

1 **Prevalence of stroke and stroke risk factors in a South-Western community**  
2 **of Nepal**

3

4 Lekhjung Thapa<sup>1\*</sup>, Shakti Shrestha<sup>2\*</sup>, Rashmi Kandu<sup>3</sup>, Mahesh Raj Ghimire<sup>4</sup>, Sulochana  
5 Ghimire<sup>5</sup>, Navin Kumar Chaudhary<sup>6</sup>, Bishnu Pahari<sup>7</sup>, Suman Bhattarai<sup>1</sup>, Ghanshyam Kharel<sup>8</sup>,  
6 Raju Paudel<sup>9</sup>, Pankaj Jalan<sup>10</sup>, Avinash Chandra<sup>11</sup>, Subash Phuyal<sup>12</sup>, Bhojraj Adhikari<sup>13</sup>, Nirmal  
7 Aryal<sup>14</sup>, Om P Kurmi<sup>15,16</sup>

8

9 **Author affiliations**

10 <sup>1</sup>Department of Neurology, Upendra Devkota Memorial National Institute of Neurological and  
11 Allied Sciences, Kathmandu, Nepal;

12 <sup>2</sup>Research Unit, Neuro and Allied Clinic, Bhairahawa, Nepal;

13 <sup>3</sup>Department of Nursing, Neuro and Allied Clinic, Bhairahawa, Nepal;

14 <sup>4</sup>Department of Internal Medicine, Devdaha Medical College and Research Centre, Devdaha,  
15 Nepal;

16 <sup>5</sup>Department of Nursing, Universal College of Medical Sciences, Bhairahawa, Nepal;

17 <sup>6</sup>Department of Microbiology, Chitwan Medical College, Bharatpur, Nepal

18 <sup>7</sup>Department of Nephrology and Transplant Medicine, Grande International Hospital,  
19 Kathmandu, Nepal

20 <sup>8</sup>Department of Neurology, Tribhuvan University Institute of Medicine, Kathmandu, Nepal

21 <sup>9</sup>Department of Neuro Sciences, Grande International Hospital, Kathmandu, Nepal

22 <sup>10</sup>Department of Neurology, Norvic Hospital, Kathmandu, Nepal

23 <sup>11</sup>Department of Neurology, Annapurna Neurological Institute and Allied Sciences,  
24 Kathmandu, Nepal

25 <sup>12</sup>Department of Radiology and Interventions, Grande International Hospital, Kathmandu,  
26 Nepal

27 <sup>13</sup>Department of Medicine, Bharatpur District Hospital, Kathmandu, Nepal

28 <sup>14</sup>Faculty of Health and Social Sciences, Bournemouth University, United Kingdom

29 <sup>15</sup>Division of Respiriology, Department of Medicine, McMaster University, Hamilton, Canada

30 <sup>16</sup>Faculty of Health and Life Sciences, Coventry University, Coventry, United Kingdom.

31

32 **Correspondence to:** Shakti Shrestha, School of Pharmacy, Shakti Shrestha, School of  
33 Pharmacy, The University of Queensland, Pharmacy Australia Centre of Excellence, 20  
34 Cornwall Street, Woolloongabba, QLD 4102, Australia. Email: [shakti.shrestha@uq.edu.au](mailto:shakti.shrestha@uq.edu.au)

35

36 **\*These authors contributed equally to this work.**

37

38 **Abstract word count:** 248

39 **Main text word count:** 2681

40 **ABSTRACT**

41 **Background:** Stroke related studies in Nepal are primarily hospital-based and mainly from the  
42 capital city. We aimed to estimate the prevalence of stroke and stroke risk factors in the South-  
43 Western community of Nepal.

44 **Methods:** A cross-sectional study was conducted from May to August 2018 among 549  
45 randomly selected Nepalese participants from diverse ethnicity, aged  $\geq 15$  years, in a region  
46 with the availability of neurological support facilities. Data were collected using a stroke  
47 questionnaire designed for the purpose. Stroke was identified by enumerators using the  
48 Balance-Eyes-Face-Arms-Speech-Time (BEFAST) scale, and a senior neurologist confirmed  
49 it. We assessed the presence of major risk factors associated with stroke.

50 **Results:** The crude and age-standardised prevalence of stroke were 2368 and 2967 per 100,000  
51 respectively. Of all the surveyed participants, 61% (n=335) reported consumption of full-fat  
52 dairy products  $>3$  days per week, 87.6% (n=481) reported a high intake of salt ( $>5$ g/day),  
53 83.6% (n=459) with a low intake of fruits and vegetables ( $<400$ g/day), 45.2% (n=248) with  
54 perceived stress related to work or home, 51.6% (n=283) with financial stress (283, 51.6%),  
55 86.7% (n=457) with low high-density lipoprotein (HDL), 96.2% (n=507) with high blood urea  
56 nitrogen, 47.1% (n=356) were either overweight or obese 20.4% (n=112) with hypertension  
57 and 6.2% (n=34) with diabetes.

58 **Conclusion:** The prevalence of stroke in the community of the South-Western part of Nepal is  
59 relatively higher than that estimated in South-Asia and global context. Our findings suggest an  
60 urgent community intervention, particularly with healthy lifestyles changes for future stroke  
61 prevention in the high-risk group.

62 **Keywords:** epidemiology, risk factors, Nepal, prevalence, stroke, community

63

64

65 **WHAT IS ALREADY KNOWN ON THIS SUBJECT?**

66 The global prevalence of stroke is rising. Stroke prevalence and risk factors in Nepal are solely  
67 hospital-based and mainly based on its capital city. There are no community level stroke studies  
68 to reflect upon the actual burden of stroke and potential risk factors in the population.

69

70 **WHAT THIS STUDY ADDS?**

71 The stroke prevalence in the study site was lower than the hospital prevalence but higher to  
72 that in the South-Asian and global context. A considerable population without stroke bears  
73 potential risk factors of stroke and demands an urgent community intervention for future stroke  
74 prevention.

75 **INTRODUCTION**

76 The global burden of stroke in 2016 suggests that stroke is associated with approximately one  
77 million deaths and 22 million disability-adjusted life-years (DALYs) in South Asia, of which  
78 nearly 15,000 deaths 330,000 DALYs occurred in Nepal.<sup>1</sup> Stroke incurs a substantial economic  
79 burden to the national healthcare system<sup>2</sup> and is often unaffordable to low-income families,  
80 particularly for those bearing all the treatment costs.

81 Several risk factors, including non-modifiable (age, race) but often modifiable, are associated  
82 with a higher risk of stroke.<sup>3</sup> INTERSTROKE study reported hypertension, physical activity,  
83 apolipoprotein ApoB/ApoA1 ratio, diet, waist-hip ratio, psychosocial factors, current smoking,  
84 cardiac causes, alcohol consumption and diabetes mellitus as ten potentially modifiable risk  
85 factors of stroke.<sup>4</sup> These modifiable risk factors were associated with nearly 90% of the  
86 population attributable stroke risk in each major region of the world, among diverse ethnic  
87 groups, in both sexes and all ages.

88 With the growing evidence on the global burden of stroke and its associated risk factors, it is,  
89 therefore, essential for a country to have reliable data on stroke for its effective management.

90 A systematic review of epidemiologic studies from 1980 to 2010 reported that the stroke  
91 prevalence in South Asia ranged from 45 to 471 per 100,000;<sup>5</sup> however, reliable community-  
92 based data on stroke prevalence from Nepal is lacking. A recent study from a hospital-based  
93 setting in the capital city Kathmandu found that 64 per 1000 patients had the first-ever stroke.<sup>6</sup>

94 Stroke studies so far in Nepal are solely hospital-based, and the majority of them are limited to  
95 the capital city.<sup>7</sup> Together with that, findings reported from clinical settings do not truly reflect  
96 the potential risk factors of stroke in the communities as they are presented with severe cases  
97 only. Therefore, we aimed to determine the prevalence of stroke and its risk factors at the  
98 community level of the South-Western part of Nepal.

99

100 **METHODS**

101 **Study design and population**

102 A community-based cross-sectional study was conducted from May to August 2018 among  
103 those living at their current place of residence for at least six months and was aged  $\geq 15$  years  
104 at the time of the survey. We excluded all those who were non-permanent resident, were living  
105 in a military base or group quarters, hospitalised patients, prisons, and nursing homes.

106

107 **Study site**

108 This study was conducted in the Rupandehi district, South-Western part of Nepal (Fig 1). This  
109 district was purposively selected based on the availability of neurological support facilities  
110 (stroke clinic, neurologist experienced in stroke, stroke officers, stroke nurses, and computer  
111 tomography scan), diversified ethnic population, and neurological-based laboratory facility.

112

113 **Sample size and sampling**

114 A sample size of 600 was estimated based on the prevalence of cerebrovascular accident from  
115 a hospital-based study in non-specialist hospital (2%) in 2009-2010,<sup>8</sup> design effect of 1.5, 95%  
116 confidence interval, 5% error margin and domain size of 12 (area: urban and rural; gender:  
117 male and female; age: 15-44 years, 45-74 years and >74 years) and response rate of 90%. In  
118 Nepal, each district is comprised of two smaller units-urban areas (municipality/sub-  
119 metropolitan city/metropolitan city) and rural areas (villages and rural municipalities) based on  
120 economy, population and infrastructure development.

121 We carried out a multistage stratified-clustered random sampling. Eligible participant from  
122 each home was selected through a simple random sampling method. The required sample size  
123 for each ward or cluster was based on the population proportion of the latest national census  
124 and its predictive census of 2018.<sup>9</sup> Of the estimated 600 participants, only 549 (91.5%)

125 responded and took part in the study. The details of the sampling procedure are provided in Fig  
126 2.

127

### 128 **Survey tool and data collection**

129 The survey tool was developed by reviewing stroke specific literature suitable for our study  
130 (supplemental tool 1).<sup>4 10 11 12</sup> The survey collected information on sociodemography, stroke,  
131 physical activity, diet, alcohol intake, tobacco use, psychological factors, general health,  
132 biochemical parameters, anthropometry, clinical parameters and disease condition. For more  
133 details on the definition of the key parameters of the tool and survey tool, refer to the  
134 supplemental definition 1. Pictorial diagrams were used to acquire information on tobacco  
135 products, and to estimate the serving size of fruits and vegetables. The enumerators were  
136 trained on the aspects of data collection and received additional training on stroke identification  
137 from a stroke team lead by a neurologist.

138

### 139 **Stroke identification**

140 Stroke was identified using the Balance-Eyes-Face-Arms-Speech-Time (BEFAST) scale.<sup>13</sup>  
141 The presence of more than one BEFAST symptoms after the stroke onset was defined as stroke.  
142 Stroke cases identified by the enumerators were considered for neuroimaging. A senior  
143 neurologist, through the home visit and neuroimaging data along with follow-up in the  
144 neurological clinic, ruled out evidence of stroke mimics and verified stroke cases. Cases  
145 without neuroimaging were confirmed based on neurological deficits explained with a cerebral  
146 arterial territory involvement (middle cerebral artery stroke), or involvement of a specific  
147 cerebral location (thalamic stroke). These cases were labelled as an unknown type of stroke.  
148 Enumerators were health care professionals with previous work experience in a neurological  
149 facility. The senior neurologist provided training on stroke identification to the enumerators.

150

151 **Statistical analysis**

152 The data (supplemental dataset 1) were analysed using IBM-SPSS 25.0 (IBM Corporation,  
153 Armonk, NY, USA). The normality test was performed for numeric variables using the  
154 Kolmogorov-Smirnov test ( $P>0.05$ ), and descriptive statistics were determined for all  
155 variables. Crude age-specific prevalence of stroke per 100,000 and age-standardised  
156 prevalence was calculated by direct standardisation method. The 95% confidence interval for  
157 the prevalence was determined using population proportion while the Clopper-Pearson method  
158 using exact binomial distribution was used to calculate the 95% confidence interval for the risk  
159 factors.

160

161 **Ethics**

162 Ethical approval was obtained from the National Institute of Neuro and Allied Sciences-  
163 Institutional Review Committee (IRC #09/018), Kathmandu, Nepal. An initial verbal consent  
164 followed by written consent for interview and blood samples was collected from the  
165 participants. For those who were unable to provide consent for themselves due to medical  
166 reasons, a proxy consent from family/relatives or caregivers were sought.

167

168 **RESULTS**

169 Out of a total of 549 participants, the median(IQR) age of the participants was 40 (19) years,  
170 and 52.1% were female. The majority of the participants were married ( $n=470$ , 85.6%), a  
171 quarter of them had no formal education (136, 24.8%), and the majority of them were currently  
172 unemployed (306, 55.8%).

173 Table 1 depicts the sociodemographic characteristics of the study population (those with and  
174 without stroke). There was no statistically significant difference amongst the two groups (those



175 with and without stroke) on sociodemographic characteristics except for age ( $p < 0.001$ ). The  
 176 median ( $q_1$ ,  $q_3$ ) age in those without stroke was 39 (30, 48) years, while those with stroke was  
 177 60 (52.5, 70) years (refer to supplemental table 1 for age composition of the stroke and non-  
 178 stroke groups). The majority of the participants were from urban areas (93.1% without stroke,  
 179 and 92.3% with stroke).

180

181 **Table 1. Sociodemographic characteristics of the study population**

Characteristics		Study population (n=549)		
		Without stroke (n=536) n (%)	With Stroke (n=13) n (%)	P-value
Age in median ( $q_1$ , $q_3$ ) years		39 (30, 48)	60 (52.5, 70)	<0.001*
Area of residence	Urban	499 (93.1)	12 (92.3)	0.611
	Rural	37 (6.9)	1 (7.7)	
Sex	Male	256 (47.8)	7 (53.8)	0.878
	Female	280 (52.2)	6 (46.2)	
Marital Status	Not married	77 (14.4)	2 (15.4)	1.000
	Married	459 (85.6)	11 (84.6)	
Level of education	No formal schooling	131 (24.4)	5 (38.5)	0.117
	Up to high school	318 (59.4)	4 (30.8)	
	College degree or above	87 (16.3)	4 (30.8)	
Current work status	Employed	83 (15.5)	2 (15.4)	0.505
	Self-employed	155 (29.0)	2 (15.4)	
	Unemployed	298 (55.5)	9 (69.2)	

182 **Note:** All p-values were derived from Chi-square test except for age Mann-Whitney U test was used;

183 \*significant.

184

185 Table 2 shows the age-standardised prevalence of stroke in the sampling population  $\geq 15$  years  
186 using the WHO standardised population. It shows that the crude prevalence was 2368 per  
187 100,000, while the age-standardised prevalence was 2967 per 100,000.

188 **Table 2. Age-standardised stroke prevalence using WHO standardised population**

<b>Age group</b>	<b>WHO standard age (percent)</b>	<b>Study population</b>	<b>Number of stroke cases</b>	<b>Age-specific prevalence per 10<sup>5</sup></b>	<b>Age-standardised prevalence per 10<sup>5</sup></b>
15-19	8.47	45	0	0	0
20-24	8.22	44	1	2273	256
25-29	7.93	40	0	0	0
30-34	7.61	67	0	0	0
35-39	7.15	75	0	0	0
40-44	6.59	98	0	0	0
45-49	6.04	46	1	2174	180
50-54	5.37	35	2	5714	343
55-59	4.55	20	2	10000	624
60-64	3.72	32	1	3125	160
65-69	2.96	13	2	15385	624
70-74	2.21	14	2	14286	433
75-79	1.52	12	2	16667	347
80-84	0.91	4	0	0	0
85+	0.63	4	0	0	0
<b>Crude prevalence per 100,000 (95% CI) = 2368 (1096; 3640)</b>					
<b>Age-standardised prevalence per 100,000 (95% CI) = 2967 (1548; 4386)</b>					

190 We did not identify any new case of stroke during the study period in the sampling population.  
 191 Of the 13 confirmed stroke cases, one had a subsequent stroke within 28 days of the first stroke  
 192 (Table 3). The stroke duration ranged from 1 to 5 years, with a mean  $\pm$  standard deviation of  
 193  $2.3 \pm 1.3$  years. The majority of stroke cases were managed in hospital (11, 84.6%), had an  
 194 ischemic stroke (9, 69.2%), and diagnosed using computer tomography and magnetic  
 195 resonance imaging (9, 69.2%). About two-thirds (n=8, 61.5%) of individuals with stroke were  
 196 physically independent before stroke while the remaining were dependent. The assessment of  
 197 medication information showed that the majority of the stroke patients received  
 198 antihypertensive medications (8, 61.5%). Four out of 13 patients received anticoagulants,  
 199 antidiabetics and lipid-lowering agents while two received antiplatelet agents.

200

201 **Table 3. Information on stroke (n=13)**

<b>Stroke details</b>		<b>n (%)</b>
Presence of stroke		13 (2.4)
Subsequent stroke within 28 days of the first stroke		1 (7.7)
Mean $\pm$ SD duration after the onset of stroke in years (n=12)		$2.3 \pm 1.3$
How was the patient managed in the community?	In hospital	11 (84.6)
	Insufficient data	1 (7.7)
	Other medical consultation	1 (7.7)
What subtype of stroke was diagnosed?	Ischemic stroke	9 (69.2)
	Intracerebral haemorrhage	1 (7.7)
	Unspecified type	3 (23.1)
How was the diagnosis of stroke subtype verified?	Clinical diagnosis alone	4 (30.8)
	By diagnostic technique*	9 (69.2)
What was the physical ability of the patient pre-stroke?	Independent	8 (61.5)
	Dependent	5 (38.5)
What medications had the patient received for treatment and management of stroke?	Anticoagulants (n=7)	4 (57.1)
	Antiplatelets (n=9)	2 (22.2)
	Lipid-lowering medications (n=9)	4 (44.4)
	Antihypertensive (n=10)	8 (80.0)

202 \*Diagnostic technique: Includes computer tomography and magnetic resonance imaging; SD: standard deviation

203

204 Table 4 illustrates the proportions of stroke risk factors in participants who had a stroke versus  
205 no stroke. The baseline characteristics, including the age of the two groups, were different. Our  
206 findings suggest that risk factors associated with stroke and without stroke are common in  
207 Nepalese community. We found no difference in full-fat dairy consumption (stroke: 8, 61.5%  
208 vs non-stroke: 327, 61.0%) among the two groups unlike lower intake of salt (stroke: 10, 76.9%  
209 vs non-stroke: 471, 87.9%) and higher intake of fruits and vegetables (stroke: 12, 92.3% vs  
210 non-stroke: 447, 83.4%) in the stroke group compared to non-stroke. More than one-third of  
211 those without stroke perceived stress at work or home (212, 39.6%) and had at least one life  
212 event (96, 36.8%). Clinical depression was observed in 23.1% (n=3) of those with stroke (3,  
213 23.1%) while in 2.0% (n=16) of those without stroke. The majority of participants without  
214 stroke reported themselves as having good health (487, 91.2%). The biochemical evaluation  
215 indicated that out of 527 participants with biochemical measurement, 86.8% of those without  
216 stroke had low HDL, and 92.3% had high blood urea nitrogen. Similarly, the majority of them  
217 with stroke also had low HDL (84.6%), while all of them (n=13) had high blood urea nitrogen.  
218 About half of the participants (251, 47.3%) without a stroke and over one-third (5, 38.5%) with  
219 stroke were overweight. More than two-thirds of those with stroke (9, 69.2%) had hypertension,  
220 unlike 19.2% (n=103) who did not have a stroke.

221

222 **Table 4. Prevalence of potential risk factors of stroke in those with or without stroke (n=549)**

Potential risk factors		Study population				
		Without stroke		With Stroke		
		n (%)	95% CI	n(%)	95% CI	
Physical activity (n=547)	Insufficient	72 (13.5)	10.7; 16.6	4 (33.3)	9.9; 65.1	
Dietary intake	Unprocessed red meat >3 d/wk	205 (38.2)	84.1; 42.5	2 (15.4)	1.9; 45.4	
	Full-fat dairy products >3 d/wk	327 (61.0)	56.7; 65.2	8 (61.5)	31.6; 86.1	
	High salt	471 (87.9)	84.8; 90.5	10 (76.9)	46.2; 95.0	
	Low fruits and vegetables	447 (83.4)	80; 86.4	12 (92.3)	64.0; 99.8	
Alcohol (n=545)	Consumption	126 (23.7)	20.1; 27.5	0	0; 24.7	
Tobacco use	Cigarette smoking	78 (14.6)	11.7; 17.8	2 (15.4)	1.9; 45.4	
	Smokeless tobacco	97 (18.1)	14.9; 21.6	1 (7.7)	0.2; 36.0	
Psychological factors	Perceived stress at work or home	Some of the time	212 (39.6)	35.4; 43.8	2 (15.4)	1.9; 45.4
		Several periods	31 (5.8)	4.0; 8.1	3 (23.1)	5.0; 53.8
	Financial stress	Minimal	136 (25.4)	21.7; 29.3	1 (7.7)	0.2; 36.0
		Moderate to severe	143 (26.7)	23.0; 30.6	3 (23.1)	5.0; 53.8
	Clinical depression‡	16 (3.0)	1.7; 4.8	3 (23.1)	5.0; 53.8	
	Occurrence ≥1 life event (n=274)	96 (36.8)	30.9; 42.9	13 (100)	75.3; 100	
Health status (n=547)	Good	487 (91.2)	88.5; 93.5	6 (46.2)	19.2; 74.9	
Biochemical measurements (n=527)	Elevated C-reactive protein	40 (7.8)	5.6; 10.4	2 (15.4)	1.9; 45.4	

	Hyperglycaemia	18 (3.5)	2.1; 5.5	3 (23.1)	5.0; 53.8
	High cholesterol	7 (1.4)	0.5; 2.8	0	0; 24.7
	Low HDL	446 (86.8)	83.5; 89.6	11 (84.6)	54.6; 98.1
	High serum creatinine	4 (0.8)	0.2; 2.0	1 (7.7)	0.2; 36.0
	High Blood Urea Nitrogen	494 (96.1)	94.1; 97.6	13 (100)	75.3; 100
Anthropometric measurement (n=544)	Overweight and above	251 (47.3)	43.0; 51.6	5 (38.5)	13.9; 68.4
Disease condition	Hypertension	103 (19.2)	16; 22.8	9 (69.2)	38.6; 90.9
	Diabetes	31 (5.8)	4.0; 8.1	3 (23.1)	5.0; 53.8
	Thyroid disorder	31 (5.8)	4.0; 8.1	0	0; 24.7

223 **Abbreviations:** *CI*: confidence interval; *d*: day; *HDL*: high-density lipoprotein; *wk*: week;

224 **Note:** *95% CI*: Refers to the percentage; *Insufficient physical activity*: Low or no physical activity; *Tobacco use*: Current or past users; *Health status*: Self-assessment of health  
225 status; *Hyperglycaemia*: Non-fasting Blood Glucose Level >200mg/dl; *High cholesterol*:  $\geq 240$ mg/dl; *Low HDL*:  $\leq 40$ mg/dl (Male) and  $\leq 50$  mg/dl (Female); *High serum*  
226 *creatinine*: >1.2mg/dl (Male) and >1.1mg/dl (Female); *High Blood Urea Nitrogen*: >20mg/dl; *Overweight and above*: BMI  $\geq 25$  kg/m<sup>2</sup>; *Clinical depression*: Feeling sad, blue  
227 or depressed for two weeks or more in a row in the past 12 months along with  $\geq 5$  positive responses on questions on losing interest, feeling tired or low on energy, gaining or  
228 losing weight, trouble falling asleep, difficulty concentrating, thinking of death and feeling of worthless; *Hypertension*: Systolic blood pressure >140 mmHg and/or diastolic  
229 blood pressure >90 mmHg, or currently taking any anti-hypertensive medication; *Diabetes and thyroid disorder*: Identified based on the use of antidiabetic and anti-thyroid  
230 medications

231 **DISCUSSION**

232 Our study found that the crude and age-standardised prevalence of stroke  $\geq 15$  years in the  
233 South-Western Community of Nepal was 2368 and 2967 per 100,000 population respectively.  
234 Stroke risk factors were common in the study population irrespective of whether or not they  
235 had a stroke, and the majorities were modifiable. The common risk factors were poor dietary  
236 intake, high prevalence of psychological factors, poor biochemical measurement, high BMI  
237 and presence of co-morbidities.

238 So far, we are not aware of any community or population level study from Nepal that has  
239 reported on the prevalence of stroke. Our finding suggests that the prevalence of stroke in the  
240 South-Western community of Nepal is higher compared to the South Asian estimates (crude  
241 prevalence: 45 to 471 per 100,000 and age-adjusted prevalence: 47-545 per 100,000)<sup>5</sup> and the  
242 global estimates (crude prevalence: 1083.10 per 100,000 and age-adjusted prevalence: 1180.40  
243 per 100,000).<sup>14</sup> We found that most of the stroke cases were ischaemic, while a quarter was  
244 unspecified. Although ischaemic stroke is the most common stroke type worldwide,<sup>4</sup> most  
245 hospital-based studies in Nepal have reported a higher incidence of haemorrhagic stroke.<sup>6</sup> The  
246 case-fatality rate of haemorrhagic stroke is generally higher relative to that of ischemic stroke.<sup>6</sup>  
247 <sup>15</sup> As our study was community-based, it included stroke survivors only, that partly explains  
248 the higher prevalence of ischaemic stroke. In the present study, stroke cases were mostly  
249 managed in the hospital but there were also cases with insufficient data or other medical  
250 consultations. These suggest that not all the cases of stroke in this setting reach the hospital. It  
251 also reflects that only hospital-based prevalence are likely to underestimate the true burden of  
252 stroke. Hence, a combination of case-fatality from hospital and stroke cases from the  
253 community might provide a better estimate of stroke burden.

254 The findings of our study on stroke risk factors are consistent with previous studies that have  
255 reported several non-modifiable and modifiable risk factors associated with stroke.<sup>3,4</sup> Although



256 age was one of the major non-modifiable risk factors of stroke in our study, and has been widely  
257 reported in the published literature,<sup>3</sup> another hospital-based Nepalese study<sup>16</sup> reported an  
258 increase in the prevalence of stroke in adults below 45 years, particularly associated with  
259 lifestyles factors and presence of co-morbidities. Similar risk factors have been observed in a  
260 national survey on non-communicable disease risk factors in Nepal,<sup>17</sup> and a study in the capital  
261 city Kathmandu on cardiovascular risk factors.<sup>18</sup>

262 The dietary intake of the participants from our study showed consumption of red meat, full-  
263 dairy products, high salt intake, low intake of fruits, and vegetables were common among the  
264 study participants. These have been reported to be associated with increased risk of stroke.<sup>19-22</sup>  
265 The findings from our study on salt, fruits and vegetable intake are similar to the result from a  
266 study in a peri-urban community of Nepal.<sup>18</sup>

267 The behavioural risk factors of stroke observed in our study were smoking, consumption of  
268 smokeless tobacco and alcohol consumption. The majority of the participants in our study had  
269 never smoked or used smokeless tobacco. History of cigarette smoking has been commonly  
270 reported on stroke patients from hospitals in Nepal.<sup>7</sup> Previous community-based studies on  
271 cardiovascular<sup>18</sup> and non-communicable diseases<sup>17</sup> in Nepal have reported more current  
272 smokers than the current or past smokers in our study. Nevertheless, even a low consumption  
273 of cigarettes (approximately one per day) carries a risk of developing stroke as high as 50% of  
274 that of high consumption.<sup>23</sup> With regards to alcohol consumption, in the present study we  
275 reported that all those who had stroke did not consume alcohol currently, while one-fourth of  
276 those without stroke were currently consuming alcohol in varying quantities. A review of  
277 hospital-based stroke studies from Nepal had reported variability on alcohol consumption habit  
278 among stroke patients.<sup>7</sup> This reflects that those with stroke might be consuming alcohol in the  
279 past but were aware of the negative consequence of alcohol consumption to their current health.

280 The important psychological risk factors of stroke reported in our study were depression and  
281 stress. Though we reported an overall low prevalence of clinical depression among the study  
282 population, it was prevalent in more than one-fifth of those with stroke. This could be a result  
283 of stroke rather than a direct cause of the stroke, but due to the cross-sectional design of our  
284 study and with small sample size, the causality could not be established.

285 In the present study, the biochemical evaluation showed elevated CRP in some and elevated  
286 BUN in majority of the participants. CRP is a marker of systemic inflammation<sup>24</sup> and studies  
287 in Nepal have shown high CRP in patients with stroke, particularly ischemic stroke.<sup>25 26</sup>  
288 Increased BUN is an indicator of poor renal function,<sup>27</sup> which in turn could be associated with  
289 stroke,<sup>28</sup> though renal impairment is common in hospitalised Nepalese patients with  
290 haemorrhagic stroke.<sup>29</sup>

291 One-fifth of the overall participants and over two-thirds of those with stroke had hypertension.  
292 The overall prevalence of hypertension in our study was 20.4%, which was 5.3% lower than  
293 the finding from a national survey in Nepal.<sup>17</sup> This might be because those aged  $\leq 45$  years  
294 were lower in our population compared to that survey. It should also be considered that those  
295 treated for stroke might have risk factors such as hypertension, diabetes and hyperlipidaemia  
296 modified in hospital.

297 There are many limitations to our study. This study was conducted in the South-Western part  
298 of Nepal and thus may not represent the general population of the country. We only included  
299 participants aged 15 years and above, so our study methodologically excluded stroke cases in  
300 children. The possibility of recall bias among participants in self-reported questions should be  
301 taken into account. Our study does not represent the fatal stroke cases in the hospital. We also  
302 did not have validated stroke-negatives, and as a result of that few stroke cases might have been  
303 missed. Diabetes was self-reported, and not all the cases were diagnosed. One of our limitations  
304 is the small sample size of stroke from a statistical perspective. Many of the age-specific cells

305 are empty and therefore, the precision/stability of estimates could have been impacted due to  
306 small sample size. Therefore, special attention has to be taken into account while interpreting  
307 our findings. Despite these limitations, this study is probably the first attempt in Nepal to  
308 undertake a survey of stroke prevalence and determinants of its risk factors at a community  
309 level. The actual stroke cases and those that were stroke mimics such as Bell's palsy were  
310 verified by a senior neurologist with a home visit and a follow-up visit in a neurological clinic,  
311 consequently assuring high validity of the prevalence.

312 In conclusion, our study suggests that the prevalence of stroke in the community of the South-  
313 Western part of Nepal is relatively higher than other South-Asian countries and a global  
314 estimate. Community awareness on stroke and its risk factors is highly recommended for future  
315 prevention of stroke, and lifestyle changes could be beneficial in this context.

316

#### 317 **ACKNOWLEDGEMENTS**

318 The authors would like to sincerely thank all the members of Neuro and Allied Clinic,  
319 Bhairahawa, and all the participants for their support during the project.

320

#### 321 **AUTHOR CONTRIBUTIONS**

322 Conceptualisation: LT (chief investigator), SS (principal investigator)

323 Data curation: SS, RK, SG, NKC, SB

324 Formal analysis: SS, OPK

325 Investigation: LT, SS, RK, MRG, NKC, GK

326 Methodology: LT, SS, RK, MRG, SG, NKC, BP, RP, BA, NA, OPK

327 Project administration: LT, SS, RK, SG, NKC

328 Resources: LT, SG, MRG

329 Supervision: LT, SG, MRG

330 Writing-original draft: LT, SS

331 Writing-review and editing: LT, SS, BP, SB, GK, RP, PJ, AC, SP, BA, NA, OPK

332

### 333 **DECLARATION OF CONFLICTING INTERESTS**

334 The authors declared no conflict of interest concerning the research, authorship, and/or  
335 publication of this article

336

### 337 **FUNDING**

338 None

339

### 340 **REFERENCES**

- 341 1. Johnson CO, Nguyen M, Roth GA, et al. Global, regional, and national burden of stroke,  
342 1990-2016: a systematic analysis for the Global Burden of Disease Study 2016. *Lancet*  
343 *Neurol* 2019;18(5):439-58. doi: 10.1016/S1474-4422(19)30034-1
- 344 2. Evers SM, Struijs JN, Ament AJ, et al. International comparison of stroke cost studies.  
345 *Stroke* 2004;35(5):1209-15. doi: 10.1161/01.STR.0000125860.48180.48 [published  
346 Online First: 2004/04/10]
- 347 3. Boehme AK, Esenwa C, Elkind MSV. Stroke Risk Factors, Genetics, and Prevention. *Circ*  
348 *Res* 2017;120(3):472-95. doi: doi:10.1161/CIRCRESAHA.116.308398
- 349 4. O'Donnell MJ, Xavier D, Liu L, et al. Risk factors for ischaemic and intracerebral  
350 haemorrhagic stroke in 22 countries (the INTERSTROKE study): a case-control study.  
351 *Lancet* 2010;376(9735):112-23. doi: 10.1016/s0140-6736(10)60834-3
- 352 5. Kulshreshtha A, Anderson LM, Goyal A, et al. Stroke in South Asia: a systematic review  
353 of epidemiologic literature from 1980 to 2010. *Neuroepidemiology* 2012;38(3):123-9.  
354 doi: 10.1159/000336230 [published Online First: 2012/03/22]
- 355 6. Thapa A, KC B, Shakya B, et al. Changing epidemiology of stroke in Nepalese population.  
356 *Nepal Journal of Neuroscience* 2018;15:9.
- 357 7. Shaik MM, Loo KW, Gan SH. Burden of stroke in Nepal. *Int J Stroke* 2012;7(6):517-20.  
358 doi: 10.1111/j.1747-4949.2012.00799.x [published Online First: 2012/06/14]
- 359 8. Bhandari GP, Angdembe MR, Dhimal M, et al. State of non-communicable diseases in  
360 Nepal. *BMC Public Health* 2014;14(1):23. doi: 10.1186/1471-2458-14-23
- 361 9. Central Bureau of Statistics. National Population Census 2011. Kathmandu, 2012.
- 362 10. Rosengren A, Hawken S, Ôunpuu S, et al. Association of psychosocial risk factors with  
363 risk of acute myocardial infarction in 11 119 cases and 13 648 controls from 52  
364 countries (the INTERHEART study): case-control study. *Lancet* 2004;364(9438):953-  
365 62. doi: 10.1016/s0140-6736(04)17019-0
- 366 11. Yusuf S, Hawken S, Ôunpuu S, et al. Effect of potentially modifiable risk factors  
367 associated with myocardial infarction in 52 countries (the INTERHEART study): case-  
368 control study. *Lancet* 2004;364(9438):937-52. doi: 10.1016/s0140-6736(04)17018-9

- 369 12. World Health Organization. WHO STEPS stroke manual : the WHO STEPwise approach  
370 to stroke surveillance / Noncommunicable Diseases and Mental Health, World Health  
371 Organization. Geneva: World Health Organization, 2005.
- 372 13. Aroor S, Singh R, Goldstein LB. BE-FAST (Balance, Eyes, Face, Arm, Speech, Time).  
373 *Stroke* 2017;48(2):479-81. doi: doi:10.1161/STROKEAHA.116.015169
- 374 14. Lindsay MP, Norrving B, Sacco RL, et al. World Stroke Organization (WSO): Global  
375 Stroke Fact Sheet 2019. *Int J Stroke* 2019;14(8):806-17. doi:  
376 10.1177/1747493019881353 [published Online First: 2019/10/30]
- 377 15. Sarbazi E, Sarbakhsh P, Savadi Oskooei D, et al. Factors related to 6-month mortality  
378 after the first-ever stroke. *J Educ Health Promot* 2018;7 doi: 10.4103/jehp.jehp\_190\_17
- 379 16. Pokharel BR, Kharel G, Thapa L, et al. Stroke in Young Patients - A New Trend in  
380 Nepalese Perspective? *J Nutr Disorders Ther* 2015;S1:1-3. doi: 10.4172/2161-  
381 0509.1000S1001
- 382 17. Aryal KK, Mehata S, Neupane S, et al. The Burden and Determinants of Non  
383 Communicable Diseases Risk Factors in Nepal: Findings from a Nationwide STEPS  
384 Survey. *PLoS One* 2015;10(8):e0134834. doi: 10.1371/journal.pone.0134834  
385 [published Online First: 2015/08/06]
- 386 18. Dhungana RR, Thapa P, Devkota S, et al. Prevalence of cardiovascular disease risk  
387 factors: A community-based cross-sectional study in a peri-urban community of  
388 Kathmandu, Nepal. *Indian Heart J* 2018;70:S20-S27. doi:  
389 <https://doi.org/10.1016/j.ihj.2018.03.003>
- 390 19. Kaluza J, Wolk A, Larsson SC. Red meat consumption and risk of stroke: a meta-analysis  
391 of prospective studies. *Stroke* 2012;43(10):2556-60. doi:  
392 10.1161/STROKEAHA.112.663286 [published Online First: 2012/08/02]
- 393 20. Mensink RP, Zock PL, Kester AD, et al. Effects of dietary fatty acids and carbohydrates  
394 on the ratio of serum total to HDL cholesterol and on serum lipids and apolipoproteins:  
395 a meta-analysis of 60 controlled trials. *Am J Clin Nutr* 2003;77(5):1146-55. doi:  
396 10.1093/ajcn/77.5.1146
- 397 21. Strazzullo P, D'Elia L, Kandala NB, et al. Salt intake, stroke, and cardiovascular disease:  
398 meta-analysis of prospective studies. *BMJ* 2009;339:b4567. doi: 10.1136/bmj.b4567  
399 [published Online First: 2009/11/26]
- 400 22. World Health Organization. Global Strategy on Diet, Physical Activity and Health:  
401 Promoting fruit and vegetable consumption around the world 2019 [Available from:  
402 <https://www.who.int/dietphysicalactivity/fruit/en/index2.html> accessed 29/12/2019.
- 403 23. Hackshaw A, Morris JK, Boniface S, et al. Low cigarette consumption and risk of  
404 coronary heart disease and stroke: meta-analysis of 141 cohort studies in 55 study  
405 reports. *BMJ* 2018;360:j5855. doi: 10.1136/bmj.j5855 [published Online First:  
406 2018/01/26]
- 407 24. Di Napoli M, Papa F, Bocola V. C-Reactive Protein in Ischemic Stroke: An Independent  
408 Prognostic Factor. *Stroke* 2001;32:8.
- 409 25. Dewan KR, Rana PVS. C-reactive protein and early mortality in acute ischemic stroke.  
410 *Kathmandu Univ Med J (KUMJ)* 2011;9(36):252-55. doi: 10.3126/kumj.v9i4.6339
- 411 26. Rajbhandari R, Gajurel B, Dhungana K, et al. C Reactive Protein in Acute Ischemic  
412 Stroke Patients in Tribhuvan University Teaching Hospital. *Nepal Journal of*  
413 *Neuroscience* 2014;11:6.
- 414 27. Hosten AO. BUN and Creatinine. In: Walker HK, Hall WD, Hurst JW, eds. Clinical  
415 Methods: The History, Physical, and Laboratory Examinations 1990.
- 416 28. Hojs Fabjan T, Hojs R. Stroke and renal dysfunction. *Eur J Intern Med* 2014;25(1):18-24.  
417 doi: 10.1016/j.ejim.2013.08.710 [published Online First: 2013/09/28]

418 29. Shrestha P, Thapa S, Shrestha S, et al. Renal impairment in stroke patients: A comparison  
419 between the haemorrhagic and ischemic variants. *F1000Res* 2017;6:1531-31. doi:  
420 10.12688/f1000research.12117.2

421

422 **SUPPORTING INFORMATION**

423 **Supplemental tool 1. Stroke Instrument v.1.0**

424 **Supplemental definition 1. Definition of key parameters of the survey instrument v.1.0**

425 **Supplemental dataset 1. Dataset of stroke and stroke risk factors**

426 **Supplemental table 1. Age composition of the stroke and non-stroke groups**