ratios of sensitive to fixed forms from other C₄ plant species, particularly
366 in the husks, are affected by water availability.

367

368 **5. Conclusion**

369 This study focused on establishing whether the ratio of sensitive to fixed phytolith forms in a
370 C₄ grass, *S. bicolor*, was affected by plant water availability as has been found to be the case
371 in C₃ grasses (Madella et al. 2009, Jenkins et al. 2011, 2016 and Weisskopf et al. 2015). Our
372 results showed that while there were differences in ratios between crop growing years
373 (explaining 2.73% of variability in the data) and between crop growing sites (explaining 5.22%
374 of variability in the data) the greatest difference was found between the irrigated and
375 unirrigated plants (explaining 34.95% of variability in the data). Results also showed that the
376 difference in the ratios between different parts of the plant- husks, leaves and stems –was
377 great with husks having a far higher ratio of sensitive to fixed forms than the leaves and stems
378 (Figure 2). Furthermore, when these ratios are compared with those from the C₃ grasses
379 included in previous studies, the ratio for the husks was found to be much higher. This has
380 implications for using this method to establish past plant water availability on an
381 archaeological site comprised of a mixed C₃/C₄ species assemblage. This is because the
382 average ratio would be calculated from both the C₃ and the C₄ plants and as such would

383 represent neither pathway (the C₄ plant ratio would be diluted by the C₃ plant ratio and the
384 C₃ plant ratio would be elevated by the C₄ plant ratio). It is suggested that this method is used
385 in an informed manner to interpret past water availability by establishing the likely species
386 and plant part composition of an archaeobotanical assemblage through analysis of its
387 phytolith, and where available, its macro-botanical assemblage. Further work is now needed
388 to establish if this higher husk ratio is consistent in other C₄ species.

389

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405

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579

580 **Captions**

581 Table 1 Mean ratio of sensitive to fixed phytolith forms for studies focused on the
582 development of a phytolith water availability index. Results show means from this research
583 focused on *Sorghum bicolor*; Jenkins et al. 2016 focused on *Hordeum vulgare* and *Triticum*
584 *durum*; Weisskopf et al. 2015 focused on *Oryza sativa* [data generated by Weisskopf and
585 kindly provided by Fuller]; and Madella et al. 2009 focused on *H. vulgare*, *H. distichon*, *T.*
586 *aestivum*; *T. dicoccum*, and *T. spelta*

587 Table 2 Dry ashing method used to extract the phytoliths from the experimentally grown
588 *Sorghum bicolor*

589 Table 3 Three way ANOVA of the log10 of sensitive to fixed phytolith ratio at different sites,
590 irrigation levels and years

591

592 Figure 1 Map showing the locations of the crop growing sites (triangles). The sites included
593 in this study were Deir 'Alla, Ramtha and Salt while the site of Khirbet as-Samra was used
594 instead of Salt in the study reported in Jenkins et al. (2001, 2016).

595 Figure 2 Photomicrographs of phytoliths from the experimentally grown *Sorghum bicolor*: A)
596 rondels (fixed form) from Ramtha 100% irrigated 2009 husk; B) Bilobates (fixed form) from
597 Salt 100% irrigated stem; C) Bilobates (fixed forms) interspersed with elongate dentates
598 (sensitive form) from Deir Alla 100% irrigated stem D) Elongate dentates (sensitive form)
599 interspersed with rondels from Ramtha 2009 100% irrigated stem; E) Elongate dentates

600 (sensitive form) interspersed with rondels (fixed form) from Deir Alla 2009 100% irrigated
601 husks; F) Bilobates (fixed forms) interspersed with elongate dentates (sensitive form) from
602 Ramtha 2009 100% irrigated stem; G) Bilobates (fixed form) interspersed with elongate
603 dentates (sensitive form) Ramtha 2009 100% irrigated stem

604 Figure 3 Mean ratio of sensitive to fixed phytolith forms from the experimentally grown
605 *Sorghum bicolor* with 95% confidence interval A) mean ratio by growing year; B mean ratio
606 by crop growing site

607 Figure 4 Mean ratio of sensitive to fixed phytolith forms with 95% confidence interval for
608 samples from the unirrigated compared to irrigated *Sorghum bicolor*: A) all plant parts; B)
609 husk; C) leaf; and D) stem

610 Figure 5 weight % of phytolith extracted to original plant matter processed by plant part: A)
611 *Sorghum bicolor* (2009); B) *Sorghum bicolor* 2010 and C) *Triticum durum* based on 20 plants
612 grown as part of the experiments reported in Jenkins et al. (2011, 2016).

613