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2 Microstructural and hardness evolution in a duplex

3 stainless steel processed by high-pressure torsion

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16 Abstract: A duplex stainless steel 2205, designated DSS2205 and having a duplex structure 17 comprising ferrite and austenite phases, was processed by high-pressure torsion (HPT) and the 18 microstructural and hardness evolutions were investigated after various HPT revolutions and at 19 different positions within the specimens. The results show that the grain refinement induced by 20 severe deformation processing is different in the ferrite and austenite phases such that the ferrite 21 grains are refined via dislocation subdivision whereas grain refinement in the austenite phase 22 depends mainly on the interaction of dislocations and twin boundaries at relatively low strains. 23 When the numbers of revolutions increases, the grain refinement in austenite restricts the 24 occurrence of deformation twinning so that dislocation slip becomes dominant. During HPT 25 processing, the effect of the phase boundaries on the mechanical properties of the alloy is very 26 significant. The results show the average width between two adjacent phases and the hardness of 27 the alloy are generally consistent with the classical Hall-Petch relationship.

Keywords: duplex stainless steel; Hall-Petch relationship; high-pressure torsion; severe plastic
 deformation; ultrafine grains

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31 **1. Introduction**

32 Bulk ultrafine-grained (UFG) metals with grain sizes $< 1 \mu m$ and nanocrystalline (nc) metals with 33 grain sizes < 100 nm have become especially attractive over the last decade because of their improved 34 strength and ductility [1-7]. In recent years, processing through the application of severe plastic 35 deformation (SPD) has been considered the most effective technique for producing grain refinement 36 in the form of UFG and nc metallic materials. Among the numerous SPD processing techniques 37 currently available, high-pressure torsion (HPT) has been of major interest due to its potential for 38 producing smaller grains [8,9] and higher fractions of grain boundaries having high angles of 39 misorientation [10]. In HPT processing, the sample is generally in the form of a thin disk and it is 40 placed between two massive anvils and subjected to a high applied pressure and concurrent torsional 41 straining [11]. For an ideal rigid cylinder, the shear strain induced by HPT can be calculated from the 42 equation [12]

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$$\gamma = 2\pi N r/h \tag{1}$$

44 where N is the number of revolutions during HPT, r is the distance from the centre of the disk and h 45 is the thickness of the specimens, respectively. From this relationship, two reasonable inferences may 46 be drawn. First, the shear strain and microstructural evolution, and therefore the mechanical 47 properties of the material, should be the same at all points lying at the same distance from the centre 48 of the disk. Second, the shear strain in the centre of the disk is zero and the strain is linearly 49 distributed along all radial directions. In practice, however, there are several experimental results 50 that differ from these conclusions. For example, it was found that a reasonably saturated hardness 51 may be achieved throughout HPT disks, both at the surface [13-16] and internally [17,18], through 52 the application of a sufficiently large shear strain. These apparent differences between theory and 53 experiment have been well explained through the application of strain gradient plasticity modeling 54 to HPT processing [19].

55 In recent years, HPT has been used to process many single phase metallic materials and the 56 corresponding microstructures and property evolutions were carefully investigated. The results 57 showed that there are generally two basic types of SPD-induced grain refinement. For body-centred 58 cubic (bcc) materials, including for example ferritic steel, the refinement in microstructure is mainly 59 dependent on dislocation activities including dislocation accumulation and the tangling and 60 rearrangement of dislocations that subdivide the larger grains into many smaller grains with the 61 formation of dislocation cells and low-angle and subsequently high-angle grain boundaries (GBs) 62 [20-22]. For austenite and similar materials, the mechanism of SPD-induced grain refinement is 63 dominated by twin boundary subdivision and interactions between the twin boundaries and 64 dislocations [23-25]. It was proposed that the grain refinement of each constituent phase in duplex 65 stainless steel was similar to its own refinement mechanism as in the corresponding single phase 66 alloys during high-pressure torsion processing [26]. But nevertheless more information is required to 67 fully document the relationship between the grain refinement mechanism and the numbers of 68 revolutions applied in the HPT processing. Furthermore, although results are available on the grain 69 refinement mechanism in ferrite and austenite, the quantitative relationship between the 70 microstructural evolution and the overall hardness of the alloy has not yet been investigated.

Accordingly, the present research was initiated to evaluate the effect of processing of DSS2205 through different numbers of HPT revolutions and the process of SPD-induced microstructural refinement in austenite and ferrite was investigated systematically at different deformation stages.

74 2. Experimental Materials and Procedures

The chemical composition of the duplex stainless steel (DSS2205) is shown in Table 1. The duplex stainless steel was supplied in the hot-rolled plus normalized state, with hot rolling temperatures of 1050 - 1200°C. In the as-received state, the volume fractions of austenite and ferrite phases were 54.7% and 45.3% respectively. The as-received stainless steel was machined into cylindrical rods having diameters of 10 mm and HPT disks were sliced from these rods with thicknesses of ~1.0 mm and then polished to final thicknesses of 0.85 mm.

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Table 1. Chemical composition of the experimental steel, wt. %

Element	Cr	Мо	Ni	Ν	Mn	С	Si
DSS2205	23.61	3.36	5.12	0.19	1.67	0.019	0.37

82 The HPT processing was carried out under an applied pressure of 6.0 GPa with disks processed 83 through totals, N, of 1/4, 1/2, 1, 5, 10 and 20 revolutions with a strain rate of 1 rpm. This processing 84 was conducted under quasi-constrained conditions [27,28] in which there is a small outflow of 85 material around the periphery of the disk during the torsional straining. After HPT processing, the 86 thicknesses of the specimens were reduced to approximately 0.70 mm. Following processing, the 87 deformed disks were electropolished in a solution of HClO, C2H5OH and H2O and then 88 electrolytically polished in a 10 wt% NaOH solution for examination by optical microscopy (OM). A 89 quantitative characterization of the microstructure was obtained for each condition and at least

- 90 twenty microstructural images were selected randomly for measurements on each specimen. The
- microstructural evolution was evaluated using an OLYMPUS DSX500 optical microscope and an FEI Tecnai transmission electron microscope (TEM). The Vickers microhardness was measured on
- 93 specimens after various values of N and at selected positions on the disks using an HMV-1ADW
- 94 microhardness tester with a load of 50 g and a dwell time for each measurement of 10 s.

95 **3. Experimental Results**

96 3.1. Evolution in microhardness after HPT processing

97 The values recorded for the Vickers microhardness, Hv, are plotted in Fig. 1(a) for various 98 numbers of revolutions from 1/4 to 20 with data for the as-received material shown as the lower line 99 at Hv \approx 315. As anticipated, after HPT processing both the shear strain and the measured hardness 100 increase with increasing distance from the centre of the disk. This general trend is consistent for all 101 numbers of revolutions but after 10 and 20 revolutions the values of Hv tend towards a constant 102 value at distances larger than ~1000 μ m. This effect is illustrated more directly in Fig. 1(b) by plotting 103 the individual hardness values against the number of HPT revolutions at three different distances 104 from the disk centres. The trend towards a saturation value is visible at radial distances of 2500 and 105 4900 µm.



Figure 1. Vickers hardness distributions after HPT processing showing (a) the relationship
between hardness and distance from the disk centre for different numbers of revolutions and
(b) the relationship between hardness and the numbers of revolutions with different distances
from the disk centre.

- 110 3.2. Microstructural evolution after HPT processing
- 111 3.2.1. The gradient distributed microstructure



- 112 **Figure 2.** Micromorphology of the ferrite and austenite phase boundaries (**a**) in the central region
- 113 of the disk and (**b**) 2500 μ m from the centre.

114 Figs 2(a) and (b) show representative microstructures of the ferritic and austenitic phase 115 boundaries at two different magnifications and at two different selected positions after HPT 116 processing through 1/4 revolution, where the light and dark areas represent the austenite and ferrite, 117 respectively. Due to the limited amount of deformation after only 1/4 turn, the microstructure 118 remains coarse in the central region of the disk and the phase boundaries are smooth in appearance 119 as in Fig. 2(a). At a distance from the centre of \sim 2500 µm as in Fig. 2(b), the microstructure is clearly 120 refined even after only 1/4 turn and the phase boundaries are curved due to interactions between the 121 phase boundaries and the dislocations introduced during the HPT processing.



Figure 3. OM images of longitudinal sections from the centre (on left) to the edge (on right) with
different numbers of HPT revolutions after (a) 1/4, (b) 1/2, (c) 5 and (d) 20 turns.

Figure 3 shows OM images from the centre (on left) to the edge (on right) with various numbers of HPT revolutions: thus, the numbers of turns are (a) 1/4, (b) 1/2, (c) 5 and (d) 20. It can be seen that both phases remain essentially as relatively thin strips as the torsional straining proceeds. Using an analogy similar to the significance of the pearlite spacing in medium-high carbon steels, the average width of both of these two phases, χ , may be defined to describe the refinement in the microstructure during the HPT process as given by

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$$\chi = (\chi_{\gamma} + \chi_{\delta})/2$$
 (2)

131 where χ_{γ} and χ_{δ} represent the widths of adjacent austenite and ferrite domains, respectively, and the 132 quantitative average value of χ is recorded in Table 2 at different distances from the disk centres. As 133 presented in Fig. 3(a) for N = 1/4, the distribution of the austenite domains (not the austenite grains) 134 in the central position deviated from the shear direction and the microstructure remained coarse 135 indicating the occurrence of only very limited straining in this region. By contrast, at the edge of the 136 specimen the microstructure was refined so that the values of χ decreased from ~15.06 μ m at the 137 centre to ~4.89 μ m near the edge. When N = 1/2, the shear strain in the centre was significantly 138 enhanced and the microstructure was refined so that the value of χ decreased to ~8.89 μ m. There was 139 similar refinement in the phase domains in other positions in the specimens so that at N = 20 both the 140 austenite and ferrite domains in the centre were further refined and the value of χ was ~4.80 μ m in 141 the central region and \sim 3.42 µm near the edge. It is readily apparent that the microstructures in the 142 centers of the disks were refined drastically when the numbers of revolutions increased. However, 143 the values of χ at the edge remained very similar after 5 and 20 revolutions. It is noted that these 144 micostructural observations are consistent both with the hardness measurements in Fig. 1 and with 145 a comprehensive review of the evolution in hardness in metals processed by HPT [29].

Revolutions Distance (µm)	1/4	1/2	5	20
0-500	15.06 μm	8.89 μm	6.20 μm	4.80 μm
1000-1500	10.81 µm	7.61 μm	5.56 µm	4.82 μm
2250-2750	7.19 μm	5.78 µm	4.87 μm	4.24 μm
3500-4000	5.22 μm	4.99 μm	4.31 μm	3.94 µm
4500-5000	4.89 μm	4.82 μm	3.58 µm	3.42 μm

146 **Table 2**. The values of χ with various revolutions and distances to the centres of the specimens

147 3.2.2. Microstructural evolution in the two constituent phases

148 Since the highest hardness values and the greatest microstructural evolution occurs at the edge 149 of the disks, Fig. 4 shows representative TEM images at the edges of specimens before and after 150 various numbers of revolutions in HPT. These images relate to (a) the as-received material prior to 151 HPT processing, (b) austenite after N = 1/4 turn, (c) austenite and ferrite after N = 1/2 turn, (d) 152 austenite after N = 1/2 turn, (e) ferrite after N = 1/2 turn, (f) austenite after N = 1 turn, (g) austenite 153 after N = 1 turn at a higher magnification, (h) austenite after N = 5 turns, (i) austenite and ferrite after 154 N = 5 turns, (j) austenite and ferrite after N = 10 turns, (k) the electron diffraction pattern from region 155 A in Fig. 4(j), (l) the electron diffraction pattern from region B in Fig. 4(j), (m) austenite and ferrite 156 after N = 20 turns, (n) the electron diffraction pattern from region A in Fig. 4(m) and (o) the electron 157 diffraction pattern from region B in Fig. 4(m).

158 It is readily apparent from Fig. 4(a) that the microstructure before HPT was very coarse for both 159 constituent phases. When the deformation proceeds to 1/4 turn as in Fig. 4(b), there are deformation 160 twins in the austenite which is similar after HPT processing to other materials having low stacking 161 fault energies such as austenitic stainless steel, TWIP steel and Cu-Al alloys [23,25,26,30]. As the 162 revolutions increase to 1/2 turn, the numbers of dislocations near the phase boundaries increase, as 163 in Fig. 4(c), due to the phase boundaries which interfere with dislocation mobility. It is noted after 164 1/2 turn that there are also some tangled dislocations adjacent to the deformation twins in the 165 austenite which suggests the occurrence of a mixed deformation mechanism comprising deformation 166 twinning and dislocation glide, as in Fig. 4(d). In ferrite, due to the higher level of the stacking fault 167 energy and the consequent decrease in the separations between the Shockley partials, many of the 168 deformation dislocations are annihilated by climb or cross slip and others become rearranged into 169 dislocation cells after 1/2 turn as is evident in Fig. 4(e).



171 Figure 4. TEM images at the edge of specimens before and after different numbers of revolutions: 172 (a) the as-received material; (b) 1/4 revolution, austenite; (c) 1/2 revolution, austenite and ferrite; 173 (d) 1/2 revolution, austenite; (e) 1/2 revolution, ferrite; (f, g) 1 revolution, austenite at two 174 different magnifications; (h) 5 revolutions, austenite; (i) 5 revolutions, austenite and ferrite; (j) 175 10 revolutions, austenite and ferrite; (k) electron diffraction pattern from region A in Fig. 4(j); (l) 176 electron diffraction pattern from region B in Fig. 4(j); (m) 20 revolutions, austenite and ferrite; 177 (n) electron diffraction pattern from region A in Fig. 4(m); (o) electron diffraction pattern from 178 region B in Fig .4(m).

179 Continuing the HPT processing to 1 turn, there are shear bands in the austenite and the twin 180 boundaries become less clearly defined as in Fig. 4(f). There are also many cells that are formed near 181 the shear bands as in Fig. 4(g). Increasing to 5 turns, the number of dislocation cells in both the 182 austenite and ferrite phases increase rapidly and their size decreases. A further increase in processing

183 to 10 and 20 turns leads to the formation of numerous high-angle grain boundaries that are formed,

184 as in other SPD processing techniques [31], from the dislocations introduced by the HPT processing.

185 The presence of these ultrafine grains leads to rings in the relevant electron diffraction patterns as

186 shown in Fig. 4.

187 4. Discussion

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188 4.1. The SPD-induced grain refinement mechanism in the austenite and ferrite phases

189 This study reveals that the microstructure of the duplex stainless steel can be significantly 190 refined through HPT processing and this leads to an enhancement in the mechanical properties as 191 measured by the values of the Vickers microhardness. Nevertheless, the results are significant 192 because they show also that the grain refinement mechanism is different in each constituent phase. 193 For the ferrite phase the refinement occurs mainly through the interactions between deformation 194 dislocations. Dislocation cells are visible in Fig. 4(c) and Fig. 4(e). As the deformation continued into 195 10 turns of HPT processing, the size of the ferrite grains sharply decreased to ~ 0.1 - 0.2 μ m (Fig. 4(j)) 196 and high-angle boundaries were formed. During this process, the deformation dislocations which are 197 not annihilated by climb and cross-slip become rearranged into dislocation cells and then transform 198 into grains having grain boundaries with high angles of misorientation [32]. In the austenite in the 199 early stages of the HPT processing, deformation twinning occurs in the coarse austenite grains due 200 to the lower stacking fault energy, as shown in Fig. 4(b). As the numbers of revolutions increases, 201 many dislocations tangle through dislocation slip within the austenite, the interaction between 202 dislocations and twin boundaries may be observed in Fig. 4(d). As the deformation continues, the 203 grain size of the austenite further decreases as shown in Fig. 4(m), and at the same time the 204 deformation twining in austenite disappears so that dislocation slip dominates the deformation 205 behavior of the austenite. Based on an earlier report [25], a de-twinning process may occur in the 206 austenite where partial dislocations interact with twin boundaries to produce partial dislocations that 207 glide on the twin boundaries. Similar results were also reported in other earlier investigations [33-208 35]. It appears that this mechanism increases the twin boundary spacing and then transforms the twin 209 boundaries into conventional high-angle grain boundaries through dislocation-twin boundary 210 interactions which serve also to refine the austenite grains.

Deformation twining is usually caused by twin dislocation movement when the stress reaches a certain critical value. Therefore, any factors that hinder the movement of the twin dislocations will increase the critical stress for deformation twinning. In a study of the deformation behavior of fine grained TWIP steel, it was considered that the absence of deformation twinning was the result of grain refinement in the alloy [36]. This suggests that the effect of grain size on the critical stress for deformation twining may be expressed through the classical Hall-Petch relationship which is given by [36]

$$\tau_{tw} = \tau_0 + \frac{K_{tw}^{\text{H-P}}}{\sqrt{D}} \tag{3}$$

where τ_0 and τ_{tw} are the critical stress of deformation twining for single crystal and polycrystalline materials, respectively, K_{tw}^{H-P} corresponds to the Hall-Petch coefficient for the deformation twining and *D* is the grain size for the polycrystalline material.

According to eq. (3), the critical stress activating the mechanism of deformation twinning increases directly with a decrease in the grain size and therefore the grain refinement may significantly suppress the formation of deformation twins. In this investigation using HPT processing, the shear strain was enhanced at the edge of the disk and this means the austenitic grains 226 were refined into several hundreds of nanometer even in the early stages of deformation. As a result, 227 the increase in $(\tau_{tw}-\tau_0)$ suppresses the deformation twining and induces the formation of shear bands. 228 Thus, it is concluded that, during the HPT processing of duplex stainless steels, the plastic 229 deformation behavior of the austenite in terms of dislocation slip or deformation twining is affected 230 by the size of the grains. When a relatively high number of revolutions is imposed, the austenite 231 grains are then further refined by the reactions between the twin boundaries and dislocations. It 232 follows therefore that dislocation slip tends to dominate the deformation behavior of the austenite as 233 the deformation continues.

234 4.2. Microstructural refinement induced hardening

As already noted, the size of the phase domains decreases significantly with increasing revolutions during HPT processing, especially in the vicinity of the centres of the specimens. It has been suggested that the hardness of DSS is relatively insensitive to the specific shear strain patterns but instead it is more closely related to the widths of the austenite and ferrite phase domains [37]. Nevertheless, there is an absence of quantitative research supporting this suggestion.

240 Recent observations on HPT processing of bi-metallic laminates like stacked Al/Cu and Al/Ni 241 demonstrated that, in addition to the in-plane shear strain, there is also mass transfer within the 242 samples due to the development of turbulent eddy flows within the sample cross-sections during the 243 HPT processing and this helps to develop numerous fine lamellar Al/Cu and Al/Ni layers [38]. By 244 processing three-layer steel/vanadium/steel sandwich samples by HPT, there was an analogy of the 245 hybrid multilayer structure (steel/vanadium) to grains in a polycrystalline solid and the Hall-Petch 246 relation was used to explain the relationship between the grain size and thermal stability [39]. In the 247 present work, the Hall-Petch relation was also constructed to explain the relationship between the 248 average width of both two phases χ and also the hardness of the material. Considering that the value 249 of χ in eq. (2) decreases when the density of phase boundaries increases, the hardness of materials 250 should increase as the value of χ decreases. This relationship is plotted directly in Fig. 5 and it is 251 apparent that the hardness of specimens and the average width χ of the two phases reasonably fulfill 252 the Hall-Petch relationship, thereby indicating that the hardness of the alloy is quantitatively 253 governed by the value of χ . It is interesting to note that this result provides another example of the 254 use of the Hall-Petch relationship in analyses of ultrafine-grained materials produced using SPD 255 processing techniques [40]. It follows that the effect on the mechanical properties of the increased 256 phase boundaries caused by the refinement in two constituent phases can be explained by the advent 257 of dislocation motion. When the material deforms, the dislocation source continuously releases a 258 large number of mobile dislocations. As the deformation proceeds, the increased phase boundaries 259 lead to a tangling of the dislocations when the dislocations encounter phase boundaries during the 260 HPT process and this significantly improves the hardness of the alloy after deformation. As shown 261 in the TEM images and diffraction patterns in Fig. 4(j-o), the grains in both austenite and ferrite are 262 refined continuously even at relative large strains, whereas it is shown in Fig. 1(a) that the hardness 263 at the edge of the disk remains nearly unchanged after 1 revolution, This indicates that the grain size 264 in each constituent phase is not the dominant factor in dictating the properties of the DSS2205. 265 Instead, it is confirmed from the similarity to the Hall-Petch relationship between the value of χ and 266 the hardness of the alloy as shown in Fig. 5 that the phase boundary has more influence on the plastic 267 deformation behavior and the mechanical properties of DSS2205.





269 5. Summary and Conclusions

(1) The duplex stainless steel DSS2205 was processed by HPT for up to 20 revolutions. With increasing revolutions and increasing distances from the centres of the specimens, microstructural refinement was improved and the hardness of the alloy increased. After 20 revolutions, the uniformity of the microstructure in the phase domains was also improved.

(2) SPD-induced grain refinement in ferrite and austenite differed from each other during the HPT processing. The ferrite grains were refined through an interaction between deformation dislocations but the grain refinement in austenite was mainly dependent on a mixed mechanism including deformation twinning and an interaction of dislocations and twin boundaries in the early stages of deformation. As the revolutions increased, the austenite grain refinement hindered the mechanism of deformation twinning and dislocation slip became dominant.

(3) The phase boundaries had a significant influence on the plastic deformation behavior and
 the mechanical properties of DSS2205. After HPT processing, the hardness of the alloy and the
 average width of the two phase domains were consistent with the predictions of a Hall-Petch
 relationship.

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