

1 **Effects of storage practices on long-chain polyunsaturated fatty acids and lipid**
2 **peroxidation of preterm formula milk**

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21
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25 reviewed it critically and provided final approval of submitted version.

27 **Abstract**

28 **Background** Preterm formula milk (FM) is often prepared in advance, potentially affecting
29 nutritional quality. Long-chain polyunsaturated fatty acids (LCPUFAs), important for brain and
30 immune system function, are prone to lipid peroxidation, which correlates with comorbidities of
31 prematurity. Effects of clinical storage practices on LCPUFA content and lipid peroxidation of
32 preterm FM were investigated.

33 **Methods** U.K. liquid and powder preterm FM (2017) from two manufacturers, were subjected to
34 routine storage conditions (liquid: refrigeration ≤ 10 hours; powder: weekly preparation according to
35 manufacturer's instructions and refrigeration ≤ 24 hours for 4 weeks). LCPUFA content,
36 thiobarbituric acid reactive substances, and 4-hydroxy-2-nonenal (HNE) content were analysed.

37 **Results** Storage did not significantly decrease LCPUFA content. ESPGHAN recommended
38 LCPUFA intake, but not *in-utero* accretion rates could be achieved with both FM brands (liquid and
39 powder). Lipid peroxidation was evident on opening, with 6x higher levels in powder. No effect of
40 ≤ 10 hours refrigeration on peroxidation was seen in liquid FM. In powder FM it increased over
41 refrigeration (HNE opening: 6.5-9.7 $\mu\text{g}/\text{mL}$ vs. day 28, 24 hours: 16.6-36.5 $\mu\text{g}/\text{mL}$) with a
42 significant interaction between storage time and refrigeration ($p = 0.015$), with higher HNE at 4
43 hours on day 0, 7, 14, and 21 (all $p < 0.05$).

44 **Conclusions** Results suggest preterm FM and storage conditions do not support *in-utero* accretion
45 rates for LCPUFAs. Although results suggest different susceptibility of liquid and powder FM to
46 peroxidation upon refrigeration, they are too preliminary to make specific recommendations. We
47 suggest minimising storage time of fresh and prepared powder FM, wherever possible.

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¹ **Abbreviations:**

ARA: arachidonic acid

DHA: docosahexaenoic acid

ESPGHAN: European Society for Paediatric Gastroenterology, Hepatology and Nutrition

FM: formula milk

HNE: 4-hydroxy-2-nonenal

LCPUFA: long-chain polyunsaturated fatty acid

MDA: malondialdehyde

TBARS: thiobarbituric acid reactive substances

1. INTRODUCTION

When preterm infants have access to insufficient or no maternal milk, formula milk (FM) may be used. Preterm infants receive specialised FMs, with greater energy density, protein and carbohydrate than term FM. Docosahexaenoic acid (DHA, 22:6n-3) and arachidonic acid (ARA, 20:4n-6) are omega-3 and -6 long-chain polyunsaturated fatty acids (LCPUFAs), respectively, essential for optimal brain development, and immune system function ⁽¹⁾. Preterm infants have high LCPUFA requirements, due to premature interruption of maternal transfer, low adipose tissue stores, limited conversion from precursors, and limited intestinal absorption ⁽²⁾. LCPUFAs are prone to peroxidation ⁽³⁾ and FMs containing LCPUFAs have higher peroxidation levels than those without ⁽⁴⁾. Preterm infants are particularly susceptible to oxygen radical associated diseases, such as bronchopulmonary dysplasia, necrotising enterocolitis, and retinopathy of prematurity, which may be exacerbated by increased intake of peroxidation products ⁽⁵⁾.

The effects of different storage conditions on LCPUFA content and lipid peroxidation of FMs have been explored under a range of conditions, but with inconsistent results. Increased malondialdehyde (MDA), a non-specific peroxidation product ⁽³⁾, has been found in FMs stored for 12 months ⁽⁶⁾. Furthermore, opening powder FM significantly increases MDA after 21 days storage at room temperature ⁽⁷⁾. Similarly, daily opening and stirring powder FM significantly increases 4-hydroxy-2-nonenal (HNE) and 4-hydroxy-2-hexanal, omega-6 and -3 LCPUFA peroxidation products, respectively, after 10 days ⁽⁸⁾. However, these studies do not accurately reflect clinical practice as although manufacturers and the WHO recommend using prepared powder FM immediately, the WHO acknowledges the need to prepare FM and recommend a maximum storage of 24 hours at 4°C ⁽⁹⁾. The present study investigated for the first time the effects of clinically relevant storage practices on LCPUFA content and lipid peroxidation of preterm FM.

2. MATERIAL AND METHODS

2.1 FM samples and study design

At the time of the study, two manufacturers (A and B) provided preterm FM in the U.K., including two ready-to-feed liquid (L) FMs for preterm/low birthweight infants (<1800 g; A1L and B1L), two ready-to-feed liquid (L) post-discharge FMs (A2L, B2L) and two powder (P) post-discharge FMs (A2P and B2P; **Supplementary Table 1**). Preterm FMs are only available on prescription in the U.K., and samples were provided by manufacturers, who had no involvement in the study. Opened liquid FM and prepared powder FM may be stored for up to 12 and 24 hours, respectively, at 4°C in

82 hospitals. Therefore, liquid FMs (A1L: n=5; B1L: n=3; A2L: n=5; B2L n=3) were analysed after
83 opening, and after 1, 4, and 10 hours at 4°C. Powder FM (A2P: n=6) was tested on day 0, 7, 14, 21,
84 and 28 at 0, 4, and 24 hours storage at 4°C after preparation, respectively (**Figure 1**). All FMs were
85 analysed before their use by dates. To simulate normal use, powder FM boxes were opened 3x per
86 day, stirred, and one scoop removed, as described previously^(10, 11). Powder FM was prepared
87 according to manufacturers' instructions. Samples for fatty acid analysis were processed directly,
88 samples for lipid peroxidation analysis were stored under N₂ at -70°C to prevent further lipid
89 peroxidation, and analysed within three months^(4, 12).

90

91 **2.2 Fatty acids**

92 Fatty acids were analysed as described previously⁽¹³⁾, with tricosanoic acid as internal standard,
93 using gas chromatography (7820A, Agilent Technologies, U.S.A) and Omegawax™ 100 column
94 (15 m x 0.1 mm x 0.5 µm, Sigma-Aldrich, U.K).

95

96 **2.3 Thiobarbituric acid reactive substances**

97 Thiobarbituric acid reactive substances (TBARS), an indirect MDA measurement, were analysed
98 using a commercial assay (Cayman Chemical, U.S.A.) following manufacturer's instructions.
99 Absolute levels were adjusted according to determined recovery rates (A1L 39%, A2L 61%,
100 A2P 49%), since the FM matrix can affect recovery⁽¹⁴⁾. Absolute values were corrected for
101 published fat content. Intra-assay coefficient of variation was 7.8%, inter-assay coefficient of
102 variation was 6.1%. TBARS could not be measured in B1L and B2L as they contain partially
103 hydrolysed whey protein that could not be precipitated and interfered with measurements.

104

105 **2.4 HNE**

106 HNE adducts were quantified using an OXISelect HNE adduct competitive ELISA assay (Cell
107 Biolabs, U.S.A) following manufacturer's instructions. Values were corrected for published fat
108 content.

109

110 **2.5 Statistical analysis**

111 Statistical analysis was performed using GraphPad Prism, and non-parametric tests were used due
112 to the sample size. Comparing between FMs, Mann Whitney test or Kruskal-Wallis test with
113 Dunn's multiple comparisons test were used. Wilcoxon matched pairs test or Friedman test with
114 Dunn's multiple comparisons test were used for comparison over refrigeration time. Influence of
115 opened storage (days) and refrigeration (hours) was tested using repeated two-way ANOVA with
116 Dunnet's post-hoc test. Wilcoxon signed rank test was used to compare LCPUFA content to
117 manufacturers' values. Enteral LCPUFA supply, based on analysed LCPUFA content, was
118 calculated for a hypothetical 28 week gestational age infant, weighing 1000 g at birth and receiving
119 full enteral feeding (150 mL/kg/day) with infant FM and later on (2000 g bodyweight) with post-
120 discharge FM. Values were compared to European Society for Paediatric Gastroenterology,
121 Hepatology and Nutrition (ESPGHAN) recommendations ⁽¹⁵⁾, using Wilcoxon signed rank test.
122 LCPUFAs available for accretion were also calculated for this hypothetical infant and compared
123 with estimated *in-utero* accretion, as described ⁽¹⁶⁾. For correlations, Spearman correlation was used.
124 Data presented as means \pm SD and 95% CI and considered statistically significant at $p < 0.05$.

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126

3. RESULTS

127

3.1 LCPUFA content

128 LCPUFAs were measured at opening and compared to manufacturers' information. A1L and A2L
129 ARA (15.5 ± 5.5 and 23.8 ± 2.2 vs. 20 mg/100 mL, respectively) and DHA (12.0 ± 4.5 and $13.4 \pm$
130 2.4 vs. 10 mg/100 mL, respectively), were consistent with published values (**Figure 2**). A2P had
131 significantly lower ARA (11.5 ± 2.1 vs. 20 mg/100 mL, $p = 0.031$), but not DHA (8.2 ± 1.9 vs.
132 10 mg/100 mL). B1L and B2L had comparable ARA (11.5 ± 0.8 vs. 15 mg/100 mL, 10.4 ± 2.0 vs.
133 14.4 mg/100 mL, respectively) and DHA (10.5 ± 1.0 vs. 15 mg/100 mL, 10.4 ± 1.9 vs. 14.4
134 mg/100 mL, respectively) to published levels. A2L provided significantly more ARA than B2L and
135 A2P (both $p < 0.05$), and DHA was significantly higher in A2L than in A2P ($p < 0.01$; **Figure 2**).
136 These values are 1:1 convertible to mg/100 kcal.

137

138

3.2 Effects of storage on LCPUFA content

139 There was no effect of 4 hours refrigeration on ARA or DHA content of A1L, A2L, or B2L. There
140 was a significant effect of storage days ($F(1,6) = 5.64$, $p = 0.047$) and refrigeration ($F(1,6) = 7.66$,
141 $p = 0.027$) on ARA content of A2P (**Table 1**); which was further explored by post-hoc analysis,
142 which revealed ARA content on day 21 at 24 hours was significantly different, although this was

143 within 95% CI of ARA content at opening. Only storage time (days) had an effect on DHA
144 concentration in A2P ($F(2,11) = 9.11$, $p = 0.005$; **Table 1**). However, post-hoc test revealed no
145 significant differences.

146

147 **3.3 Estimated LCPUFA intake**

148 LCPUFA intake from A1L and B1L was calculated for a hypothetical preterm infant (1000 g
149 bodyweight) based on analysed fatty acid content, assuming full enteral feeding (150 mL/d). A1L
150 and B1L provided 23.2 ± 7.2 and 17.3 ± 1.0 mg/d ARA, respectively, for a 1000 g infant, and
151 although not significantly below ESPGHAN minimum recommendations (18-42 mg/kg/d)⁽¹⁵⁾,
152 mean values for B1L were 0.7 mg/kg/d lower than recommended. DHA similarly achieved
153 recommendations (18.05 ± 5.8 and 15.8 ± 1.2 vs. 12 mg/d for a 1000 g infant; range 12-
154 30 mg/kg/d).

155 LCPUFA intake from A2L, B2L, and A2P was calculated for a hypothetical preterm infant (2000 g
156 bodyweight), assuming full enteral feeding (300 mL/d). ARA levels provided by A2L ($71.3 \pm$
157 6.0 mg/d for a 2000 g infant) were within ESPGHAN recommendations (18-42 mg/kg/d, i.e. 36-
158 84 mg/2kg/d), while levels provided by B2L and A2P (31.3 ± 5.1 and 34.4 ± 5.7 mg/d for a 2000 g
159 infant, respectively) were lower but not statistically significant different. ARA levels differed
160 significantly between FMs ($p = 0.011$; **Figure 3A**). Daily DHA intake provided by A2L, B2L and
161 A2P was within ESPGHAN recommendation (12-30 mg/kg/d, i.e. 24-60 mg/d for a 2000 g infant),
162 at 40.3 ± 6.5 , 31.1 ± 4.6 and 24.7 ± 5.1 mg/d; however, it was significantly different between FMs
163 ($p = 0.009$; **Figure 3B**).

164 LCPUFAs available for accretion were also estimated for the hypothetical infant. Full enteral
165 feeding with A1L and B1L provided 45.5 ± 5.8 and 40.7 ± 0.8 mg/d of ARA, respectively,
166 representing 22% and 19% of *in-utero* accretion. DHA from A1L and B1L provided 62% and 58%
167 of the *in-utero* accretion (26.8 ± 4.5 and 25.0 ± 0.9 vs. 43 mg/d, respectively). There was no
168 significant difference in ARA and DHA available for accretion between FMs.

169 A2L, B2L and A2P provided ARA below estimated *in-utero* accretion rates at 111.2 ± 4.9 (26%),
170 78.8 ± 4.1 (19%), and 81.3 ± 4.7 (19%) vs. 424 mg/d, respectively. ARA available for accretion
171 was significantly higher in A2L than B2L and A2P (both $p < 0.05$). A2L, B2L and A2P provided
172 56.8 ± 5.1 , 49.6 ± 3.6 , and 44.6 ± 4.0 mg/d DHA for accretion. These levels were below estimated
173 *in-utero* accretion rates (86 mg/d), representing 66%, 58%, and 52%. DHA availability was
174 significantly higher from A2L than A2P ($p < 0.01$).

175

176 **3.4 TBARS**

177 There were no significant differences in TBARS on opening (A1L: $4.8 \pm 0.4 \mu\text{M}$, A2L: $4.0 \pm$
178 $0.4 \mu\text{M}$, A2P: $4.5 \pm 0.5 \mu\text{M}$), and no significant differences following storage A1L or A2L, or A2P
179 (**Table 2**).

180

181 **3.5 HNE**

182 There were significant differences in HNE between FMs on opening ($p = 0.003$; **Figure 4**), with
183 A2P levels 6x higher than A2L. Refrigerated storage did not significantly alter HNE levels of liquid
184 FMs. There was a significant effect of opened storage (days) ($F(2,3) = 24.89$, $p = 0.009$) and
185 refrigeration (hours) ($F(1,2) = 26.74$, $p = 0.034$), as well as a significant interaction between storage
186 time and refrigeration ($F(2,4) = 17.26$, $p = 0.015$) in A2P (**Table 3**). Post-hoc analysis, confirmed
187 significantly higher HNE at 4 hours on day 0, 7, 14, and 21. Although mean levels were further
188 increased at 24 hours on days 21 and 28, these increases were not statistically significant due to a
189 wider variability in measurements.

190

191 **4. DISCUSSION AND CONCLUSION**

192 This study investigated for the first-time effects of clinically relevant storage conditions on
193 LCPUFAs and lipid peroxidation of liquid and powder first and post-discharge preterm FM. ARA
194 and DHA content were not significantly altered by storage. However, lipid peroxidation was
195 evident on opening, with higher HNE in powder than liquid FMs. Refrigeration of liquid FM for up
196 to 10 hours did not alter TBARS or HNE levels, and opened storage of powdered FM for 28 days
197 similarly did not increase peroxidation. Refrigerated storage of prepared powder FM led to
198 significantly increased HNE at later time-points, and increased variability in the levels with time,
199 therefore suggesting increased susceptibility to lipid peroxidation with storage time.

200 The lack of effects on ARA and DHA confirm previous observations that LCPUFA levels are stable
201 during short-term storage after opening^(10, 11). The calculated daily ARA and DHA intake levels
202 from FMs all met ESPGHAN recommendations⁽¹⁵⁾ for the hypothetical infants, but were below
203 estimated *in-utero* accretion rates, and may therefore not provide optimal levels of intake.

204 ESPGHAN recommendations do not cover extremely preterm infants (< 28 weeks gestation, < 1000
205 g), the most vulnerable group for LCPUFA deficits. Furthermore, early LCPUFA deficits are not
206 considered, and these recommended levels are based on safety evidence and breast milk levels⁽¹⁵⁾.

207 Suboptimal LCPUFA intake can have long lasting effects in preterm infants, as they are essential
208 for brain development ⁽²⁾, and insufficient intake is associated with comorbidities of prematurity,
209 such as respiratory distress syndrome, sepsis, and intraventricular haemorrhage ⁽¹⁷⁾, whereas higher
210 intakes might reduce delays in cognitive development ⁽¹⁸⁾.

211 Our study also considered the effects of storage on lipid peroxidation, as significant increases in
212 lipid peroxidation can occur without changes in LCPUFAs ⁽¹⁹⁾. Powdered FM had significantly
213 higher HNE than liquid FM on opening, indicating increased lipid peroxidation, as has been found
214 by others ⁽²⁰⁾. This discrepancy may be due to differences in processing, as spray drying of FM
215 powder uses high temperatures (180-220°C), which can increase lipid peroxidation ⁽⁶⁾, whereas, the
216 lower temperature of bottle sterilisation of liquid FM does not ⁽²¹⁾.

217 Lipid peroxidation of liquid FM was not found to increase following refrigeration, or opened
218 storage of powder FM, whereas, refrigeration of prepared powder FM increased HNE. Other studies
219 show increased lipid peroxidation of FM after opening and storage ^(6-8, 10). This dissonance may be
220 due to differences in antioxidant composition of FM and the protection provided. For example,
221 higher vitamin A and E content of FM is associated with lower peroxidation ^(22, 23). Further work
222 should seek to explore the optimal antioxidant profile for FM, since different antioxidants provide
223 different protection and synergistic effects may occur.

224 There are currently no guidelines for safe intake ranges of lipid peroxidation products in preterm
225 infants, and the levels of TBARS and HNE found in FM are lower than the levels previously
226 reported in U.K. preterm breast milk and donor human milk by us ⁽¹³⁾. Others have similarly found
227 higher lipid peroxidation in fresh Italian term breast milk than term FM ⁽²²⁾, although this
228 observation is not universal, with lower levels in fresh Spanish and American term breast milk than
229 in term and preterm FM, respectively ^(7, 24). This suggests levels identified in the present study may
230 not severely increase the oxidative load of preterm infants. However, preterm infants have an
231 underdeveloped antioxidant system ⁽²⁵⁾ and an increased intake of lipid peroxidation products is
232 associated with development of preterm morbidities such as, retinopathy of prematurity,
233 bronchopulmonary dysplasia, intraventricular haemorrhage, necrotising enterocolitis, and sepsis ^{(26,}
234 ²⁷⁾. Protecting parenteral lipid emulsions from light to decrease lipid peroxidation, is associated with
235 30% reduction in bronchopulmonary dysplasia ⁽⁵⁾, highlighting the importance of decreasing
236 exposure to lipid peroxidation products. Therefore, to minimise dietary sources of lipid peroxidation
237 products we recommend FM is used on “first in, first out” basis and refrigerated storage time
238 minimised whenever possible.

239 A strength of the study is that effects of current clinical storage conditions on LCPUFA and lipid
240 peroxidation of preterm FM were simulated for the first time. Furthermore, dietary intake levels of
241 measured LCPUFA content were compared to ESPGHAN guidelines and *in-utero* accretions rates,
242 to provide clinically relevant perspectives on intake. Limitations are that lipid peroxidation was not
243 measured immediately for logistical reasons; however, specific storage conditions were employed
244 to inhibit further lipid peroxidation, and since preterm FM is only available on prescription in the
245 U.K., analysis was limited to samples provided by manufacturers, so not all available FMs were
246 analysed. However, although sample numbers were limited, the analysis compares favourably with
247 work by others, where sample sizes of one have been reported ^(7, 8, 12, 19, 28). Nevertheless, these
248 results need corroboration with larger studies in order to provide specific details of best practice for
249 refrigerated storage times.

250 In conclusion, current storage conditions did not significantly decrease LCPUFA content of preterm
251 FMs tested here, and although ESPGHAN recommended intake levels ⁽¹⁵⁾ could be achieved, none
252 provided sufficient intake for estimated *in-utero* accretion rates. Furthermore, the differences in
253 lipid peroxidation on opening, and increased susceptibility of powdered FM to lipid peroxidation,
254 suggest powder FM has a greater potential to contribute to the oxidative load of preterm infants than
255 liquid FM. Storage of both fresh and prepared powder FM should be minimised wherever possible.

256

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262

263 **TRANSPARENCY DECLARATION**

264 The lead author affirms this manuscript is an honest, accurate, and transparent account of the study
265 being reported. The lead author affirms that no important aspects of the study have been omitted.

266

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