

# 1        **Introducing visual neighbourhood configurations for total viewsheds**

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## 15    **Abstract:**

16    The Visual Neighbourhood Configurations (VNCs) approach is presented: a new approach for  
17    exploring complex theories of visual phenomena in landscapes by processing total viewsheds.

18    Such theories most commonly concern the configuration of visual properties of areas around  
19    locations rather than solely the visual properties of the locations themselves. The typical

20    approach to interpreting total viewshed results by classifying cell values is therefore  
21    problematic because it does not take cells' local areas into account. VNC overcomes this issue

22    by enabling one to formally describe area-related aspects of the visibility theory, because it  
23    formally incorporates the area around a given viewpoint: the shape and size of neighbourhoods

24    as well as, where relevant, the structure and expectation of visual property values within the  
25    neighbourhood. Following a brief review that serves to place the notion of the VNC in context,

26    the method to derive visual neighbourhood configurations is explained as well as the *VNC*  
27    *analysis tool* software created to implement it. The use of the method is then illustrated through

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28 a case-study of seclusion, hiding and hunting locales afforded by the standing stone settings of  
29 Exmoor (United Kingdom).

30 **Keywords:** GIS, landscape archaeology, visibility, total viewsheds, visualsapes, affordance  
31 viewsheds, neighbourhood analysis.

32 **Highlights:**

- 33 • A new approach is presented for the formal representation and evaluation of complex  
34 visibility theories
- 35 • The Visual Neighbourhood Configurations (VNC) approach represents the distribution  
36 of visual properties in a small area as specified by an archaeological theory
- 37 • Total viewsheds are taken as input to the approach and are formally compared against  
38 the VNC representing the archaeological theory
- 39 • A software tool has been developed to implement VNCs with a wide range of analytical  
40 techniques
- 41 • VNCs represent a step towards more complex theoretical formal visibility studies

42 **1. Introduction**

43 Total viewsheds offer a representation of the visual properties inherent in a landscape based on  
44 its topography and are generated by adding up viewsheds generated from all cells (taken to  
45 represent possible viewpoint locations) in a digital elevation model (DEM) (Llobera 2003;  
46 Llobera et al. 2010). The archaeological potential of such analyses has been apparent since the  
47 late 1990s (e.g. Lake et al 1998), but this potential has rarely been explored due to two main  
48 issues: computation time and the tendency to use these summed viewsheds to study a very  
49 limited set of hypotheses. The first issue has now been all but overcome. Computing  
50 technology and open-source software that enable the creation of total viewsheds on acceptable  
51 spatial resolutions within realistic timeframes are commonly available (e.g. Čučković 2016).  
52 The second issue refers to the fact that the vast majority of GIS-based visibility studies in  
53 archaeology concern a calculation of the area visible from a single discrete point or set of such  
54 points in a landscape, rather than formally incorporating the area around a given viewpoint or  
55 even the study area as a whole. This effectively means that we are tied to exploring only one  
56 among a vast number of ways in which visibility could have structured space and affected past  
57 human behaviour. The latter issue is being addressed through an increasing number of  
58 applications of GIS-based visibility analyses that explicitly set out to represent and explore

59 more diverse archaeological hypotheses. Most notable among these are the concepts of the  
60 visualscape and affordance viewshed, which share a focus on the use of GIS approaches as  
61 heuristic tools to study human practices and meanings (e.g. Gillings 2009; 2012; Llobera 1996;  
62 Llobera 2003). In practice these concepts have been used to foreground and explore the  
63 inherent relationality of acts of looking and seeing (e.g. affordance viewsheds) as well as study  
64 the complete set of ways in which visual properties structure environments and how this affects  
65 animal behaviour, most notably humans (e.g. the visualscape). Whilst a number of GIS-based  
66 techniques and applications have been developed to operationalize these concepts, or variants  
67 of them, for exploring different hypotheses (e.g. Eve and Crema 2014; Gillings 2015a; Paliou  
68 et al. 2011; Wernke et al. 2017) these all share a focus on comparing the visual properties of  
69 *specific locations* rather than locations within their local area setting (with the notable  
70 exception of visual prominence (Llobera 2003) which takes an explicitly neighbourhood-based  
71 approach).

72 In this paper we seek to address precisely this issue of discrete viewpoint location through what  
73 we have termed Visual Neighbourhood Configurations (VNCs). These offer a representation  
74 of hypothesised patterns of the visual structure within an area immediately surrounding a  
75 location in the landscape. Following a brief review that serves to place the notion of the VNC  
76 in context, the method to derive visual neighbourhood configurations is explained as well as  
77 the *VNC analysis tool* software created to implement it (Garderen 2017). The use of the method  
78 is then illustrated by revisiting and elaborating on Gillings' (2015a) study of seclusion, hiding  
79 and hunting locales afforded by the standing stone settings of Exmoor (United Kingdom).

## 80 **2. Background**

81 In recent years, the more theoretically informed GIS-based analysis of the visual properties of  
82 landscapes and how they might have affected past human behaviour has focused on the study  
83 of entire landscapes. There are many terms for the body of techniques to perform such analyses:  
84 visibility fields (Eve and Crema 2014), affordance-viewsheds (Gillings 2009), complete-  
85 cumulative viewshed analysis (Lake et al. 1998), visualscapes (Llobera 2003), and  
86 total/inherent viewsheds (Llobera et al. 2010) to name but a few (Gillings 2017: 122-123).

87 Perhaps the most ambitious of these has been Llobera's notion of the visualscape as "the spatial  
88 representation of any visual property generated by, or associated with, a spatial configuration"  
89 (2003, 30). It is a purposefully abstract and generic definition that aims to provide an umbrella

90 term for approaches that seek to study the visual structure inherent in an environment. In  
91 contrast, affordance viewsheds are more targeted, stressing the way in which specific visual  
92 dispositions (e.g. exposure, concealment, surveillance) only emerge relationally, through  
93 specific human-landscape engagements. Rather than latent or inherent, the specific visual  
94 properties of a location “manifest themselves in the context of this specific activity and  
95 assemblage of actants; the same location may afford very different properties to individuals or  
96 animals bound up in other tasks and doings” (Gillings 2015a, 2).

97 Despite differences in their respective heuristic ambitions and the assumptions that underlie  
98 them, with the exception of Llobera’s method for visual prominence, the techniques  
99 operationalising these concepts have focused heavily on the study of the visual properties of  
100 discrete locations rather than how these properties are related to those of locations in their  
101 immediate vicinity. This is evident in the way in which the results of viewsheds are most  
102 commonly discussed: e.g. location  $X$  is visible from  $n$  other locations. Total viewshed results  
103 are likewise explored by identifying blocks of discrete locations with high or low visibility,  
104 and by counting the number of features of research interest (usually humanly-made structures)  
105 located in these areas. This approach is very sensitive to the specific viewshed results at the  
106 locations of research interest and in archaeological visibility studies these locations are often  
107 partly arbitrary: a specific point location is selected to represent a human-made feature,  
108 coinciding with a specific cell on the raster DEM used. This approach is used despite it being  
109 limited by a number of assumptions that are commonly formulated in such studies: the human-  
110 made feature is larger than this cell; an observer would be able to move outside the area of the  
111 cell to observe from different vantage points; the observer has experience and knowledge of  
112 the visual properties of a larger area. The total viewshed results for this cell will also be highly  
113 sensitive to the elevation value of the cell in the DEM and those of the cells immediately  
114 surrounding it. This issue is recognised and is often addressed by representing human-made  
115 features as polygons, such as the boundaries of a site extent, or by qualitatively interpreting the  
116 viewshed result of locations of research interest alongside those immediately surrounding it.  
117 Looking at the latter, it is notable how often it is the wider spatial context that is emphasised.  
118 For example, viewpoints are said to occupy highly visible parts of the landscape or are said to  
119 have been placed in areas that offered expansive views.

120 This paper proposes a method for incorporating the broader area around a given viewpoint  
121 formally. This method has the benefits of (1) being able to express a more diverse range of  
122 spatial configurations that capture hypothesised ways in which the relationship between a

123 location and its immediate surroundings matter with respect to their visual properties, and (2)  
124 allowing modification and refining of the variables used to express these configurations in a  
125 formal and controllable way. It achieves this by illustrating a systematic application of the  
126 visualscape and affordance-viewshed concepts by formally representing and exploring a wide  
127 range of hypotheses concerning the structuring of space through visual patterning and how this  
128 affected past human behaviour. In addition, it significantly expands the toolkit operationalising  
129 these concepts through the proposal of what is termed the VNC approach.

### 130 **3. Method: Visual Neighbourhood Configurations**

#### 131 **3.1. Intuition**

132 As noted, viewshed results are most commonly interpreted on a location by location basis  
133 through a qualitative comparison of the results of individual locations with those in their  
134 immediate surroundings. Whilst the visual envelope of a single dwelling may be deemed  
135 significant (e.g. Bender et al. 2007, 51-53) more often it is the visual properties of the area  
136 surrounding a specific location that are more relevant to an archaeological theory than those of  
137 the location itself. If one assumes, for example, that settlements are preferentially located in  
138 parts of the landscape which are highly visible, it is not necessarily the visibility of the exact  
139 location of the settlement that is important, but rather the overall visibility of the area in which  
140 it is embedded.

141 We propose Visual Neighbourhood Configurations (VNCs) as an approach to formally  
142 expressing hypotheses about the way in which a particular visual property structures space in  
143 a small area. A VNC specifies the size and shape of the surrounding area (i.e. the  
144 neighbourhood) that is taken into account when analysing a specific location. A structure,  
145 subdividing the neighbourhood into smaller areas for which different visual properties are  
146 assumed, and expected visual property values for specific locations within the neighbourhood  
147 can also be incorporated in the VNC to explore more complex assumptions. Subsequently a  
148 total viewshed of the study area can be analysed with respect to the VNC, computing for each  
149 location a value that reflects the visual properties of the neighbourhood (see Figure 4 for an  
150 example of the VNC analysis process). Archaeological assumptions can then be evaluated by  
151 comparing the resulting values of the locations of known settlements or other archaeological  
152 features to those in areas where no such features are located.

153 Consider for example the assumption that settlements are located in areas that are not very  
154 visible, but close to areas that are highly visible. A VNC can be created that expresses this  
155 spatial distribution of low visibility directly surrounding a focal location and higher visibility  
156 in areas close by. Analysing a total viewshed with respect to this VNC reveals for each location  
157 how well it fits that assumption. This result can be used to evaluate whether settlements are  
158 indeed found in locations that fit the assumption better than other locations.

### 159 3.2. Definition

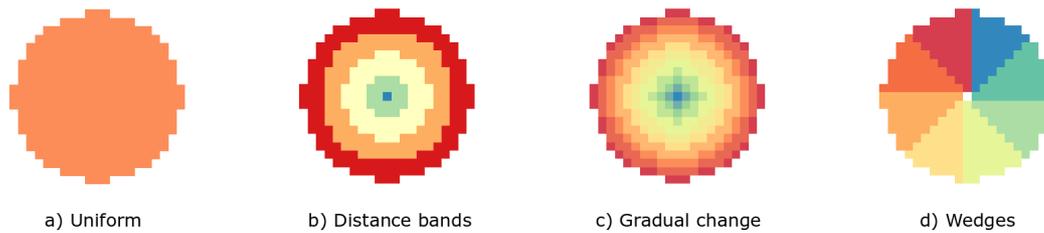
160 A Visual Neighbourhood Configuration (VNC) defines which locations  $l_i$  belong to the  
161 neighbourhood  $N_f = \{l_1, \dots, l_n\}$  of a focal location  $l_f$ . In addition to the *shape* and *size* of the  
162 neighbourhood, the VNC also specifies a *structure*: a subdivision of the neighbourhood in  
163 multiple areas or *groups* for which different visual properties are assumed. Depending on the  
164 evaluation method used (see [Section 3.3](#)), *expectation values* may be specified for each of the  
165 groups.

166 **Size:** the size of the area around a focal cell that is relevant to the theory being explored. The  
167 selection of an appropriate neighbourhood size depends entirely on the researcher's theoretical  
168 assumptions. It is often useful to explore a range of different sizes in order to examine the  
169 sensitivity of the results to changing neighbourhood size.

170 **Shape:** in theory, any subset of cells around a focal location can be defined as the  
171 neighbourhood, so a neighbourhood can have any desired shape. However, since assumptions  
172 about visibility often concern an area within a certain distance from the focal location, a circle  
173 around a focal location is the most straightforward and intuitive shape. The radius of the circle  
174 in that case expresses the size of the neighbourhood.

175 **Structure:** the neighbourhood contains all locations that are considered relevant to the focal  
176 location, but they may not all play the same role in the archaeological assumption that is being  
177 expressed. The VNC can therefore contain different subgroups of locations for which different  
178 visual properties are expected. The simplest structure is a uniform VNC, as shown in Figure  
179 [1a](#). Alternatively, one can specify distance bands ([Fig. 1b](#)), a gradual increase or decrease of  
180 visibility with increasing distance from the focal location ([Fig. 1c](#)), or wedges in different  
181 directions from the focal location ([Fig. 1d](#)).

182 ---INSERT FIGURE 1 HERE---



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**Fig. 1. Example representations of VNCs with different structures where groups of cells are indicated by different colours: an assumption about the visual property values is formulated for each group of cells.**

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**Expectation values:** to express the different visual properties assumed for the different groups in the VNC structure, an expectation value can be assigned to each group. In the expectation-based evaluation methods (see [Section 3.3](#)), each cell in the actual neighbourhood of a focal location is then compared to this hypothesized value to compute how well the location matches the assumption expressed by the VNC with expectation values. Expectations should be expressed on a scale from 0 to 1, where 0 corresponds to the lowest visual property value occurring in the study area, and 1 corresponds to the highest visual property value.

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Various (archaeological) assumptions about the way in which visibility structured (or might have structured) a given space can be expressed in terms of a VNC. The expression and testing of hypotheses in this way forms the focus of the approach presented here.

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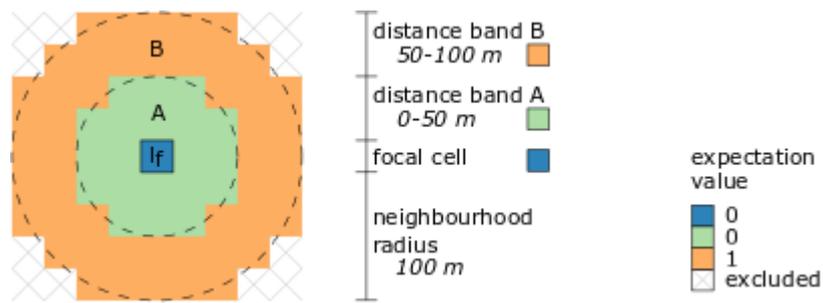
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As an example, consider the assumption that tombs or rock-art sites were located in places that are themselves invisible or visually unimpressive but within short distance of visually striking or distinctive locales. This hypothesis can be expressed as a VNC as shown in [Figure 2](#). The neighbourhood radius is set to 100 m, with a structure consisting of two distance bands around the focal location  $l_f$ . An expectation value of 0 (the lowest visual property value) is assigned to the focal cell and distance band A (locations within 50 m from  $l_f$ ), corresponding to the assumption that the site location and its immediate surroundings have low visibility. The assumption that there are locations with high visibility within short distance of the site location is expressed by assigning an expectation of 1 (the highest visual property value) to distance band B (locations 50-100 m removed from  $l_f$ ).

206

---INSERT FIGURE 2 HERE---



207

208 **Fig. 2. VNC of an example hypothesis where archaeological features are located in invisible places surrounded by**  
 209 **highly visible locations. This can be expressed by considering a low expectation value for distance band A and a high**  
 210 **one for band B.**

211 It should be clear from this example that the visual neighbourhood configuration is an  
 212 expression of the extreme state of an assumption. The hypothesis can now be evaluated by  
 213 computing for each location in the study area how well it fits this assumption. The evaluation  
 214 method (RMSE, see [Section 3.3](#) for details) assigns each location a value between 0 and 1,  
 215 where 1 represents a perfect fit to the configuration and 0 represents the exact opposite of the  
 216 configuration (i.e. the lowest visual property values where there should be the highest). Based  
 217 on this result one can check whether known tombs and rock-art sites are indeed located in  
 218 areas that fit the assumption better than other locations.

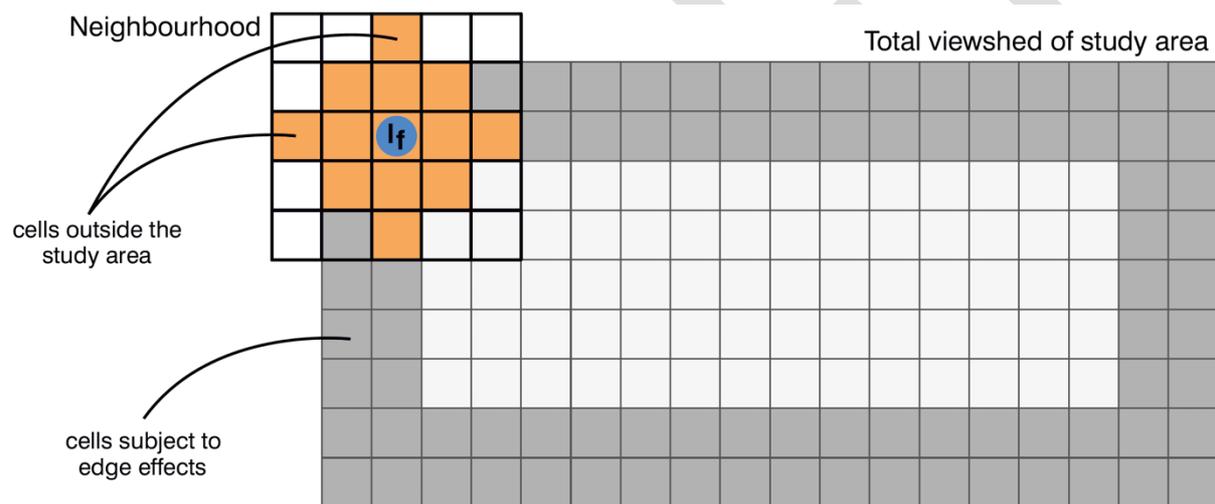
219 A key benefit of using VNCs is that the expectations following from our hypotheses of the way  
 220 visibility structures space are formally expressed. This technique therefore lends itself very  
 221 well to hypothesis testing and scholarly communication of complex theories: what spatial  
 222 distribution of a visual property do we expect to see if the hypothesis is true, what do we expect  
 223 if it is the exact opposite, and how do these compare with the actual distribution? Although the  
 224 use of formal expressions of hypotheses has great potential, it is not yet common practice in  
 225 GIS-based visibility studies in archaeology (for notable exceptions see Wheatley 1995; 1996;  
 226 Fisher et al. 1997; Lake and Woodman 2003; Llobera 2007; Lake and Ortega 2013; Eve and  
 227 Crema 2014; Gillings 2009; 2015a).

### 228 3.3. Evaluation methods

229 VNCs can be operationalized by using one of the following methods to evaluate the  
 230 neighbourhood of each cell in the study area. All evaluation methods return a raster where each  
 231 cell location  $l_i$  has the value computed for the neighbourhood with  $l_i$  as the focal location.  
 232 Archaeological assumptions can then be evaluated by comparing these output values of  
 233 locations of sites or other archaeological features with the values in areas where no sites are  
 234 located.

235 The computations and interpretations of values in this section are based on the assumption that  
 236 the input total viewshed raster is normalized, containing only values between 0 and 1. The  
 237 visual property values are normalized by mapping the highest value occurring in the study area  
 238 to 1, and the lowest value occurring in the study area to 0. The intermediate values are scaled  
 239 to this range. For focal locations close to the border of the study area some cells of the  
 240 neighbourhood might fall outside of the study area, as illustrated in Figure 3. Such focal  
 241 locations close to the borders will be ignored in the computations: similar to total viewshed  
 242 calculation, in order to avoid edge effects one needs to extend the study area for which  
 243 representative analysis results need to be obtained by a distance equal to the radius of the VNC.  
 244 The input total viewshed should therefore equal the size of the study area extended by the radius  
 245 of the VNC.

246 ---INSERT FIGURE 3 HERE---



247

248 **Fig. 3. Example of a cell whose neighbourhood extends beyond the input total viewshed defining the study area.**  
 249 **When interpreting VNC analysis results, such cells should be excluded to remove edge-effects.**

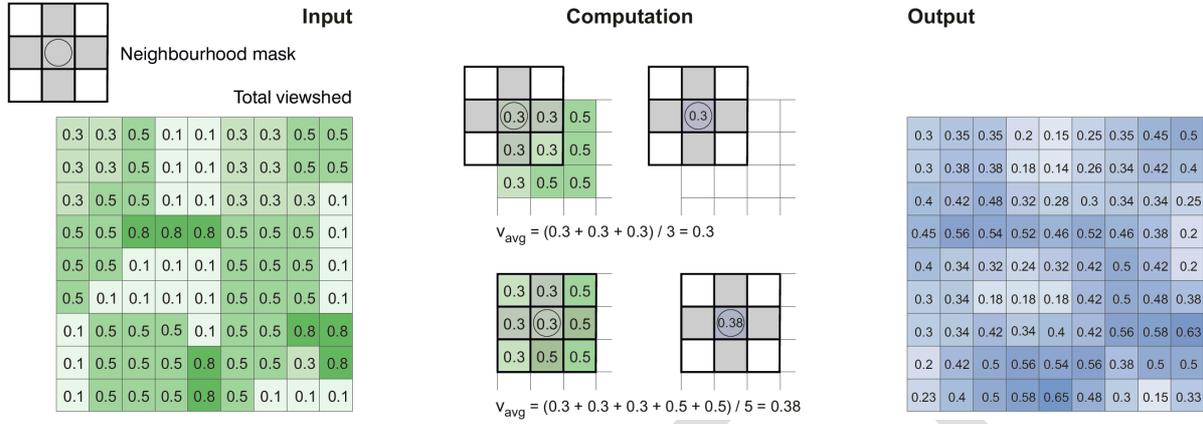
250 **Average visibility:** perhaps the simplest assumption to test is that the visibility in the  
 251 neighbourhood is high or low. This assumption can be checked by computing the average  
 252 visual property  $V_{avg}$  of each focal location  $l_f$ , which is defined as the mean of all visual property  
 253 values in the neighbourhood:

$$254 \quad V_{avg}(l_f) = \sum_{l_i \in N_f} \frac{v(l_i)}{|N_f|}$$

255 Where  $N_f = \{l_0, \dots, l_n\}$  is the neighbourhood of focal location  $l_f$  and  $v(l_i) \in [0,1]$  is the  
 256 normalized visual property value of cell  $l_i$ . The resulting value indicates whether a location is

257 positioned in an area of very high visibility (values close to 1) or in an area of very low visibility  
 258 (values close to 0) (see Figure 4 for an example).

259 ---INSERT FIGURE 4 HERE---



260

261 **Fig. 4. Example of the VNC analysis process: a neighbourhood mask representing the VNC theory and a total viewed**  
 262 **are taken as input, in the computation phase the mask focuses on each cell of the total viewed and writes the result**  
 263 **of the evaluation method (in this case average visibility) to a new output raster file.**

264 **Visual prominence:** the average visibility can be used to compute the visual prominence value  
 265 as first proposed by Llobera (2003). The visual prominence  $V_{prom}$  of a focal location  $l_f$  is  
 266 defined as the difference between the visual property value of the focal location and the average  
 267 of the neighbourhood:

268 
$$V_{prom}(l_f) = v(l_f) - V_{avg}(l_f)$$

269 Where  $v(l_f) \in [0,1]$  is the normalized visual property value of focal location  $l_f$ . The visual  
 270 prominence value indicates whether the focal location is much more visible than its  
 271 surroundings (values close to 1), much less visible than its surroundings (values close to -1),  
 272 or has a visual property value very similar to its surroundings (values close to 0).

273 **Extreme values:** rather than the overall values in a neighbourhood, one could also assume it  
 274 is the minimum or maximum visual property value in the neighbourhood that is important. The  
 275 minimum  $V_{min}$  and  $V_{max}$  maximum of a focal location are defined accordingly:

276 
$$V_{min}(l_f) = \min_{l_i \in N_f} (v(l_i))$$

277 
$$V_{max}(l_f) = \max_{l_i \in N_f} (v(l_i))$$

278 Where  $N_f = \{l_0, \dots, l_n\}$  is the neighbourhood of focal location  $l_f$  and  $v(l_i) \in [0,1]$  is the  
 279 normalized visual property value of cell  $l_i$ . In addition, one can consider the range of visual  
 280 property values present in a neighbourhood:

$$281 \quad V_{range}(l_f) = V_{max}(l_f) - V_{min}(l_f)$$

282 A range close to 1 indicates a neighbourhood where both very high and very low visual property  
 283 values are present. A range close to 0 indicates a neighbourhood with very little variation in  
 284 visual property values, regardless of whether they are high or low.

285 **Group-based analysis:** the analyses above are based purely on the size and shape of the  
 286 neighbourhood. If the VNC has a non-uniform structure, such as a subdivision in multiple  
 287 distance bands or wedges, one can compare the values in each of the groups. These analyses  
 288 do not return a visual property value, but indicate the group or groups containing the optimal  
 289 value. The *VNC Analysis Tool* (Garderen 2017; see Section 3.4) offers the following group  
 290 based analyses:  $G_{minavg}$  (returns the group with the lowest  $V_{avg}$ ),  $G_{maxavg}$  (returns the group  
 291 with the highest  $V_{avg}$ ),  $G_{minval}$  (returns the group with the minimum value),  $G_{maxval}$  (returns  
 292 the group with the maximum value),  $G_{minrange}$  (returns the group with the lowest  $V_{range}$ ), and  
 293  $G_{maxrange}$  (returns the group with the highest  $V_{range}$ ). If the same value occurs in multiple  
 294 groups, the method returns an ordered string of all groups that contain this value.

295 **Expectation-based analysis:** when expectation values are specified for the different groups in  
 296 the VNC, one can analyse how well the actual neighbourhood of a focal location matches the  
 297 expected values. The *VNC Analysis Tool* offers two expectation-based methods: *Global RMSE*  
 298 and *Grouped RMSE*. For both these methods, the output values indicate the difference between  
 299 the expected values and the real visual property values in the neighbourhood. A high value  
 300 (close to 1) indicates a large error, which means this location does not fit the assumption well.  
 301 A low value (close to 0) indicates a good fit: the visual property values in the neighbourhood  
 302 of this location are very similar to the expected values.

303 **Global RMSE:** the root-mean-square-error (RMSE) is a difference measure that can be used  
 304 to compute the difference between the expected values of a VNC and the observed visual  
 305 property values in the neighbourhood. For a given expected neighbourhood configuration  $N_{exp}$ ,  
 306 the resulting  $RMSE_{global}$  of a focal location  $l_f$  is defined as follows:

$$307 \quad RMSE_{global}(l_f) = \sqrt{\frac{\sum_{l_i \in N_f} (v(l_i) - v_{exp}(l_i))^2}{|N_f|}}$$

308 Where  $v_{exp}(l_i)$  is the expected value of location  $l_i$  as expressed in  $N_{exp}$ . For each cell in the  
 309 neighbourhood, the difference (or error) between the expected and real value is computed and  
 310 squared, the mean of these squared errors is computed, and the square root of that is returned.  
 311 Conceptually, computing  $RMSE_{global}$  with a uniform expectation value of 0 or 1 is very similar  
 312 to computing  $V_{avg}$ , as both can be used to evaluate whether the overall visibility in the  
 313 neighbourhood is high or low. However, the RMSE is a more sophisticated measure with more  
 314 nuanced results: many different configurations that have the same average will result in a  
 315 different RMSE. On the other hand,  $V_{avg}$  has the benefit that the resulting values are simply an  
 316 average of all visual property values in a neighbourhood, which makes the result easier to  
 317 interpret.

318 **Grouped RMSE:** the RMSE-method described above weighs each location in the  
 319 neighbourhood equally when computing the error. For assumptions which are related to VNCs  
 320 with a structure in which the groups have different sizes, such as distance bands, this distorts  
 321 the outcome: distance bands further from the focal location contain more locations, and would  
 322 thus have a bigger impact on the result. The Grouped RMSE analysis counteracts this effect by  
 323 computing the RMSE for each group separately and taking the average of the outcomes. For a  
 324 partitioned neighbourhood  $N_f = \{N_{f,1}, \dots, N_{f,k}\}$ , the resulting  $RMSE_{grouped}$  is defined as:

$$325 \quad RMSE_{grouped}(l_f) = \frac{1}{k} (RMSE(l_f, N_{f,1}) + \dots + RMSE(l_f, N_{f,k}))$$

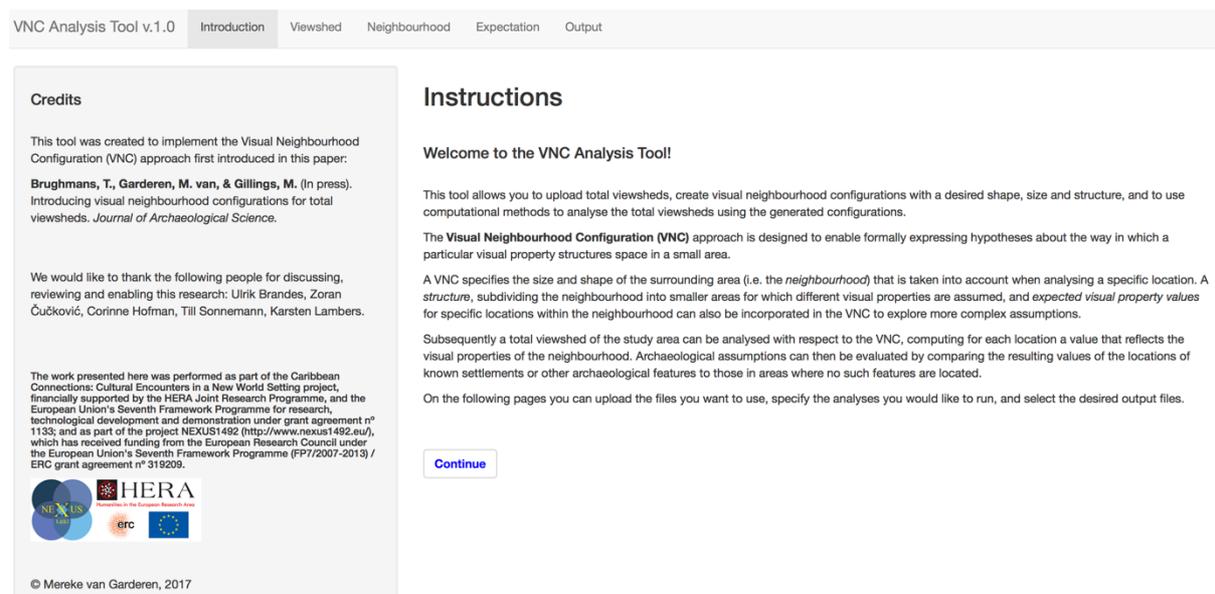
326 Where  $RMSE(l_f, N_{f,i})$  is the RMSE for focal location  $l_f$  considering only locations in partition  
 327  $N_{f,i}$  of the neighbourhood. Note that this method can be used for more than just distance bands:  
 328 the neighbourhood can be partitioned into any kind of groups that should be weighted equally.

### 329 **3.4. Implementation and Software**

330 To facilitate the use of the VNC method in practice we introduce *VNC Analysis Tool*, an  
 331 application that implements the creation of Visual Neighbourhood Configurations, assigning  
 332 expectation values, and all evaluation methods as described above, through a user-friendly  
 333 visual interface (Fig. 5). The VNC method was implemented in R, which provides both  
 334 efficient computation methods and extensive options for the graphical display of data. Because

335 R scripts can be tedious to work with for the average user, a graphical user interface was created  
 336 using the R Shiny package for more convenient access to the settings and parameters. The tool  
 337 can be downloaded from Github (Garderen 2017), and an extensive user manual written for an  
 338 archaeological audience is available (Brughmans et al. 2017).

339 ---INSERT FIGURE 5 HERE---



340  
 341 **Fig. 5. Screenshot of the VNC Analysis Tool.**

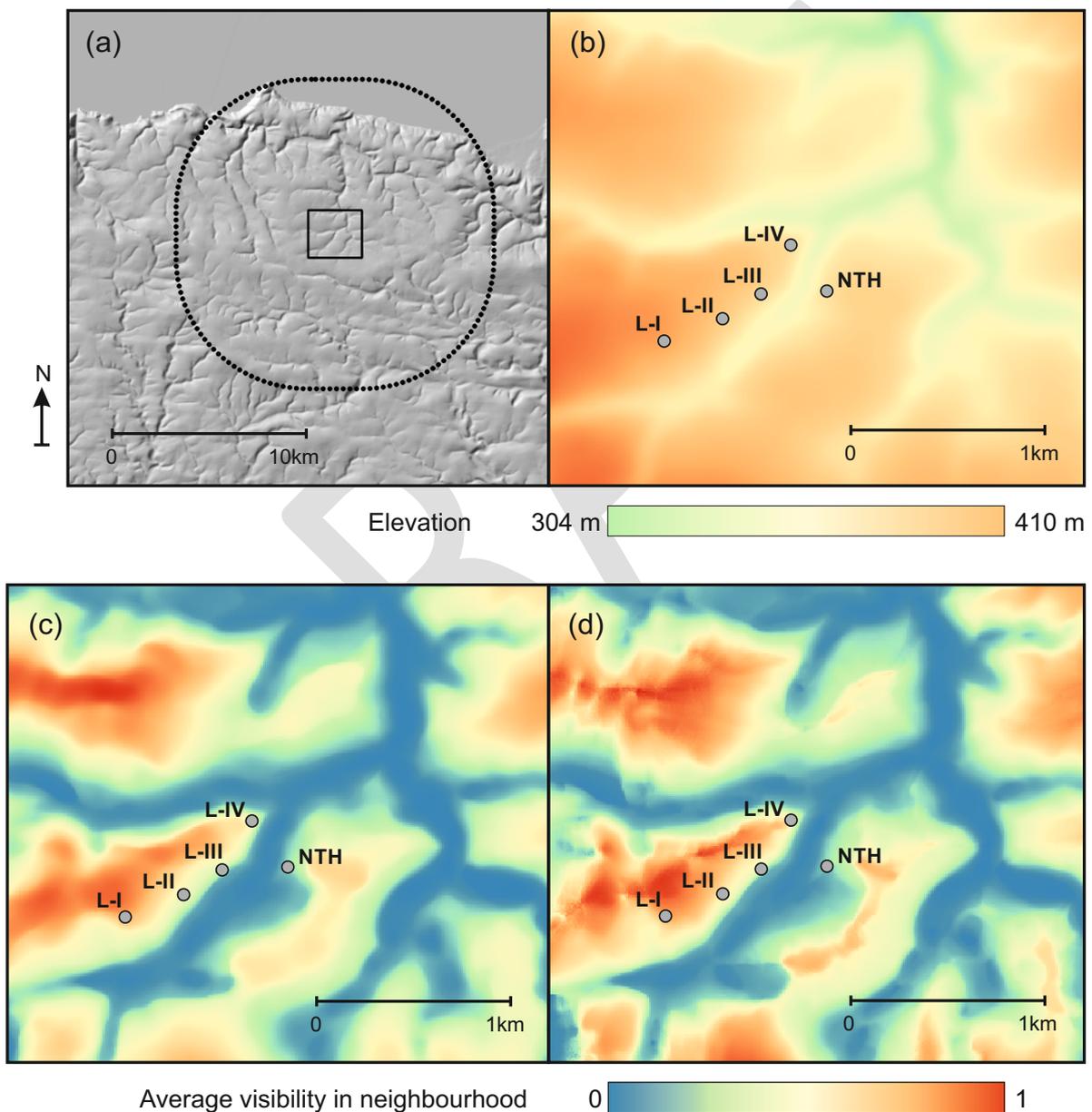
#### 342 4. Case-study: The Exmoor Standing Stone settings

343 To assess the utility of the VNC approach, it will be used to revisit and extend analyses 1 and  
 344 5 of Gillings' (2015a) total viewshed study of the Exmoor standing stone settings. In addition,  
 345 a third analysis was designed specifically to illustrate some of the unique functionality of VNCs  
 346 and explore a previously unstudied aspect of the Exmoor standing stone settings. All  
 347 experiments performed are listed in Table 1 (for a further VNC application, see Brughmans et  
 348 al. 2017).

349 The focus of Gillings' (2015a) original study was a group of unusual prehistoric standing stone  
 350 monuments that are characterised by the extremely small stones (up to 0.2-0.3m high) that were  
 351 used to create them: Lanacombe I (L-I), Lanacombe II (L-II), Lanacombe III (L-III),  
 352 Lanacombe IV (L-IV), and New Trout Hill (NTH) (Fig. 6). Described by Grinsell as  
 353 'unspectacular and difficult to find' (1970, 47), the fugitive nature of these structures has  
 354 coloured interpretations of them, their hidden character being seen as both deliberate and  
 355 meaningful (e.g. Tilley 2010; Gillings 2015b). The study sought to interrogate the specific

356 interpretation that these monuments marked hunting locations, as well as explore more  
 357 thoroughly the sense of concealment that accompanies them (Gillings 2015a). This was  
 358 implemented through an analysis of their landscape positions using total viewsheds, in an  
 359 attempt to determine whether the elusive character of these monuments was purely a  
 360 consequence of the diminutive stones used to create them or whether it was reinforced by the  
 361 careful and deliberate choice of hidden locales within which to erect them.

362 ---INSERT FIGURE 6 HERE---



363

364 **Fig. 6.** (a) the black box indicates the core study area. In order to avoid edge effects, the area inside the dotted line was  
 365 used as the input DEM for total viewshed creation (study area + 6880m buffer). (b) DEM of the study area and site  
 366 locations. (c) views-from total viewshed showing a normalized version of how many cells can be seen from each cell in  
 367 the study area. (d) views-to total viewshed showing a normalized version of from how many cells each cell in the study  
 368 area can be seen.

369 ---INSERT TABLE 1 HERE---

370 **Table 1. Variable settings and input data for all experiments presented in this case study.**

	Experiment	Spatial distribution	Neighbourhood shape	Neighbourhood size (radius)	Expectation	Input total viewshed	Method
Analysis 1	A1-20m-v_avg	Average low visibility	Circular	20m	na	views-to	v_avg
	A1-50m-v_avg	Average low visibility	Circular	50m	na	views-to	v_avg
	A1-150m-v_avg	Average low visibility	Circular	150m	na	views-to	v_avg
	A1-340m-v_avg	Average low visibility	Circular	340m	na	views-to	v_avg
Analysis 2	A2-20m-rmse_global	Low visibility surrounded by high visibility	Circular	Band1: 20m	0	views-to	rmse_global
				Band2: 130m	1	views-from	
	A2-20m-rmse_grouped	Difference error between band1 and band2	Circular	Band1: 20m Band2: 130m	0 1	views-to views-from	rmse_grouped
	A2-50m-rmse_global	Low visibility surrounded by high visibility	Circular	Band1: 50m	0	views-to	rmse_global
Band2: 130m				1	views-from		
A2-50m-rmse_grouped	Difference error between band1 and band2	Circular	Band1: 50m Band2: 130m	0 1	views-to views-from	rmse_grouped	
Analysis 3	A3-8wedge-100m	Directional high visibility	45 degree wedge	100m	na	views-to	g_maxavg
	A3-8wedge-500m	Directional high visibility	45 degree wedge	500m	na	views-to	g_maxavg

371

372 **4.1. Data**

373 Stone setting positions were recorded in the field by Gillings using survey grade differential  
374 GPS. The total viewsheds used here as input data for the VNC approach (Fig. 6) were  
375 constructed on the basis of Ordnance Survey Landform Profile DTM data which has a 10m  
376 horizontal resolution, a vertical precision of 0.01m and a vertical accuracy of +/- 2.5m. It is  
377 interpolated from 5m interval contour data taken from 1:10,00 scale mapping (Ordnance  
378 Survey 2012). To provide a series of baselines for each of the analyses discussed below,  
379 Gillings' (2015a) original analyses were re-run on a smoothed version of this original DEM.  
380 The smoothing was intended to address a noted shortcoming of the original analysis by  
381 ameliorating the highly visible effects of contour artefacts in the source DEM used to generate  
382 the visibility products (see Reuter et al. 2009 for discussion of contour errors and Gillings  
383 2015a, Figures 3, 7, 15-17 for examples of the impact these can have on total viewshed  
384 products). A smoothed version of the original DEM was created using focal statistics in ArcGIS  
385 10.4.1 with a circular 5 cell window, replacing each focal cell elevation value with the mean  
386 of its surrounding neighbourhood. This threshold was selected in a pragmatic fashion after

387 experiments with a range of smoothing windows, using a derived slope layer to visually judge  
 388 when an appropriate balance had been reached between the removal of contour-artefacts and  
 389 loss of critical topographic detail. Whilst we are aware that there is a compromise here, insofar  
 390 as the inevitable reduction of maxima such as peaks and ridges by up to 3% will have impacted  
 391 upon the viewshed determinations carried out (see Wheatley and Gillings 2000), this was  
 392 deemed an acceptable trade-off given the extent of contour terracing and striping evident in the  
 393 source DEM. A series of vector viewpoints were derived from the DEM, with a viewpoint  
 394 placed at the centre of each of the 10m raster grid cells falling within the designated study area.  
 395 To avoid edge effects, the extent of the DEM used in the visibility calculations was established  
 396 by buffering the study area by the maximum viewing distance (6,880m). The total viewshed  
 397 analyses were run in ArcGIS 10.1 SP1, using bespoke Python scripts on an Intel Core 2 Duo  
 398 PC, 3.00Ghz, 4GB RAM, Win 7 (64 bit) SP1. The total viewshed analysis variable settings are  
 399 given in table 2.

400 ---INSERT TABLE 2 HERE---

401 **Table 2. Total viewshed analysis variable settings. See Gillings 2015a for a discussion and justification of these settings.**

Total Viewshed	Viewpoints	Target cells	Viewpoint offset	target cell offset	viewshed range
views-to	70,531	2,285,132	0	1.65	6,880m
views-from	70,531	2,285,132	1.65	0	6,880m

402

#### 403 **4.2. Analysis 1: hidden places?**

404 The first analysis offers a different method for carrying out Gillings' Analysis 1 'hidden  
 405 places?' which sought to identify those parts of the overall study area that offered the lowest  
 406 chance of being seen (i.e. were least visible) (Gillings 2015a, 4). The original total viewshed  
 407 analysis was carried out on a cell-by-cell basis, to generate a times-seen raster layer, i.e. each  
 408 cell in the resultant views-to total viewshed encoded the number of other cells in the analysis  
 409 region from which it could be seen (Fig. 6d). Once generated, this views-to total viewshed was  
 410 visually evaluated in relation to the known locations of prehistoric settings by considering the  
 411 upper- and lower-quartiles as the least and most hidden locations respectively.

412 To implement this and all other analyses below as a VNC requires: a) the establishment of a  
 413 neighbourhood size and shape; b) a spatial distribution of visual property values; c) the  
 414 selection of appropriate computational methods. Looking to the first of these factors, three  
 415 values could be utilised in order to establish a meaningful neighbourhood size. In all cases a

416 circular neighbourhood shape is adopted and the neighbourhood size is expressed as its radius.  
 417 The first neighbourhood radius is based upon site extent (Table 3). The loose collections of  
 418 standing stones that make up each of the discrete settings in the study area vary in maximum  
 419 extent from 7.8 to 46.5m. This information was used to derive two neighbourhood radii; 20m  
 420 and 50m respectively (the radii are rounded to the nearest 10m given the 10m resolution of our  
 421 input total viewshed). The decision to exclude the smallest of the sites was a pragmatic one  
 422 insofar as it fell beneath the raster resolution of the current study (10m) and therefore would  
 423 be represented by a single cell. The second neighbourhood size is derived from the observed  
 424 inter-site spacing in the study area (Table 4). These distances are nearest neighbour distances,  
 425 so another way of describing this is as a minimum spanning tree for these 5 sites. If we take  
 426 the mean of 306m we can halve this to obtain a radius, and round to the nearest 10m to give a  
 427 workable neighbourhood radius of 150m. The final alternative based the neighbourhood  
 428 instead upon Ogburn's limits of visual acuity multipliers (2006: Table 1). Here the maximum  
 429 distance at which a 0.1m wide object (the typical width of the component standing stones)  
 430 would be recognisable at the limit of normal 20/20 vision is 344m (rounded here to 340m). We  
 431 can thus establish the radius of our neighbourhood as the maximum distance at which a  
 432 standing stone would be recognisable as such. We assume a uniform distribution of visual  
 433 property values and use the computational method  $V_{avg}$  to calculate for each cell in the total  
 434 viewshed the average visibility within a circular neighbourhood around it. In so doing we aim  
 435 to explore how hidden three types of areas are: the local area of a site, the area between sites,  
 436 and the area within which standing stones are recognisable. Assuming that the hypothesis that  
 437 the settings were deliberately intended to be concealed and hidden is correct, the expectation  
 438 is that the standing stones would be located in areas that offer good hiding places; an extreme  
 439 formulation of this hypothesis is therefore represented by a configuration where the visual  
 440 property values are uniformly low.

441 ---INSERT TABLE 3 HERE---

442 **Table 3. Maximum site extents (m) of Exmoor standing stone collections.**

Site	Maximum extent (m)
L-I	46.5
L-II	42.6
L-III	43.3
L-IV	7.8
NTH	19.9

443

444 ---INSERT TABLE 4 HERE---

445 **Table 4. Inter-site spacing (m) between nearest neighbours of Exmoor standing stone collections.**

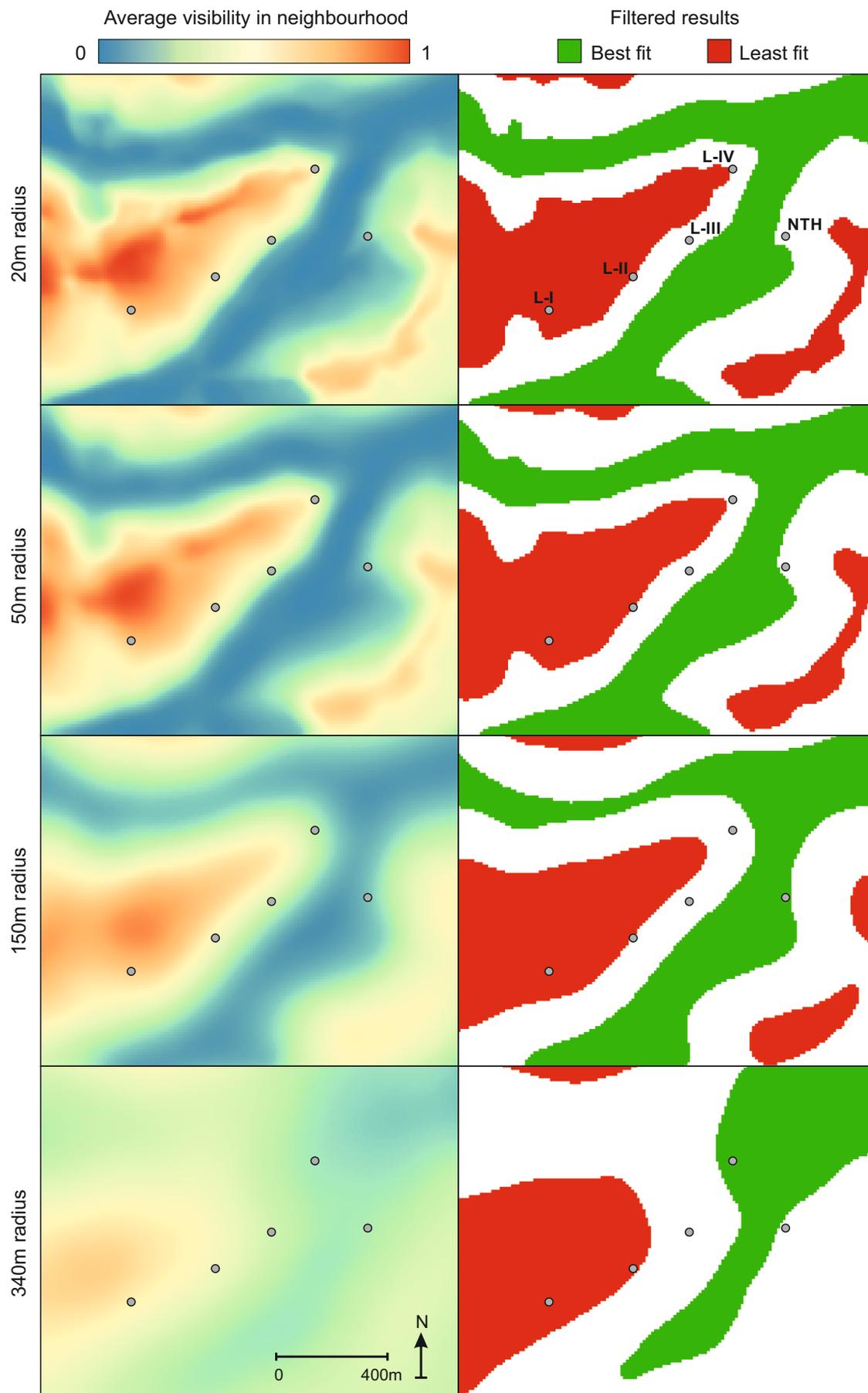
Sites	Distance (m)
L-I – L-II	325
L-II – L-III	245
L-III – L-IV	304
L-III – NTH	350

446

447 **Results:** As was the case for Gillings' original study, the results of analyses 1 and 2 will be  
 448 interpreted by considering the lower quartile values (green) as locations with low visibility or  
 449 locations that fit the hypothesis well when an expectation value is used, whereas the upper  
 450 quartile values (red) are the opposite.

451 The original analysis 1 revealed that the standing stones were not located in the most hidden  
 452 parts of the landscape; i.e. if the intention was to conceal them then there were far better  
 453 locations in which to do so (Gillings 2015a, 4). The results of the new experiments largely  
 454 confirm this conclusion (Fig. 7). L-I and L-II are located in very visible places at all  
 455 neighbourhood sizes, whereas NTH is in a very hidden location when considering a 150m  
 456 neighbourhood size and L-IV when considering a 340m neighbourhood size. These results  
 457 suggest that only for the latter two sites we can support the hypothesis that their immediate  
 458 surroundings afford a degree of concealment, though it is worth noting that the sites sit at the  
 459 very edge of this zone. Moreover, for both LI and LII at all neighbourhood sizes the opposite  
 460 hypothesis is supported: these sites are located in local areas that are highly visible.

461 ---INSERT FIGURE 7 HERE---



463 Fig. 7. The rows show results of the four experiments performed in Analysis 1. The left column shows the precise results  
464 per cell ranging between 0 to 1 (i.e. low to high average visibility in neighbourhood). The right column shows the same  
465 results grouped in the lower quartile in green (locations offering the best fit with the hypothesis of low average visibility)  
466 and the upper quartile in red (worst fit).

### 467 4.3. Analysis 2: covert spaces

468 The second analysis revisits Gillings' (2015a, 5) Analysis 3 'Covert Spaces' which attempted  
469 to identify portions of the landscape that would have functioned well as places of surveillance  
470 or potential ambush – i.e. providing a concealed observer with expansive views. It did so by  
471 subtracting the normalised views-to from the normalised views-from total viewsheds.

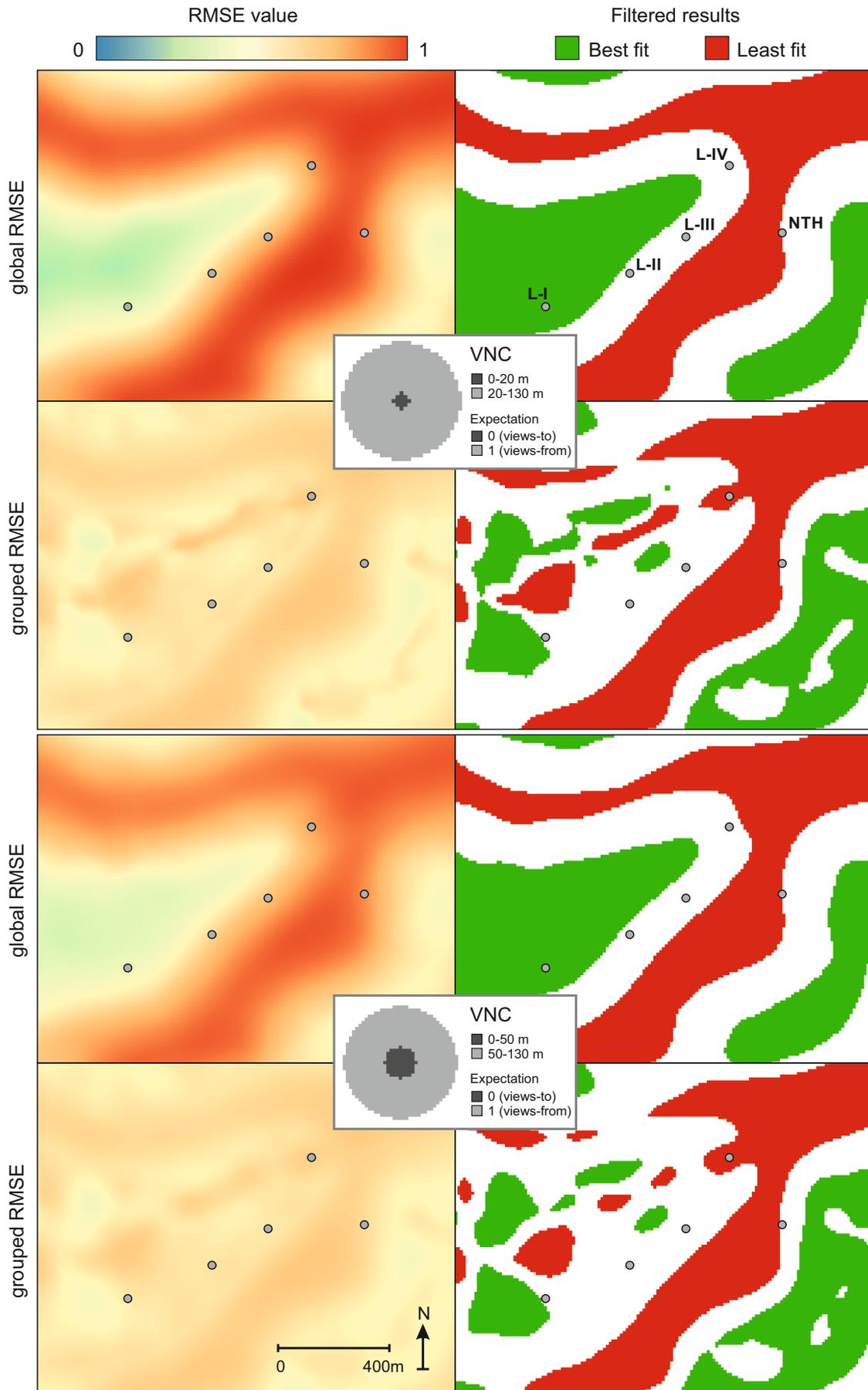
472 For the revisiting of this analysis as a VNC, the obvious factor in determining neighbourhood  
473 size is visual acuity. A host of factors come into play here, from the visual acuity of deer  
474 themselves (the typical prey according to the hunting hypothesis) to the role of camouflage and  
475 deliberate concealment in making things (hunters for example) deliberately difficult to see.  
476 However, it is difficult to come to any convincing and persuasive (let alone definitive) values  
477 for variables such as this, especially given that hunters can camouflage and actively hide  
478 themselves more, or less, efficaciously, and the physiology of deer species suggests that their  
479 visual acuity is different in many respects from that of humans (e.g. D'Angelo et al. 2008).  
480 There is also the point that within any given population (of humans or animals) actual, as  
481 opposed to theoretical, acuity will vary widely.

482 A more straightforward approach is to move away from acuity altogether to consider instead  
483 site placement and extent as indicators of neighbourhood. We assume locations supporting  
484 hunting functions are characterised by being well hidden whilst being surrounded with good  
485 vantage points. This can be represented as a VNC by considering a circular neighbourhood  
486 around a focal cell, split into two distance bands: an immediate zone of hidden locations (i.e.  
487 low views-to), surrounded by a zone of good observation locations (i.e. high views-from). The  
488 radius of this circular neighbourhood is set at 150m – i.e. the halfway distance between  
489 consecutive stone settings (Table 4). The assumption here is that the settings were  
490 contemporaneous and that each setting marked an optimum hunting location that served to  
491 control a distinct chunk of the landscape through which game were expected to travel. If you  
492 moved beyond this distance you would effectively move to an adjacent setting location, so it  
493 offers a sensible neighbourhood size for the largest distance band. The smaller inner distance  
494 band represents the area covered by the stone setting itself, i.e. where a hunting party would be  
495 waiting. We use the maximum extent of the stone settings to define this inner neighbourhood  
496 (Table 3) and, as in analysis 1, use both a radius of 20m and of 50m. Using the  $RMSE_{global}$

497 and  $RMSE_{grouped}$  methods, the low expectation value of the inner band will be compared with  
498 the views-to total viewshed and the high expectation value of the outer band will be compared  
499 with the views-from total viewshed.

500 **Results:** the original analysis suggested that only portions of the flat plateau tops, where none  
501 of the sites are located, can be considered covert spaces that could potentially accommodate  
502 hunting blinds (Gillings 2015a, 5). The new experiments not only confirm this conclusion but  
503 allow us to finesse and expand on it, because the two methods used reveal different aspects of  
504 the hypothesis (Fig. 8). The  $RMSE_{global}$  method compares how well the total viewshed fits the  
505 expectation of the configuration by allowing each cell to contribute equally to the results,  
506 whereas the  $RMSE_{grouped}$  method compares the fit of the two distance bands on equal terms  
507 regardless of the inequality in the number of cells in each band. The  $RMSE_{global}$  method results  
508 indicate that L-I and to some extent L-II are located in covert spaces, because this method  
509 overemphasizes the importance of the larger number of cells in band 2 which show a good fit  
510 with the highly visible plateau tops close to L-I and L-II. Indeed, the results of the  $RMSE_{global}$   
511 method at both neighbourhood radii mirror closely those for the views-from total viewshed  
512 generated in the original programme of analysis and it is clear that the way in which the  
513  $RMSE_{global}$  parameter is calculated means that the results are effectively swamped by the  
514 visual prominence of the plateau tops. A much more nuanced result is gained from the  
515  $RMSE_{grouped}$  method which identifies a more fragmented picture with regard to possible  
516 covert spaces, that echoes closely the results of the original ‘subtractive’ analysis carried out  
517 by Gillings. This second analysis demonstrates that none of the sites are located in areas that  
518 match the stated hypothesis, with L-IV having a particularly bad fit.

519 ---INSERT FIGURE 8 HERE---



521 Fig. 8. The rows show results of the four experiments performed in Analysis 2. The top two rows use a VNC with an  
522 inner radius of 20m, and the bottom two rows an inner radius of 50m. The left column shows the precise results per  
523 cell ranging between 0 to 1 (i.e. low to high RMSE value). The right column shows the same results grouped in the  
524 lower quartile in green (best fit between expectation and total viewshed) and the upper quartile in red (worst fit).

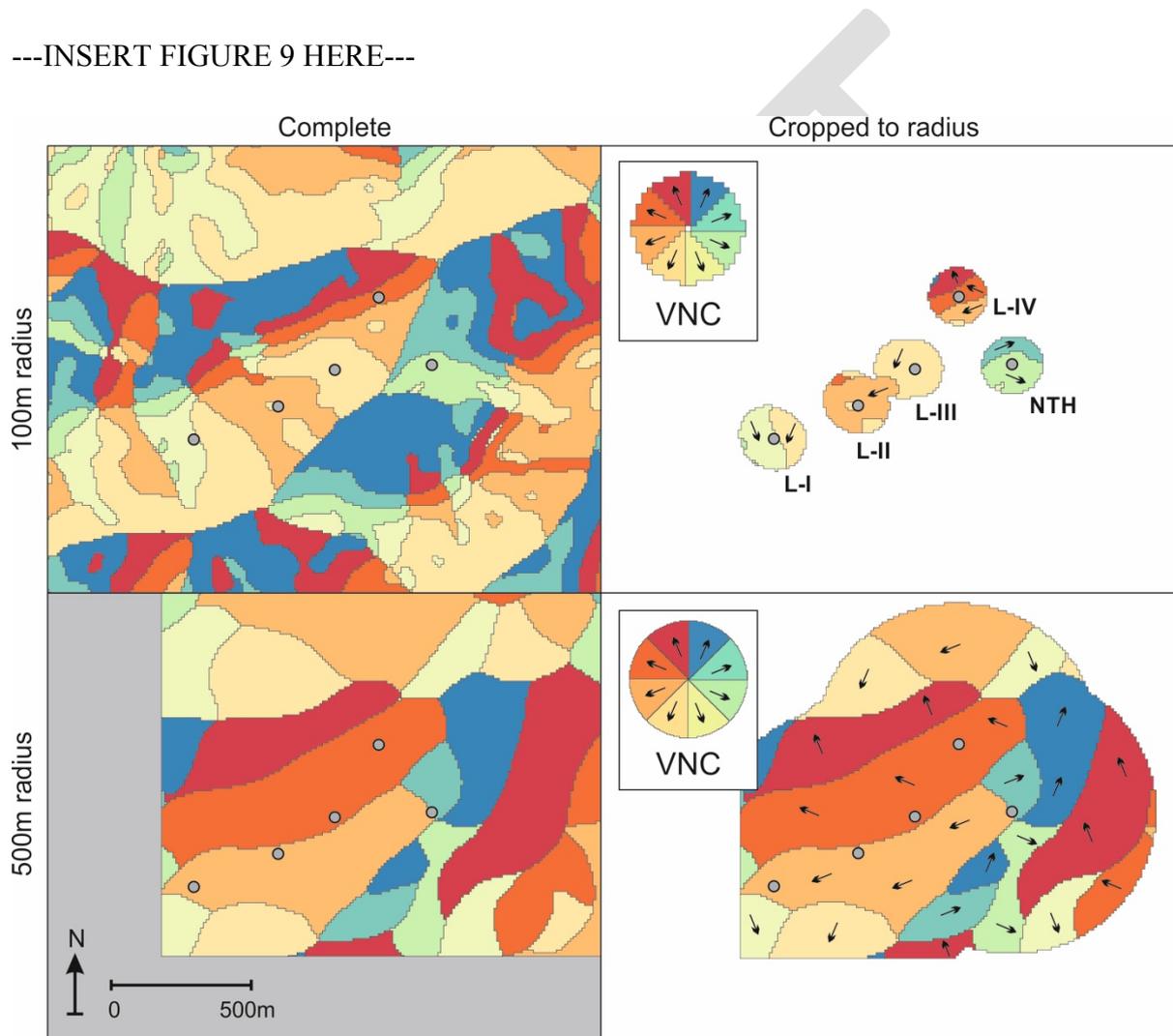
#### 525 4.4. Analysis 3: Direction, distance and orientation

526 So far the analysis has sought to demonstrate the utility of the VNC approach by showing how  
527 the method can be used to replicate the analyses carried out on a location-specific basis by  
528 Gillings. The final analysis seeks to illustrate how VNCs offer a clear and effective way to  
529 move beyond the original study by showing how the shape of the neighbourhood can be  
530 modified in order to better explore archaeological hypotheses. In the original study a  
531 neighbourhood (as opposed to cell-specific) mapping approach was adopted in order to begin  
532 to explore ideas of movement, distance and direction upon the visibility of one of the stone  
533 settings (Gillings 2015a, Analysis 5). Investigation of the hypothesis that the stone settings  
534 were meant to be seen (i.e. became most visible) only from certain directions and within certain  
535 distances should be ideally suited to the VNC approaches proposed here. In practice a series of  
536 45 degree wedge-shaped configurations (implemented as a circle divided into 8 wedges) were  
537 used to determine for each location in the landscape the direction in which the set of locations  
538 with the highest average visibility is located, using the  $G_{maxavg}$  method. In the current study  
539 two radii were used for the wedge-shaped configurations, 100m and 500m respectively. The  
540 decision was largely pragmatic, designed to investigate local (100m) as well as more general  
541 (500m) scales of analysis. It was also limited by the size of the input total viewshed and the  
542 need to avoid edge effects. If there is a deliberate directionality to the siting of the monuments  
543 (i.e. they were intended to be approached and viewed in a certain way) we would expect  
544 locations within this preferred wedge (or wedges) in the direction of the sites to have highest  
545 average visibility. It is important to note that this VNC approach does not determine locations  
546 from which the sites can be well observed, but rather identifies whether there is a directionality  
547 in the sequence of high visibility locations and whether this points towards where the sites are  
548 actually found.

549 **Results:** considering a 100m or a 500m radius reveals very different results, emphasising  
550 directionality to high visibility areas close to sites respectively from the north and from the  
551 south (Fig. 9). The 100m radius enables us to explore the directionality of areas that can locally  
552 be considered to be highly visible. In this case all sites are more or less located in the direction  
553 of high visibility areas from locations to the north of the sites, except for L-IV. The analysis  
554 with a 500m radius is more dominated by the high visibility of the plateau tops than the local

555 conditions surrounding the sites themselves, and does not reveal the sites to be positioned in  
 556 the direction of the most highly visible areas. L-II, L-III and NTH are located in the direction  
 557 of the highest visibility area from a few locations to their south, whereas L-I and L-IV from a  
 558 few locations to the north. However, it is important to note that only in a few cases can we  
 559 speak of sites being located in the direction of the most highly visible area from much of their  
 560 immediate surroundings. For example, in the case of L-I in the 100m experiment we can argue  
 561 that human movement over very short distances could have been structured by the site's  
 562 location in the direction of the more visible area.

563 ---INSERT FIGURE 9 HERE---



564

565 **Fig. 9. The rows show results of the two experiments performed in Analysis 1. The left column shows the results for all**  
 566 **cells. The right column shows the same results but only for those cells within a 100m (top row) or 500m radius (bottom**  
 567 **row). The colours and arrows indicate per cell the direction in which the wedge with the highest average visibility is**  
 568 **located. Notice how the top row shows the sites are located in the direction with highest visibility within 100m, whereas**  
 569 **the bottom row shows the sites are not located in the direction with highest visibility within 500m.**

#### 570 **4.5. Discussion**

571 In the original study, a set of complex hypotheses about past human behaviour were  
572 operationalised through a process of simplification, with each cell in the source DEM treated  
573 as a discrete viewing/potentially viewed location that could be qualitatively evaluated through  
574 total viewsheds, or the simple mathematical manipulation of such. The VNC approach takes  
575 the total viewshed not as the end-point of the analytical programme but instead the starting  
576 point, providing a flexible set of tools that can be tailored to extract any number of derivatives,  
577 or parameters from a given total viewshed layer. The VNC analyses presented here have in  
578 large part added confidence to the conclusions drawn in the original study, confirming that the  
579 trends and properties identified for specific locations are echoed at wider neighbourhood scales.  
580 However, as well as repeating existing analyses the potential of the VNC approach to allow  
581 more sophisticated hypotheses about past visibility, of the kind familiar from more experiential  
582 approaches to landscape investigation, has also been demonstrated. Through careful  
583 manipulation of neighbourhood shape the question of preferential visibility has been addressed,  
584 identifying the possibility that the structures may have been erected upon sequential visual  
585 pathways that in turn may reflect natural patterns of movement through and across this  
586 landscape. Further it has shed important light upon the spatial scale at which these processes  
587 operated. This was revealed by the results of analysis 3. The 100m radius results (Fig. 9)  
588 indicate that the sites were located on visual pathways that either led upslope out of the valley  
589 bottoms (L-IV and NTH) or along the contour connecting sites (L-I, L-II and L-III) and from  
590 the valley top to the break of slope (L-I). This adds important weight to arguments that suggest  
591 that the structures were not related to hunting at all (and thus concerns with concealment and  
592 observation) but were instead key agents in the structuring of animal movement through this  
593 landscape (Gillings 2015b). That this pathway relationship manifested itself most clearly at the  
594 more local scale is clear from the results of the 500m radius analysis, which are dominated  
595 more by the high visibility of the plateau tops than the local conditions surrounding the sites  
596 themselves.

#### 597 **5. Conclusions**

598 Visual neighbourhood configurations were presented as a new approach for exploring complex  
599 theories of visual phenomena in landscapes by processing total viewsheds. It recognizes that  
600 such theories most commonly concern the configuration of visual properties of areas around  
601 locations rather than solely the visual properties of the locations themselves, and that the typical

602 approach to interpreting total viewshed results by classifying cell values is therefore  
603 problematic. It overcomes this issue by enabling one to formally describe aspects of the  
604 visibility theory: the shape and size of neighbourhoods as well as, where relevant, the structure  
605 and expectation of visual property values within the neighbourhood. A large number of  
606 analytical techniques has been presented to explore such theories and an open source software  
607 tool was developed to enable the implementation of the VNC approach through a user-friendly  
608 interface. The approach was illustrated through a case study on the Exmoor standing stone  
609 settings, exploring theories concerning their hidden nature and the marking of hunting  
610 locations. The case study results showed that the VNC approach can reproduce results obtained  
611 through alternative methods and that it can add unique new insights by significantly extending  
612 the range of formally explorable neighbourhood-based visibility theories. This work therefore  
613 presents a significant step forward towards richer and more complex theoretical formal  
614 visibility studies, contributing not only to the further development of the visualsapes concept  
615 (Llobera 2003) but also calls for a more radical ‘unbinding’ of GIS analyses from existing, and  
616 highly limiting, conceptual and methodological frameworks (Howey and Brouwer Berg 2017).

617 We believe the traditional reliance on binary viewsheds in landscape archaeology should be  
618 replaced by the more common use of total viewsheds and large-scale cumulative viewsheds:  
619 the technical limitations preventing their use at large spatial scales and with high resolution are  
620 virtually overcome; the uncertainty inherent in our data concerning settlement/feature  
621 distributions and past movements through the landscape makes the focus on known site point  
622 locations or small areas of landscapes undefendable; our theories concerning visual phenomena  
623 commonly concern areas and neighbourhoods rather than point locations. Such future studies  
624 should consider total viewsheds as a first step rather than the end point of a programme of  
625 analysis. A total viewshed offers a representation of a very particular structuring feature of an  
626 entire landscape, capturing a wealth of information that goes largely unused in current studies.  
627 To appropriately study our complex theories of how visibility phenomena structured past  
628 human behaviour we should draw on this wealth of information by manipulating and  
629 combining total viewsheds in a variety of ways through approaches like VNC. The full  
630 potential of the VNC approach will be revealed once total viewshed studies become more  
631 common and the VNC approach has contributed to a better understanding of a wider range of  
632 complex visual phenomena.

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